# CPS 140 - Mathematical Foundations of CS 

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Section: Parsing (handout)

## Parsing

Parsing: Deciding if $x \in \Sigma^{*}$ is in $L(G)$ for some CFG G.

## Review

Consider the CFG G:

$$
\begin{aligned}
& \mathrm{S} \rightarrow \mathrm{Aa} \\
& \mathrm{~A} \rightarrow \mathrm{AA}|\mathrm{ABa}| \epsilon \\
& \mathrm{B} \rightarrow \mathrm{BBa}|\mathrm{~b}| \epsilon
\end{aligned}
$$

Is ba in $\mathrm{L}(\mathrm{G})$ ? Running time?

Remove $\epsilon$-rules, then unit productions, and then useless productions from the grammar G above. New grammar $\mathrm{G}^{\prime}$ is:

$$
\begin{aligned}
& \mathrm{S} \rightarrow \mathrm{Aa} \mid \mathrm{a} \\
& \mathrm{~A} \rightarrow \mathrm{AA}|\mathrm{ABa}| \mathrm{Aa}|\mathrm{Ba}| \mathrm{a} \\
& \mathrm{~B} \rightarrow \mathrm{BBa}|\mathrm{Ba}| \mathrm{a} \mid \mathrm{b}
\end{aligned}
$$

Is ba in $\mathrm{L}(\mathrm{G})$ ? Running time?

## Top-down Parser:

- Start with S and try to derive the string.

$$
\mathrm{S} \rightarrow \mathrm{aS} \mid \mathrm{b}
$$

- Examples: LL Parser, Recursive Descent


## Bottom-up Parser:

- Start with string, work backwards, and try to derive S.
- Examples: Shift-reduce, Operator-Precedence, LR Parser

We will use the following functions FIRST and FOLLOW to aid in computing parse tables.

## The function FIRST:

Some notation that we will use in defining FIRST and FOLLOW.

$$
\begin{aligned}
& \mathrm{G}=(\mathrm{V}, \mathrm{~T}, \mathrm{R}, \mathrm{~S}) \\
& \mathrm{w}, \mathrm{v} \in(\mathrm{~V} \cup \mathrm{~T})^{*} \\
& \mathrm{a} \in \mathrm{~T} \\
& \mathrm{X}, \mathrm{~A}, \mathrm{~B} \in \mathrm{~V} \\
& \mathrm{X}_{\mathrm{I}} \in(\mathrm{~V} \cup \mathrm{~T})^{+}
\end{aligned}
$$

Definition: $\operatorname{FIRST}(\mathrm{w})=$ the set of terminals that begin strings derived from w .

```
If w }\stackrel{*}{=>}\textrm{av}\mathrm{ then
    a is in FIRST(w)
If w }\stackrel{*}{=>}\epsilon\mathrm{ then
    \epsilon is in FIRST(w)
```


## To compute FIRST:

1. $\operatorname{FIRST}(a)=\{a\}$
2. $\operatorname{FIRST}(\mathrm{X})$
(a) If $\mathrm{X} \rightarrow$ aw then
$a$ is in $\operatorname{FIRST}(\mathrm{X})$
(b) IF X $\rightarrow \epsilon$ then
$\epsilon$ is in $\operatorname{FIRST}(\mathrm{X})$
(c) If $\mathrm{X} \rightarrow \mathrm{Aw}$ and $\epsilon \in \operatorname{FIRST}(\mathrm{A})$ then

Everything in $\operatorname{FIRST}(\mathrm{w})$ is in $\operatorname{FIRST}(\mathrm{X})$
3. In general, $\operatorname{FIRST}\left(\mathrm{X}_{1} \mathrm{X}_{2} \mathrm{X}_{3} . . \mathrm{X}_{K}\right)=$

- $\operatorname{FIRST}\left(\mathrm{X}_{1}\right)$
- $\cup \operatorname{FIRST}\left(\mathrm{X}_{2}\right)$ if $\epsilon$ is in $\operatorname{FIRST}\left(\mathrm{X}_{1}\right)$
- $\cup \operatorname{FIRST}\left(\mathrm{X}_{3}\right)$ if $\epsilon$ is in $\operatorname{FIRST}\left(\mathrm{X}_{1}\right)$ and $\epsilon$ is in $\operatorname{FIRST}\left(\mathrm{X}_{2}\right)$
- $\cup \operatorname{FIRST}\left(\mathrm{X}_{K}\right)$ if $\epsilon$ is in $\operatorname{FIRST}\left(\mathrm{X}_{1}\right)$ and $\epsilon$ is in $\operatorname{FIRST}\left(\mathrm{X}_{2}\right)$
$\ldots$ and $\epsilon$ is in $\operatorname{FIRST}\left(\mathrm{X}_{K-1}\right)$
-     - $\{\epsilon\}$ if $\epsilon \notin \operatorname{FIRST}\left(\mathrm{X}_{J}\right)$ for all J

Example: $L=\left\{a^{n} b^{m} c^{n}: n \geq 0,0 \leq m \leq 1\right\}$

$$
\begin{aligned}
& \mathrm{S} \rightarrow \mathrm{aSc} \mid \mathrm{B} \\
& \mathrm{~B} \rightarrow \mathrm{~b} \mid \epsilon
\end{aligned}
$$

$\operatorname{FIRST}(\mathrm{B})=$
$\operatorname{FIRST}(S)=$
$\operatorname{FIRST}(\mathrm{Sc})=$

## Example

$$
\begin{aligned}
& \mathrm{S} \rightarrow \mathrm{BCD} \mid \mathrm{aD} \\
& \mathrm{~A} \rightarrow \mathrm{CEB} \mid \mathrm{aA} \\
& \mathrm{~B} \rightarrow \mathrm{~b} \mid \epsilon \\
& \mathrm{C} \rightarrow \mathrm{~dB} \mid \epsilon \\
& \mathrm{D} \rightarrow \mathrm{cA} \mid \epsilon \\
& \mathrm{E} \rightarrow \mathrm{e} \mid \mathrm{fE}
\end{aligned}
$$

$\operatorname{FIRST}(\mathrm{S})=$
$\operatorname{FIRST}(\mathrm{A})=$
$\operatorname{FIRST}(\mathrm{B})=$
$\operatorname{FIRST}(\mathrm{C})=$
$\operatorname{FIRST}(\mathrm{D})=$
$\operatorname{FIRST}(\mathrm{E})=$

Definition: FOLLOW $(X)=$ set of terminals that can appear to the right of X in some derivation.

```
If S \stackrel{*}{=>}}\mathrm{ wAav then
    a is in FOLLOW(A)
```

(where w and v are strings of terminals and variables, a is a terminal, and A is a variable)

## To compute FOLLOW:

1. $\$$ is in $\operatorname{FOLLOW}(\mathrm{S})$
2. If $\mathrm{A} \rightarrow \mathrm{wBv}$ and $\mathrm{v} \neq \epsilon$ then
$\operatorname{FIRST}(\mathrm{v})-\{\epsilon\}$ is in $\operatorname{FOLLOW}(\mathrm{B})$
3. IF $\mathrm{A} \rightarrow \mathrm{wB}$ OR
$\mathrm{A} \rightarrow \mathrm{wBv}$ and $\epsilon$ is in $\operatorname{FIRST}(\mathrm{v})$ then
FOLLOW(A) is in FOLLOW(B)
4. $\epsilon$ is never in FOLLOW

## Example:

$$
\begin{aligned}
& \mathrm{S} \rightarrow \mathrm{aSc} \mid \mathrm{B} \\
& \mathrm{~B} \rightarrow \mathrm{~b} \mid \epsilon
\end{aligned}
$$

FOLLOW(S) =
$\operatorname{FOLLOW}(B)=$

## Example:

$$
\begin{aligned}
& \mathrm{S} \rightarrow \mathrm{BCD} \mid \mathrm{aD} \\
& \mathrm{~A} \rightarrow \mathrm{CEB} \mid \mathrm{aA} \\
& \mathrm{~B} \rightarrow \mathrm{~b} \mid \epsilon \\
& \mathrm{C} \rightarrow \mathrm{~dB} \mid \epsilon \\
& \mathrm{D} \rightarrow \mathrm{cA} \mid \epsilon \\
& \mathrm{E} \rightarrow \mathrm{e} \mid \mathrm{fE}
\end{aligned}
$$

FOLLOW $(S)=$
FOLLOW $(\mathrm{A})=$
FOLLOW $(B)=$
FOLLOW $(\mathrm{C})=$
FOLLOW $(\mathrm{D})=$
FOLLOW $(\mathrm{E})=$

