CPS 140 - Mathematical Foundations of CS
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Section: Properties of Regular Languages (Ch. 2.4) (handout)

## Homomorphism

Def. Let $\Sigma$, be alphabets. A homomorphism is a function

$$
\mathrm{h}: \Sigma \rightarrow,{ }^{*}
$$

## Example:

$$
\left.\begin{array}{l}
\Sigma=\{a, b, c\}, \quad=\{0,1\} \\
\\
\\
\\
\\
\\
\\
\\
\mathrm{h}(\mathrm{a}(\mathrm{~b})=11 \\
\mathrm{h}(\mathrm{c})=00
\end{array}\right] \quad \begin{aligned}
& \mathrm{h}(\mathrm{bc})=0
\end{aligned}
$$

Theorem Let $h$ be a homomorphism. If $L$ is regular, then $h(L)$ is regular.
Example using the homomorphism above.
$\mathrm{L}=a^{*} b b, \mathrm{~h}(\mathrm{~L})=$

## Questions about regular languages :

L is a regular language.

- Given $\mathrm{L}, \Sigma, \mathrm{w} \in \Sigma^{*}$, is $\mathrm{w} \in \mathrm{L}$ ?
- Is L empty?
- Is L infinite?
- Does $\mathrm{L}_{1}=\mathrm{L}_{2}$ ?


## Identifying Nonregular Languages

If a language L is finite, is L regular?

If L is infinite, is L regular?

- $L_{1}=\left\{a^{n} b^{m} \mid n>0, m>0\right\}=a^{*} b^{*}$
- $L_{2}=\left\{a^{n} b^{n} \mid n>0\right\}$

Prove that $L_{2}=\left\{a^{n} b^{n} \mid n>0\right\}$

- Proof: Suppose $L_{2}$ is regular.

Pumping Lemma: Let $L$ be an infinite regular language. $\exists$ a constant $m>0$ such that any $w \in L$ with $|w| \geq m$ can be decomposed into three parts as $w=x y z$ with

$$
\begin{aligned}
& |x y| \leq m \\
& |y| \geq 1 \\
& x y^{i} z \in L \quad \text { for all } i \geq 0
\end{aligned}
$$

Meaning: Every long string in $L$ (the constant $m$ above corresponds to the finite number of states in $M$ in the previous proof) can be partitioned into three parts such that the middle part can be "pumped" resulting in strings that must be in $L$.

## To Use the Pumping Lemma to prove $L$ is not regular:

- Proof by Contradiction.

Assume L is regular.
$\Rightarrow \mathrm{L}$ satisfies the pumping lemma.
Choose a long string $w$ in $\mathrm{L},|w| \geq m$. (The choice of the string is crucial. Must pick a string that will yield a contradiction).
Show that there is NO division of $w$ into $x y z$ (must consider all possible divisions) such that $|x y| \leq m,|y| \geq 1$ and $x y^{i} z \in \mathrm{~L} \forall i \geq 0$.
The pumping lemma does not hold. Contradiction!
$\Rightarrow L$ is not regular. QED.

## Example L $=\left\{a^{n} c b^{n} \mid n>0\right\}$

L is not regular.

## - Proof:

Assume L is regular.
$\Rightarrow$ the pumping lemma holds.
Choose $w=$
where $m$ is the constant in the pumping lemma. (Note that $w$ must be choosen such that $|w| \geq m$.)
The only way to partition $w$ into three parts, $w=x y z$, is such that $x$ contains 0 or more $a$ 's, $y$ contains 1 or more $a$ 's, and $z$ contains 0 or more $a$ 's concatenated with $c b^{m}$. This is because of the restrictions $|x y| \leq m$ and $|y|>0$. So the partition is:

It should be true that $x y^{i} z \in \mathrm{~L}$ for all $i \geq 0$.

Example L $=\left\{a^{n} b^{n+s} c^{s} \mid n, s>0\right\}$
L is not regular.

- Proof:

Assume L is regular.
$\Rightarrow$ the pumping lemma holds.
Choose $w=$
The only way to partition $w$ into three parts, $w=x y z$, is such that $x$ contains 0 or more $a$ 's, $y$ contains 1 or more $a$ 's, and $z$ contains 0 or more $a$ 's concatenated with the rest of the string $b^{m+s} c^{s}$. This is because of the restrictions $|x y| \leq m$ and $|y|>0$. So the partition is:

Example $\Sigma=\{a, b\}, \mathrm{L}=\left\{w \in \Sigma^{*} \mid n_{a}(w)>n_{b}(w)\right\}$
L is not regular.

- Proof:

Assume L is regular.
$\Rightarrow$ the pumping lemma holds.
Choose $w=$

So the partition is:

Example $\mathrm{L}=\left\{a^{3} b^{n} c^{n-3} \mid n>3\right\}$
L is not regular.

## - Proof:

Assume L is regular. $\Rightarrow$ the pumping lemma holds.
Choose $w=a^{3} b^{m} c^{m-3}$ where $m$ is the constant in the pumping lemma. There are three ways to partition $w$ into three parts, $w=x y z$. 1) y contains only $a$ 's 2 ) y contains only $b$ 's and 3 ) y contains $a$ 's and $b$ 's
We must show that each of these possible partitions lead to a contradiction. (Then, there would be no way to divide w into three parts s.t. the pumping lemma contraints were true).
Case 1: (y contains only $a$ 's). Then $x$ contains 0 to $2 a$ 's, $y$ contains 1 to $3 a$ 's, and $z$ contains 0 to 2 $a$ 's concatenated with the rest of the string $b^{m} c^{m-3}$, such that there are exactly $3 a$ 's. So the partition is:

$$
x=a^{k} \quad y=a^{j} \quad z=a^{3-k-j} b^{m} c^{m-3}
$$

where $k \geq 0, j>0$, and $k+j \leq 3$ for some constants $k$ and $j$.
It should be true that $x y^{i} z \in \mathrm{~L}$ for all $i \geq 0$.
$x y^{2} z=(x)(y)(y)(z)=\left(a^{k}\right)\left(a^{j}\right)\left(a^{j}\right)\left(a^{3-j-k} b^{m} c^{m-3}\right)=a^{3+j} b^{m} c^{m-3} \notin \mathrm{~L}$ since $j>0$, there are too many $a$ 's. Contradiction!
Case 2: (y contains only b's) Then $x$ contains 3 's followed by 0 or more $b$ 's, $y$ contains 1 to $m-3$ $b$ 's, and $z$ contains 3 to $m-3 b$ 's concatenated with the rest of the string $c^{m-3}$. So the partition is:

$$
x=a^{3} b^{k} \quad y=b^{j} \quad z=b^{m-k-j} c^{m-3}
$$

where $k \geq 0, j>0$, and $k+j \leq m-3$ for some constants $k$ and $j$.
It should be true that $x y^{i} z \in \mathrm{~L}$ for all $i \geq 0$.
$x y^{0} z=a^{3} b^{m-j} c^{m-3} \notin \mathrm{~L}$ since $j>0$, there are too few $b$ 's. Contradiction!
Case 3: (y contains $a$ 's and $b$ 's) Then $x$ contains 0 to $2 a$ 's, $y$ contains 1 to $3 a$ 's, and 1 to $m-3 b$ 's, $z$ contains 3 to $m-1 b$ 's concatenated with the rest of the string $c^{m-3}$. So the partition is:

$$
x=a^{3-k} \quad y=a^{k} b^{j} \quad z=b^{m-j} c^{m-3}
$$

where $3 \geq k>0$, and $m-3 \geq j>0$ for some constants $k$ and $j$.
It should be true that $x y^{i} z \in \mathrm{~L}$ for all $i \geq 0$.
$x y^{2} z=a^{3} b^{j} a^{k} b^{m} c^{m-3} \notin \mathrm{~L}$ since $j, k>0$, there are $b$ 's before $a$ 's. Contradiction!
$\Rightarrow$ There is no partition of $w$.
$\Rightarrow \mathrm{L}$ is not regular!. QED.

To Use Closure Properties to prove L is not regular:
Using closure properties of regular languages, construct a language that should be regular, but for which you have already shown is not regular. Contradiction!

## - Proof Outline:

Assume L is regular.
Apply closure properties to $L$ and other regular languages, constructing $L^{\prime}$ that you know is not regular.
closure properties $\Rightarrow L^{\prime}$ is regular.
Contradiction!
L is not regular. QED.

Example $\mathrm{L}=\left\{a^{3} b^{n} c^{n-3} \mid n>3\right\}$
L is not regular.

- Proof: (proof by contradiction)

Assume L is regular.
Define a homomorphism $h: \Sigma \rightarrow \Sigma^{*}$

$$
\begin{aligned}
& \quad h(a)=a \quad h(b)=a \quad h(c)=b \\
& h(L)=
\end{aligned}
$$

Example L $=\left\{a^{n} b^{m} a^{m} \mid m \geq 0, n \geq 0\right\}$
L is not regular.

- Proof: (proof by contradiction)

Assume L is regular.

Example: $L_{1}=\left\{a^{n} b^{n} a^{n} \mid n>0\right\}$
$L_{1}$ is not regular.

- Proof:

Assume $L_{1}$ is regular.
Goal is to try to construct $\left\{a^{n} b^{n} \mid n>0\right\}$ which we know is not regular.
Let $L_{2}=\left\{a^{*}\right\}$. $L_{2}$ is regular.
By closure under right quotient, $L_{3}=L_{1} \backslash L_{2}=\left\{a^{n} b^{n} a^{p} \mid 0 \leq p \leq n, n>0\right\}$ is regular.
By closure under intersection, $L_{4}=L_{3} \cap\left\{a^{*} b^{*}\right\}=\left\{a^{n} b^{n} \mid n>0\right\}$ is regular.
Contradiction, already proved $L_{4}$ is not regular!
Thus, $L_{1}$ is not regular. QED.

