left rotate x

CompSci 100e
Program Design and Analysis II

April 21, 2011
Prof. Rodger
Announcements

• Lab tomorrow
  – Focus on BoggleScore APT

• What is due:
  – Huffman (extended til Monday night, Apr 25)
  – APTS due Tue, April 26
  – Extra credit assignments due Wed, April 27

• Graphs and Red-Black trees today
  – Classwork 16
  – Internet APT – work through parts in class
More on trees

• General tree
More on trees

• General tree

• Implementation
Balanced Trees

- Splay trees
- AVL trees
- Red-black trees
- B-trees
Balanced trees, we’ll look at red-black

• Both kinds have worst-case $O(\log n)$ time for tree operations

• AVL (Adel’son-Velskii and Landis), 1962
  – Nodes are “height-balanced”, subtree heights differ by 1
  – Rebalancing requires per-node bookkeeping of height

• Red-black tree uses same rotations, but can rebalance in one pass, contrast to AVL tree
  – In AVL case, insert, calculate balance factors, rebalance
  – In Red-black tree can rebalance on the way down, code is more complex, but doable
  – Standard `java.util.TreeMap/TreeSet` use red-black
Red-Black Tree

• Invented by Bayr – (though called them something else)
• Robert Tarjan (Turing Award Winner) – noticed the rotations were $O(1)$
• Type of balanced tree – uses color scheme, recoloring and rotations to balance
Red-Black Tree

- Is a Binary Search Tree

Properties:
- Every node is red or black
- The root is black
- If a node is red, then its children are black
- Every leaf is a null node and black (external node)
- Every simple path from a node to a descendant leaf contains the same number of black nodes.
Example red-black tree

• In the figure, black nodes are shaded and red nodes are non-shaded

• Check properties
Example

• The five properties ensure that no path is more than twice as long as any other path

• Def. The *height* \((h)\) of a node is the length of the longest path from the node (downward) to a leaf (including external nodes).

• Def. The *black height* \((bh)\) of a node \(x\) is the number of black nodes on any path from \(x\) (not including \(x\)) to a leaf

• Examples: \(h(19)\)? \(bh(19)\)? \(h(8)\)? \(bh(8)\)?
Height of Red-Black Tree

• Lemma: A red-black tree with \( n \) internal nodes has height at most \( 2 \log (n+1) \)

• Operations:
  – Time for search for \( x \):
  – Time for min:
  – Time for list inorder:
Rotations

• We want to perform insertions and deletions in $O(\log n)$ time. Adding or deleting a node may disrupt one of its properties, so in addition to some recolorings, we may also have to restructure the tree by performing a rotation (change some pointers).

Note the inorder traversal in both is: abcde
Right Rotate

- Note the rotations change the pointer structure while preserving the inorder property.
Example of rotation
Insertion

• Insert node as RED using a binary search tree insert
  – Means insert as a Red leaf with two black NULL nodes
• Then fix-up so that properties still hold
  – Recoloring and/or 1-2 rotations
• Several cases to consider
Cases for Insert

case 1

case 2

case 3

CompSci 100e, Spring 2011
Insertion – Case 1 – How to Fix

• Case 1 – sibling of parent of x (called y) is red

To fix: recolor three nodes, then fix up new “x”
Insertion – Case 2 How to Fix

• Sibling of parent of x (call y) is black, x right child

• To fix: set x to parent of x and left rotate x, then it becomes a case 3
Insertion – Case 3 – How to Fix

• Case 3 – sibling of parent of x (call y) is black, x left child

• To fix: two recolorings and one right rotate of grandparent of x
Example of Insert 4 w/ double rotation

case 1

case 2

case 3
Analysis – Red Black Tree

• Insert
• Deletion
Analysis – Red Black Tree

- Insert \( O(\log n) \)
- Deletion \( O(\log n) \)