Announcements (January 22)

- Reading assignment for next week
  - System R paper + Lomet’s B*-tree tricks
  - Due next Wednesday night
- Course project
- Presentation sign-up sheet is circulating
- Homework #1 due in 12 days
- Recitation session on SQL next Friday
  - 1-2pm fine with everybody?

Outline

- It’s all about disks!
  - That’s why we always draw databases as
  - And why the single most important metric in database processing is the number of disk I/O’s performed
- Record layout
- Block layout

Storage hierarchy

A typical disk

How far away is data?

<table>
<thead>
<tr>
<th>Location</th>
<th>Cycles</th>
<th>Location</th>
<th>Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>Registers</td>
<td>1</td>
<td>My head</td>
<td>1 min.</td>
</tr>
<tr>
<td>On-chip cache</td>
<td>2</td>
<td>This room</td>
<td>2 min.</td>
</tr>
<tr>
<td>On-board cache</td>
<td>10</td>
<td>Duke campus</td>
<td>10 min.</td>
</tr>
<tr>
<td>Memory</td>
<td>100</td>
<td>Washington D.C.</td>
<td>1.5 hr.</td>
</tr>
<tr>
<td>Disk</td>
<td>(10^6)</td>
<td>Pluto</td>
<td>2 yr.</td>
</tr>
<tr>
<td>Tape</td>
<td>(10^9)</td>
<td>Andromeda</td>
<td>2000 yr.</td>
</tr>
</tbody>
</table>

(Source: AlphaSort paper, 1995)

\* I/O dominates—design your algorithms to reduce I/O!
Top view

Higher-density sectors on inner tracks
and/or more sectors on outer tracks

Sectors

A block is a logical unit of transfer consisting of one or more sectors

Disk access time

Sum of:
- Seek time: time for disk heads to move to the correct cylinder
- Rotational delay: time for the desired block to rotate under the disk head
- Transfer time: time to read/write data in the block (= time for disk to rotate over the block)

Random disk access

Seek time + rotational delay + transfer time

- Average seek time
  - Time to skip one half of the cylinders?
  - Not quite; should be time to skip a third of them (why?)
  - “Typical” value: 5 ms
- Average rotational delay
  - Time for a half rotation (a function of RPM)
  - “Typical” value: 4.2 ms (7200 RPM)
- How do you calculate transfer time (function of transfer size)?

Sequential disk access

Seek time + rotational delay + transfer time

- Seek time
  - 0 (assuming data is on the same track)
- Rotational delay
  - 0 (assuming data is in the next block on the track)
- Easily an order of magnitude faster than random disk access!

Performance tricks

- Disk layout strategy
  - Keep related things (what are they?) close together: same sector/block → same track → same cylinder → adjacent cylinder
- Double buffering
  - While processing the current block in memory, prefetch the next block from disk (overlap I/O with processing)
- Disk scheduling algorithm
  - Example: “elevator” algorithm
- Track buffer
  - Read/write one entire track at a time
- Parallel I/O
  - More disk heads working at the same time

Record layout

Record = row in a table

- Variable-format records
  - Number and types of fields not known in advance
  - Rare in DBMS—table schema dictates the format
  - Relevant for semi-structured data such as XML
- Focus on fixed-format records
  - With fixed-length fields only, or
  - With possible variable-length fields
Fixed-length fields

- All field lengths and offsets are constant
  - Can be pre-computed from schema
- Example: CREATE TABLE Student(SID INT, name CHAR(20), age INT, GPA FLOAT);
  
<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>4</td>
<td>24 28 36</td>
</tr>
<tr>
<td>142</td>
<td>Bart (padded with space)</td>
<td>10</td>
</tr>
</tbody>
</table>

- Watch out for alignment
  - May need to pad; reorder columns if that helps
- What about NULL?
  - Add a bitmap at the beginning of the record

Variable-length records

- Example: CREATE TABLE Student(SID INT, name VARCHAR(20), age INT, GPA FLOAT, comment VARCHAR(100));

Approach 1: use field delimiters (“\0” okay?)

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>4</td>
<td>8</td>
</tr>
<tr>
<td>142</td>
<td>10</td>
<td>2.3</td>
</tr>
</tbody>
</table>

Approach 2: use an offset array

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
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<td>8</td>
</tr>
<tr>
<td>142</td>
<td>10</td>
<td>2.3</td>
</tr>
<tr>
<td>22</td>
<td>32</td>
<td></td>
</tr>
</tbody>
</table>

- Put all variable-length fields at the end (why?)
- Update is messy if it changes the length of a field

Record layout in commercial systems

- DB2, SQL Server, Informix, Sybase: all variants of the offset array approach
  - DB2: in the fixed-length part of the record, store (offset, length) for a variable-length field, where offset points to the start of the field in the variable-length part of the record; no need to reorder fields
- Oracle: records are structured as if all fields are potentially of variable length
  - A record is a sequence of (length, data) pairs, with a special length value denoting NULL

LOB fields

- Example: CREATE TABLE Student(SID INT, name CHAR(20), age INT, GPA FLOAT, picture BLOB(32000));

- Student records get “de-clustered”
  - Bad because most queries do not involve picture
- Store LOB’s in a difference place (automatically done by DBMS and transparent to the user)
  - Conceptually, the table is decomposed into
    - Student(SID, name, age, GPA, picture_id)
    - Picture(picture_id, picture)
  - Like System R Phase 0’s XRM storage manager

Block layout

How do you organize records in a block?

- NSM (N-ary Storage Model)
  - Most commercial DBMS
- PAX (Partition Attributes Across)
  - Research work (Ailamaki et al., VLDB 2001)

NSM

- Store records from the beginning of each block
- Use a slot directory at the end of each block
  - To locate records and manage free space
  - Necessary for variable-length records

Why store data and directory at two different ends?
Both can grow easily
Options

- Reorganize after every update/delete to avoid fragmentation (gaps between records)
  - Need to rewrite half of the block on average
- What if records are fixed-length?
  - Reorganize after delete
    - Only need to move one record
    - In slot directory, keep a pointer to the beginning of free space
  - Do not reorganize after update
    - In slot directory, keep a bitmap showing which slots are in use

Cache behavior of NSM

- Query: `SELECT SID FROM Student WHERE GPA > 2.0;`
- Say cache block size < record size
- Lots of cache misses
  - ID and GPA are not close enough by memory standard

Do caches misses matter in DBMS?

- No? Compared to disk I/O’s, memory-related stall time is nothing
- Yes?
  - You may mask some I/O cost
  - You may avoid some I/O’s by memory buffering
  - Percentage of memory-related stall time due to data cache misses is high
    - 90% for OLAP workloads
      (lots of large, complex, range-based queries, few updates)
    - 50-70% for OLTP workloads
      (lots of small, simple, point-based queries and updates)

PAX versus NSM

- Space requirement
  - Roughly the same
- Cache performance
  - PAX incurs 75% less data cache misses than NSM
- Overall performance
  - For OLAP queries (TPC-H), PAX is 11-48% faster
  - For updates, PAX is 10-16% faster (assuming NSM also reorganizes)
  - Unanswered question: How about OLTP queries/updates (typically very selective)?
- Check out an “adaptive” hybrid of PAX and NSM

PAX

- Most queries only access a few columns
- Cluster same columns in “minipages” in each block
  - When a particular column of a row is brought into the cache, the same column of the next row is brought in together
  - Reorganize after every update
    (for variable-length records only)
  - and delete to keep fields together

“Pointers” to records

- Logical record id: value of the primary key
  - Used in foreign-key references (e.g., `Enroll(SID, CID)`)
- Physical record id: (disk block id, slot number)
  - Used in index entries: (key, physical record id)
- Pros and cons
  - Physical id is faster
    - Disk block id directly gives exact location of record on disk, while given the primary key value, we need to go through the primary index
  - Primary key value might be huge (in terms of size in bytes)
  - Some tables do not declare primary key
    - Can invent a surrogate key
  - Logical record id is more informative
    - May save an access to the actual record
  - Physical id must be maintained when record moves around on disk
Record pointers in commercial systems

- At user/SQL level, logical record id is the only option (why?)
- Internally, virtually all commercial systems use physical record id
  - Except Oracle and SQL Server, who use primary key as record id if one exists

Summary

- Storage hierarchy
  - Why I/O's dominate the cost of database operations
- Disk
  - Steps in completing a disk access
  - Sequential versus random accesses
- Record layout
  - Handling variable-length fields
  - Handling NULL
  - Handling modifications
- Block layout
  - NSM versus PAX
- Logical versus physical record ids

Next: more SQL; then indexing