

## SQL

CPS 216  
Advanced Database Systems

## Review

SELECT [DISTINCT]... Step 5.  $\pi$   
FROM ... Step 1.  $\times$   
WHERE ... Step 2.  $\sigma$   
GROUP BY ... Step 3. Grouping  
HAVING ...; Step 4. Another  $\sigma$

2

## ORDER BY

- SELECT [DISTINCT]  $E_1, E_2, E_3 \dots$   
FROM...WHERE...GROUP BY...HAVING...  
ORDER BY  $E_{i_1}$  [ASC | DESC],  
 $E_{i_2}$  [ASC | DESC], ...;
- ASC = ascending, DESC = descending
- Operational semantics
  - After SELECT list has been computed and optional duplicate elimination has been carried out, sort the output according to ORDER BY specification

3

## ORDER BY example

- List all students, sort them by GPA (descending) and then name (ascending)
  - SELECT SID, name, age, GPA  
FROM Student  
ORDER BY GPA DESC, name;
  - ASC is the default option
  - Technically, only output columns can appear in ORDER BY clause (some DBMS support more)
  - Can use output index instead  
ORDER BY 4 DESC, 2;

4

## Data modification: INSERT

- Insert one row  
Example: Student 456 takes CPS 216
  - INSERT INTO Enroll VALUES (456, 'CPS 216');
- Insert the result of a query  
Example: Force everybody to take CPS 216
  - INSERT INTO Enroll  
(SELECT SID, 'CPS 216' FROM Student  
WHERE SID NOT IN (SELECT SID FROM Enroll  
WHERE CID = 'CPS 216'));

5

## Data modification: DELETE

- Delete everything
  - DELETE FROM Enroll;
- Delete according to a WHERE condition  
Example: Student 456 drops CPS 216
  - DELETE FROM Enroll  
WHERE SID = 456 AND CID = 'CPS 216';
- Example: Drop students with GPA lower than 1.0 from all CPS classes
  - DELETE FROM Enroll  
WHERE SID IN (SELECT SID FROM Student  
WHERE GPA < 1.0  
AND CID LIKE 'CPS%');

6

## Data modification: UPDATE

- Example: Student 142 changes name to “Barney”
  - UPDATE Student  
SET name = 'Barney'  
WHERE SID = 142;
- Example: Let's be “fair”?
  - UPDATE Student  
SET GPA = (SELECT AVG(GPA) FROM Student);
  - Update of every row causes average GPA to change
  - Average GPA is computed over the old Student table

7

## Views

- A view is like a virtual table
  - Defined by a query, which describes how to compute the view contents on the fly
  - DBMS stores the view definition query instead of view contents
  - Can be used in queries just like a regular table

8

## Creating and dropping views

- Example: CPS 216 roster
  - CREATE VIEW CPS216Roster AS  
SELECT SID, name, age, GPA  
FROM Student  
WHERE SID IN (SELECT SID FROM Enroll  
WHERE CID = 'CPS 216');
- To drop a view (or table)
  - DROP VIEW *view\_name*;
  - DROP TABLE *table\_name*;

9

## Using views in queries

- Example: find the average GPA of CPS 216 students
  - SELECT AVG(GPA) FROM CPS216Roster;
  - To process the query, replace the reference to the view by its definition
  - SELECT AVG(GPA)  
FROM (SELECT SID, name, age, GPA  
FROM Student  
WHERE SID IN (SELECT SID  
FROM Enroll  
WHERE CID = 'CPS 216'));

10

## Why use views?

- To hide data from users
- To hide complexity from users
- Logical data independence
  - If applications deal with views, we can change the underlying schema without affecting applications
  - Recall physical data independence: change the physical organization of data without affecting applications
- Real database applications use tons of views

11

## Modifying views

- Doesn't seem to make sense since views are virtual
- But does make sense if that's how users view the database
- Goal: modify the base tables such that the modification would appear to have been accomplished on the view

12

## A simple case

```
CREATE VIEW StudentGPA AS
  SELECT SID, GPA FROM Student;
DELETE FROM StudentGPA WHERE SID = 123;
```

translates to:

```
DELETE FROM Student WHERE SID = 123;
```

13

## An impossible case

```
CREATE VIEW HighGPASStudent AS
  SELECT SID, GPA FROM Student
  WHERE GPA > 3.7;
INSERT INTO HighGPASStudent
  VALUES(987, 2.5);
```

- No matter what you do on the student table, the inserted tuple won't be in HighGPASStudent

14

## A case with too many possibilities

```
CREATE VIEW AverageGPA(GPA) AS
  SELECT AVG(GPA) FROM Student;
```

– Note that you can rename columns in view definition

```
UPDATE AverageGPA SET GPA = 2.5;
```

- Set everybody's GPA to 2.5?
- Adjust everybody's GPA by the same amount?
- Just lower Bart's GPA?

15

## SQL92 updatable views

- Single-table SFW
  - No aggregation
  - No subqueries
- Overly restrictive
- Still gets it wrong in some cases
  - See the slide titled "An impossible case"

16

## Incomplete information

- Example: Student (SID, name, age, GPA)
- Value unknown
  - We don't know Nelson's age
- Value not applicable
  - Nelson hasn't taken any classes yet; what's his GPA?

17

## Solution 1

- A dedicated special value for each domain
  - GPA cannot be –1, so use –1 as a special value
  - SELECT AVG(GPA) FROM Student;
    - Oh no, it's lower than I expected!
  - SELECT AVG(GPA) FROM Student WHERE GPA <> –1;
    - Complicates applications
  - Remember the pre-Y2K bug?
    - 09/09/99 was used as an invalid or missing date value
    - It's tricky to make these assumptions!

18

## Solution 2

- A valid-bit column for every real column
  - Student (SID, name, name\_is\_valid, age, age\_is\_valid, GPA, GPA\_is\_valid)
  - Too much overhead
  - `SELECT AVG(GPA) FROM Student WHERE GPA_valid;`
    - Still complicates applications

19

## SQL's solution

- A special value NULL
  - Same for every domain
  - Special rules for dealing with NULLs
- Example: Student (SID, name, age, GPA)
  - `<789, 'Nelson', NULL, NULL>`

20

## Computing with NULLS

- When we operate on a NULL and another value (including another NULL) using +, -, etc., the result is NULL
- Aggregate functions ignore NULL, except `COUNT(*)`

21

## Three-valued logic

- `TRUE = 1, FALSE = 0, UNKNOWN = 0.5`
- $x \text{ AND } y = \min(x, y)$   
 $x \text{ OR } y = \max(x, y)$   
 $\text{NOT}(x) = 1 - x$
- When we compare a NULL with another value (including another NULL) using =, >, etc., the result is UNKNOWN
- WHERE and HAVING clauses only select tuples if the condition evaluates to TRUE
  - UNKNOWN is insufficient

22

## Unfortunate consequences

- `select avg(GPA) from Student;`  
`select sum(GPA) / count(*) from Student;`
  - Not equivalent
  - $\text{avg}(\text{GPA}) = \text{sum}(\text{GPA}) / \text{count}(\text{GPA})$  still holds
- `select * from Student;`  
`select * from Student where GPA > 3.0 or GPA <= 3.0;`
  - Not equivalent
- Be careful: NULL breaks many equivalences

23

## Another problem

- Example: Who has NULL GPA values?
  - `select * from Student where GPA = NULL;`
    - Won't work; never returns anything!
  - `(select * from Student) except all (select * from Student where GPA = 0 OR GPA <> 0);`
    - Ugly!
  - New built-in predicates `IS NULL` and `IS NOT NULL`  
`select * from Student where GPA is null;`

24

## Recap

- Covered
  - ORDER BY
  - Data modification statements
  - Views
  - NULLs
- Skipped
  - Outerjoin
  - Alternative join syntax
  - Schema modification statements
- Next
  - Constraints

25

## Constraints

- Restrictions on allowable data in a database
  - In addition to the simple structure and type restrictions imposed by the table definitions
  - Declared as part of the schema
  - Enforced by the DBMS
- Why use constraints?
  - Protect data integrity (catch errors)
  - Tell the DBMS about the data (so it can optimize better)

26

## Types of constraints

- NOT NULL
- Key
- Referential integrity
- General assertion
- Tuple- and attribute-based CHECKs

27

## NOT NULL constraint example

- create table Student  
(SID integer not null,  
name varchar(30) not null,  
email varchar(30),  
age integer, GPA float);
- create table Course  
(CID char(10) not null,  
title varchar(100) not null);
- create table Enroll  
(SID integer not null, CID char(10) not null);

28

## Key declaration

- At most one PRIMARY KEY per table
  - Typically implies a primary index
  - Rows are stored inside the index, typically sorted by primary key value
- Any number of UNIQUE keys per table
  - Typically implies a secondary index
  - Pointers to rows are stored inside the index

29

## Key declaration examples

- create table Student  
(SID integer not null primary key,  
name varchar(30) not null,  
email varchar(30) unique, ← Works on Oracle  
age integer, GPA float); but not DB2:  
DB2 requires UNIQUE  
key columns  
to be NOT NULL
- create table Course  
(CID char(10) not null primary key,  
title varchar(100) not null);
- create table Enroll  
(SID integer not null, CID char(10) not null,  
primary key(SID, CID));

30

## Referential integrity example

- Enroll.SID references Student.SID
- Enroll.CID references Course.CID
- If an SID appears in Enroll, it must appear in Student
- If a CID appears in Enroll, it must appear in Course
- That is, no “dangling pointers”

Student			Enroll		Course	
SID	name	...	SID	CID	CID	title
142	Barb	...	142	CPS 216	CPS 216	Advanced Data...
123	Milhouse	...	142	CPS 214	CPS 130	Analysis of Algo...
857	Lisa	...	123	CPS 216	CPS 214	Computer Net...
456	Ralph	...	857	CPS 216	...	...
...	...	...	857	CPS 130	...	...

31

## Referential integrity in SQL

- Referenced column must be **PRIMARY KEY**
- Referencing column is called **FOREIGN KEY**
- Example declaration
  - create table Enroll  
(SID integer not null references Student(SID),  
CID char(10) not null,  
primary key(SID, CID),  
foreign key CID references Course(CID));

32

## Enforcing referential integrity

Example: Enroll.SID references Student.SID

- Insert or update a Enroll tuple so it refers to a non-existent SID
  - Reject
- Delete or update a Student tuple whose SID is referenced by some Enroll tuple
  - Reject
  - Cascade: ripple changes to all referring tuples
  - Set NULL: set all references to NULL
  - All three options can be specified in SQL

33

## Deferred constraint checking

- No-chicken-no-egg problem
  - create table Dept  
(name char(20) not null primary key,  
chair char(30) not null references Prof(name));
  - create table Prof  
(name char(30) not null primary key,  
dept char(20) not null references Dept(name));
  - The first INSERT will always violate a constraint
- Deferred constraint checking is necessary
  - Check only at the end of a transaction
  - Allowed in SQL as an option

34

## General assertion

- CREATE ASSERTION *assertion\_name*  
CHECK *assertion\_condition*;
- *assertion\_condition* is checked for each modification that could potentially violate it
- Example: Enroll.SID references Student.SID
  - CREATE ASSERTION EnrollStudentRefIntegrity  
CHECK (NOT EXISTS  
(SELECT \* FROM Enroll  
WHERE SID NOT IN  
(SELECT SID FROM Student)));
- SQL3, but not all (perhaps no) DBMS supports it

35

## Tuple- and attribute-based CHECKs

- Associated with a single table
- Only checked when a tuple or an attribute is inserted or updated
- Example:
  - CREATE TABLE Enroll  
(SID integer not null  
CHECK (SID IN (SELECT SID FROM Student)),  
CID ...);
  - Is it a referential integrity constraint?
  - Not quite; not checked when Student is modified

36

Next time

Transactions!

37