

Solving Problems Recursively

- Recursion is an indispensable tool in a programmer's toolkit
 - Allows many complex problems to be solved simply
 - Elegance and understanding in code often leads to better programs: easier to modify, extend, verify (and sometimes more efficient!!)
 - Sometimes recursion isn't appropriate, when it's bad it can be very bad--every tool requires knowledge and experience in how to use it
- The basic idea is to get help solving a problem from coworkers (clones) who work and act like you do
 - Ask clone to solve a simpler but similar problem
 - Use clone's result to put together your answer
- Need both concepts: call on the clone and use the result

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4.1

Print words entered, but backwards

- Can use an ArrayList, store all the words and print in reverse order
 - Probably the best approach, recursion works too
- ```
public void printReversed(Scanner s){
 if (s.hasNext()){ // reading succeeded?
 String word = s.next(); // store word
 printReversed(s); // print rest
 System.out.println(word); // print the word
 }
}
```
- The function `printReversed` reads a word, prints the word only after the clones finish printing in reverse order
    - Each clone has own version of the code, own word variable
    - Who keeps track of the clones?
    - How many words are created when reading N words?
      - What about when ArrayList<String> used?

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4.2

## Exponentiation

- Computing  $x^n$  means multiplying  $n$  numbers (or does it?)
  - What's the easiest value of  $n$  to compute  $x^n$ ?
  - If you want to multiply only once, what can you ask a clone?

```
public static double power(double x, int n){
 if (n == 0){
 return 1.0;
 }
 return x * power(x, n-1);
}
```

- What about an iterative version?

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4.3

## Faster exponentiation

- How many recursive calls are made to computer  $2^{1024}$ ?
  - How many multiplies on each call? Is this better?

```
public static double power(double x, int n){
 if (n == 0) {
 return 1.0;
 }
 double semi = power(x, n/2);
 if (n % 2 == 0) {
 return semi*semi;
 }
 return x * semi * semi;
}
```

- What about an iterative version of this function?

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4.4

## Back to Recursion

- **Recursive functions have two key attributes**
  - There is a *base case*, sometimes called the *exit case*, which does not make a recursive call
    - See print reversed, exponentiation
  - All other cases make a recursive call, with some parameter or other measure that decreases or moves towards the base case
    - Ensure that sequence of calls eventually reaches the base case
    - “Measure” can be tricky, but usually it’s straightforward
- **Example: sequential search in an array**
  - If first element is search key, done and return
  - Otherwise look in the “rest of the array”
  - How can we recurse on “rest of array”?

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## Thinking recursively

- **Problem: find the largest element in an array**
  - Iteratively: loop, remember largest seen so far
  - Recursive: find largest in [1..n), then compare to 0<sup>th</sup> element

```
public static double max(double[] a){
 double maxSoFar = a[0];
 for(int k=1; k < a.length; k++) {
 maxSoFar = Math.max(maxSoFar, a[k]);
 }
 return maxSoFar;
}
```

- In a recursive version what is base case, what is measure of problem size that decreases (towards base case)?

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## Recursive Max

```
public static double recMax(double[] a, int index){
 if (index == a.length-1){ // last element, done
 return a[index];
 }
 double maxAfter = recMax(a, index+1);
 return Math.max(a[index], maxAfter);
}
```

- **What is base case (conceptually)?**
  - Do we need variable `maxAfter`?
- **We can use `recMax` to implement `arrayMax` as follows**

```
return recMax(a, 0);
```

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## Recognizing recursion:

```
public static void change(String[] a, int first, int last){
 if (first < last) {
 String temp = a[first]; // swap a[first], a[last]
 a[first] = a[last];
 a[last] = temp;
 change(a, first+1, last-1);
 }
}
// original call (why?): change(a, 0, a.length-1);
```

- **What is base case? (no recursive calls)**
- **What happens before recursive call made?**
- **How is recursive call closer to the base case?**

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## More recursion recognition

```
public static int value(int[] a, int index){
 if (index < a.length) {
 return a[index] + value(a,index+1);
 }
 return 0;
}
// original call: int v = value(a,0);
```

- What is base case, what value is returned?
- How is progress towards base case realized?
- How is recursive value used to return a value?
- What if a is array of doubles, does anything change?

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## Analysis: Algorithms and Data Structures

- We need a vocabulary to discuss performance and to reason about alternative algorithms and implementations
  - > It's faster! It's more elegant! It's safer! It's cooler!
- We need empirical tests and analytical/mathematical tools
  - > Given two methods, which is better? Run them to check.
    - 30 seconds vs. 3 seconds, easy. 5 hours vs. 2 minutes, harder
    - What if it takes two weeks to implement the methods?
  - > Use mathematics to analyze the *algorithm*,
  - > The implementation is another matter, cache, compiler optimizations, OS, memory,...

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## Jaron Lanier (<http://www.advanced.org/jaron>)

Jaron Lanier is a computer scientist, composer, visual artist, and author. He coined the term 'Virtual Reality' ... he co-developed the first implementations of virtual reality applications in surgical simulation, vehicle interior prototyping, virtual sets for television production, and assorted other areas

*"What's the difference between a bug and a variation or an imperfection? If you think about it, if you make a small change to a program, it can result in an enormous change in what the program does. If nature worked that way, the universe would crash all the time."*

Lanier has no academic degrees



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## Recursion and recurrences

- Why are some functions written recursively?
  - > Simpler to understand, but ...
  - > Mt. Everest reasons
- Are there reasons to prefer iteration?
  - > Better optimizer: programmer/scientist v. compiler
  - > Six of one? Or serious differences
    - "One person's meat is another person's poison"
    - "To each his own", "Chacun a son gout", ...
- Complexity (big-Oh) for iterative and recursive functions
  - > How to determine, estimate, intuit

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## What's the complexity of this code?

```
// first and last are integer indexes, list is List
int lastIndex = first;
Comparable pivot = list.get(first);
for(int k=first+1; k <= last; k++){
 Comparable ko = list.get(k);
 if (ko.compareTo(pivot) <= 0){
 lastIndex++;
 Collections.swap(list,lastIndex,k);
 }
}
```

- What is big-Oh cost of a loop that visits  $n$  elements of a vector?
  - > Depends on loop body, if body  $O(1)$  then ...
  - > If body is  $O(n)$  then ...
  - > If body is  $O(k)$  for  $k$  in  $[0..n)$  then ...

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## FastFinder.findHelper

```
private Object findHelper(ArrayList<Comparable> list,
 int first, int last, int kindex){
 int lastIndex = first;
 Comparable pivot = list.get(first);
 for(int k=first+1; k <= last; k++){
 Comparable ko = list.get(k);
 if (ko.compareTo(pivot) <= 0){
 lastIndex++;
 Collections.swap(list,lastIndex,k);
 }
 }
 Collections.swap(list,lastIndex,first);
 if (lastIndex == kindex) return list.get(lastIndex);
 if (kindex < lastIndex)
 return findHelper(list,first,lastIndex-1,kindex);
 return findHelper(list,lastIndex+1,last,kindex);
}
```

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## Different measures of complexity

- Worst case
  - > Gives a good upper-bound on behavior
  - > Never get worse than this
  - > Drawbacks?
- Average case
  - > What does average mean?
  - > Averaged over all inputs? Assuming uniformly distributed random data?
  - > Drawbacks?
- Best case
  - > Linear search, useful?

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## Multiplying and adding big-Oh

- Suppose we do a linear search then we do another one
  - > What is the complexity?
  - > If we do 100 linear searches?
  - > If we do  $n$  searches on a vector of size  $n$ ?
- What if we do binary search followed by linear search?
  - > What are big-Oh complexities? Sum?
  - > What about 50 binary searches? What about  $n$  searches?
- What is the number of elements in the list (1,2,2,3,3,3)?
  - > What about (1,2,2, ...,  $n,n, \dots, n$ )?
  - > How can we reason about this?

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## Helpful formulae

- We always mean base 2 unless otherwise stated

> What is  $\log(1024)$ ?

>  $\log(xy)$     $\log(x^y)$     $\log(2^n)$     $2^{(\log n)}$

- $\log(x) + \log(y)$
- $y \log(x)$
- $n \log(2) = n$
- $2^{(\log n)} = n$

- Sums (also, use sigma notation when possible)

>  $1 + 2 + 4 + 8 + \dots + 2^k = 2^{k+1} - 1 = \sum_{i=0}^k 2^i$

>  $1 + 2 + 3 + \dots + n = n(n+1)/2 = \sum_{i=1}^n i$

>  $a + ar + ar^2 + \dots + ar^{n-1} = a(r^n - 1)/(r-1) = \sum_{i=0}^{n-1} ar^i$

## Recursion Review

- Recursive functions have two key attributes

> There is a *base case*, sometimes called the *exit case*, which does not make a recursive call

> All other cases make recursive call(s), the results of these calls are used to return a value when necessary

- Ensure that every sequence of calls reaches base case
- Some measure decreases/moves towards base case
- “Measure” can be tricky, but usually it’s straightforward

- Example: sequential search in an ArrayList

> If first element is search key, done and return

> Otherwise look in the “rest of the list”

> How can we recurse on “rest of list”?

## Sequential search revisited

- What is complexity of sequential search? Of code below?

```
boolean search(ArrayList<Object> list,
 int first, Object target) {
 if (first >= list.size()) return false;
 else if (list.get(first).equals(target))
 return true;
 else return search(list, first+1, target);
}
```

- Why are there three parameters? Same name good idea?

```
boolean search(ArrayList list, Object target) {
 return search(list, 0, target);
}
```

## Why we study recurrences/complexity?

- Tools to analyze algorithms
- Machine-independent measuring methods
- Familiarity with good data structures/algorithms

- What is CS person: programmer, scientist, engineer?

*scientists build to learn, engineers learn to build*

- Mathematics is a notation that helps in thinking, discussion, programming

## Recurrences

- Summing Numbers

```
int sum(int n)
{
 if (0 == n) return 0;
 else return n + sum(n-1);
}
```

- What is complexity? justification?
- $T(n)$  = time to compute sum for  $n$

$$T(n) = T(n-1) + 1$$
$$T(0) = 1$$

- instead of 1, use  $O(1)$  for *constant time*
  - > independent of  $n$ , the measure of problem size

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## Solving recurrence relations

- plug, simplify, reduce, guess, verify?

$$T(n) = T(n-1) + 1$$
$$T(0) = 1$$

$$T(n-1) = T(n-1-1) + 1$$

$$T(n) = [T(n-2) + 1] + 1 = T(n-2) + 2$$

$$T(n-2) = T(n-2-1) + 1$$

$$T(n) = [(T(n-3) + 1) + 1] + 1 = T(n-3) + 3$$

$$T(n) = T(n-k) + k \quad \text{find the pattern!}$$

Now, let  $k=n$ , then  $T(n) = T(0) + n = 1 + n$

- get to base case, solve the recurrence:  $O(n)$

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## Complexity Practice

- What is complexity of *Build*? (what does it do?)

```
ArrayList<Integer> build(int n)
{
 if (0 == n) return new ArrayList<Integer>(); // empty
 ArrayList<Integer> list = build(n-1);
 for(int k=0; k < n; k++){
 list.add(k);
 }
 return list;
}
```

- Write an expression for  $T(n)$  and for  $T(0)$ , solve.

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## Recognizing Recurrences

- Solve once, re-use in new contexts
  - >  $T$  must be explicitly identified
  - >  $n$  must be some measure of size of input/parameter
    - $T(n)$  is the time for quicksort to run on an  $n$ -element vector

|                         |                   |               |
|-------------------------|-------------------|---------------|
| $T(n) = T(n/2) + O(1)$  | binary search     | $O(\log n)$   |
| $T(n) = T(n-1) + O(1)$  | sequential search | $O(n)$        |
| $T(n) = 2T(n/2) + O(1)$ | tree traversal    | $O(n)$        |
| $T(n) = 2T(n/2) + O(n)$ | quicksort         | $O(n \log n)$ |
| $T(n) = T(n-1) + O(n)$  | selection sort    | $O(n^2)$      |

- Remember the algorithm, re-derive complexity

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## Eugene (Gene) Myers

- Lead computer scientist/software engineer at Celera Genomics (now at Berkeley, now at ...?)
- "What really astounds me is the architecture of life. The system is extremely complex. It's like it was designed." ... "There's a huge intelligence there."

