# First Order Logic (Predicate Calculus)

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# First Order Logic

- · Propositional logic is very restrictive
  - Can't make global statements about objects in the world
  - Tends to have very large KBs
- First order logic is more expressive
  - Relations, quantification, functions
  - More expensive

# First Order Syntax

- Sentences
- Atomic sentence predicate(term)
- Terms functions, constants, variables
- Connectives
- Quantifiers
- Constants
- Variables

#### Relations

- · Assert relationships between objects
- Examples
  - Loves(Harry, Sally)
  - Between(Canada, US, Mexico)
- Semantics
  - Object and predicate names are mnemonic only
  - Interpretation is imposed from outside

### **Functions**

- Functions are specials cases of relations
- Suppose R(x<sub>1</sub>,x<sub>2</sub>,...,x<sub>n</sub>,y) is such that for every value of x<sub>1</sub>,x<sub>2</sub>,...,x<sub>n</sub> there is a unique y
- Then R(x<sub>1</sub>,x<sub>2</sub>,...,x<sub>n</sub>) can be used as a shorthand for y
  - Crossed(Right\_leg\_of(Ron), Left\_leg\_of(Ron))
- Remember that the object identified by a function depends upon the interpretation

### Quantification

• For all objects in the world...

 $\forall x \text{happy}(x)$ 

• For at least one object in the world...

 $\exists x \text{happy}(x)$ 

# Examples

- Everybody loves somebody
- Everybody loves everybody
- · Everybody loves Raymond
- Raymond loves everybody

# What's Missing?

- There are many extensions to first order logic
- Higher order logics permit quantification over predicates:

$$\forall x, y(x = y) \Leftrightarrow (\forall p(p(x) \Leftrightarrow p(y)))$$

- Functional expressions (lambda calculus)
- Uniqueness
- Extensions typically replace a potentially long series of conjuncts with a single expression

### Inference

- All rules of inference for propositional logic apply to first order logic
- We need extra rules to handle substitution for quantified variables

 $SUBST(\{x/Harry, y/Sally\}, Loves(x, y)) = Loves(Harry, Sally)$ 

### Inference Rules

• Universal Elimination

$$\frac{\forall v\alpha}{SUBST(\{v/g\},\alpha)}$$

- How to read this:
  - We have a universally quantified variable v in  $\alpha$
  - Can substitute any g for v and  $\alpha$  will still be true

### Inference Rules

Existential Elimination

$$\frac{\exists v\alpha}{\text{SUBST}(\{v/k\},\alpha)}$$

- · How to read this:
  - We have a universally quantified variable v in a
  - Can substitute any k for v and  $\alpha$  will still be true
  - IMPORTANT: k must be a previously unused constant (skolem constant). Why is this OK?

#### Skolemization within Quantifiers

- Skolemizing w/in universal quantifier is tricky
- Everybody loves somebody

 $\forall x \exists y : loves(x, y)$ 

· With Skolem constants, becomes:

 $\forall x : loves(x, object 34752)$ 

- · Why is this wrong?
- · Need to use skolem functions:

 $\forall x : loves(x, personlovedby(x))$ 

### Inference Rules

· Existential Introduction

$$\frac{\alpha}{\text{SUBST}(\{g/v\},\exists v\alpha)}$$

- · How to read this:
  - We know that the sentence  $\alpha$  is true
  - Can substitute variable v for any constant g in  $\alpha$  and (w/existential quantifier) and  $\alpha$  will still be true
  - Why is this OK?

#### Inference Rules

- Generalized Modus Ponens
- Define a substitution such that:

$$SUBST(\theta, p_i') = SUBST(\theta, p_i) \forall i$$

• Then

$$\frac{p_1', p_2', \dots p_n', (p_1 \land p_2 \land \dots \land p_n \Rightarrow q)}{\text{SUBST}(\{\theta/q\})}$$

### Generalized Modus Ponens

 $SUBST(\theta, p_i) = SUBST(\theta, p_i) \forall i$ 

$$\frac{p_1', p_2', \dots p_n', (p_1 \land p_2 \land \dots \land p_n \Rightarrow q)}{\text{SUBST}(\{\theta/q\})}$$

- · How to read this:
  - We have an implication which implies q
  - Any consistent substitution of variables on the LHS must yield a valid conclusion on the RHS

### Unification

- · Substitution is a non-trivial matter
- We need an algorithm unify:  $Unify(p,q) = \theta : Subst(\theta, p) = Subst(\theta, q)$
- Important: Unification replaces variables:

 $\exists x \text{Loves}(John, x), \exists x \text{Hates}(John, x)$ 

# **Unification Example**

 $\forall x Knows(John, x) \Rightarrow Loves(John, x)$ 

Knows (John, Jane)

 $\forall y Knows(y, Leonid)$ 

 $\forall y Knows(y, Mother(y))$ 

 $\forall x Knows(x, Elizabeth)$ 

Note: All unquantified variables are assumed universal from here on.

Unify(Knows(John, x), Knows(John, Jane)) =

Unify(Knows(John, x), Knows(y, Leonid)) =

Unify(Knows(John, x), Knows(y, Mother(y))) =

Unify(Knows(John, x), Knows(x, Elizabeth)) =

#### Most General Unifier

- Unify(Knows(John,x),Knows(y,z))
  - $-\{y/John,x/z\}$
  - {y/John,x/z,w/Freda}
  - {y/John,x/John,z/John)
- When in doubt, we should always return the most general unifier (MGU)
  - MGU makes least commitment about binding variables to constants

#### **Proof Procedures**

- Suppose we have a knowledge base: KB
- · We want to prove q
- · Forward Chaining
  - Like search: Keep proving new things and adding them to the KB until we are able to prove q
- Backward Chaining
  - Find  $p_1...p_n$  s.t. knowing  $p_1...p_n$  would prove q
  - Recursively try to prove p<sub>1</sub>...p<sub>n</sub>

# Forward Chaining Example

 $\forall x Knows(John, x) \Rightarrow Loves(John, x)$ 

Knows (John, Jane)

 $\forall y Knows(y, Leonid)$ 

 $\forall y Knows(y, Mother(y))$ 

 $\forall x Knows(x, Elizabeth)$ 

# **Forward Chaining**

Procedure Forward\_Chain(KB,p) If p is in KB then return

Add p to KB

For each ( $p_1 ^ ... ^ p_n => q$ ) in KB such that for some i,

Unify $(p_i,p)=\theta$  succeeds do

Find\_And\_Infer(KB,[ $p_1,...,p_{i-1},p_{i+1},...,p_n$ ], $q,\theta$ )

Procedure Find\_and\_Infer(KB,premises,conclusion,θ)

If premises=[] then

Forward\_Chain(KB,Subst( $\theta$ ,conclusion))

Else for each p'in KB such that

Unify(p',Subst( $\theta$ ,Head(premises)))= $\theta_2$  do

 $Find\_And\_Infer(KB,Tail(premises),conclusion,[\theta,\theta_2]))$ 

# **Backward Chaining Example**

 $\forall x Knows(John, x) \Rightarrow Loves(John, x)$ 

Knows (John, Jane)

 $\forall y Knows(y, Leonid)$ 

 $\forall y Knows(y, Mother(y))$ 

 $\forall x Knows(x, Elizabeth)$ 

# **Backward Chaining**

Function Back\_Chain(KB,q) Back\_Chain\_List(KB,[q],{})

Function Back\_Chain\_List(KB,qlist,θ)

If qlist=[] then return θ

q<-head(qlist)

For each  $q_i$ ' in KB such that  $\theta_i$ <-Unify $(q,q_i$ ') succeeds do

Answers <- Answers + [θ,θ,]]
For each (p<sub>i</sub>^...^p<sub>n</sub>=>q')in KB: θ<sub>i</sub><-Unify(q,q<sub>i</sub>') succeeds do Answers<- Answers+

 $Back\_Chain\_List(KB,Subst(q_i,[p_i...p_n]),[\theta,\theta_i]))$ 

return union of Back\_Chain\_List(KB,Tail(qlist), $\theta$ ) for each  $\theta$  in answers

# Completeness

 $\forall x P(X) \Rightarrow Q(x)$ 

 $\forall x \neg P(X) \Rightarrow R(x)$ 

 $\forall x Q(x) \Rightarrow S(x)$  $\forall x R(x) \Rightarrow S(x)$ 

S(A)???

- Problem: Generalized Modus Ponens not complete
- Goal: A sound and complete inference procedure for first order logic

### Generalized Resolution

$$\frac{(p_1 \vee \dots p_j \dots \vee p_m), (q_1 \vee \dots q_k \dots \vee q_n)}{\text{SUBST}(\theta, (p_1 \vee \dots p_{j-1} \vee p_{j+1} \dots \vee p_m \vee q_1 \vee \dots q_{k-1} \vee q_{k+1} \dots \vee q_n))}$$

- · How to read this:
  - Substitution: Unify $(p_i, \neg q_k) = \theta$
  - If the same term appears in both positive and negative form in two disjunctions, they cancel out when disjunctions are combined

### **Resolution Properties**

- Proof by refutation (asserting negation and resolving to nil) is sound and complete
- Resolution is not complete in a generative sense, only in a testing sense
- · This is only part of the job
- To use resolution, we must convert everything to a canonical form

#### Canonical Form

- Eliminate Implications
- · Move negation inwards
- Standardize (apart) variables
- Move quantifiers Left
- Skolemize
- Drop universal quantifiers
- Distribute AND over OR
- Flatten nested conjunctions and disjunctions
- · Convert disjunctions to implications (optional)

### Resolution Example

 $(\neg P(x) \lor Q(x))$ 

 $(P(x) \lor R(x))$ 

 $(\neg Q(x) \lor S(x))$ 

 $(\neg R(x) \lor S(x))$ 

S(A)???

Example on board...

# **Computational Properties**

- Can we enumerate the set of all proofs?
- Can we check if a proof is valid?
- · What if no valid proof exists?
- Inference in first order logic is semidecidable
- Compare with halting problem

### Gödel

- How do these soundness and completeness results relate to Gödel's incompleteness theorem?
- Incompleteness applies to mathematical systems
- You need numbers because you need a way of referring to proofs by number