

SQL: Part II

CPS 116

Introduction to Database Systems

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Announcements (Thu. Sep. 22)

❖ Homework #2 assigned today

▪ Due on Tue. Oct. 4 (in less than 2 weeks)

❖ Midterm in class in 2 weeks

❖ Project milestone 1 due in 3 weeks

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Incomplete information

❖ Example: *Student* (*SID*, *name*, *age*, *GPA*)

❖ Value unknown

▪ We do not know Nelson's age

❖ Value not applicable

▪ Nelson has not taken any classes yet; what is his GPA?

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## Solution 1

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- ❖ A dedicated special value for each domain (type)
  - GPA cannot be -1, so use -1 as a special value to indicate a missing or invalid GPA
  - Leads to incorrect answers if not careful
    - `SELECT AVG(GPA) FROM Student;`
  - Complicates applications
    - `SELECT AVG(GPA) FROM Student WHERE GPA <> -1;`
  - Ever heard of the Y2K bug?
    - "00" was used as a missing or invalid year value

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## Solution 2

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- ❖ A valid-bit for every column
  - *Student* (*SID*, *name*, *name\_is\_valid*, *age*, *age\_is\_valid*, *GPA*, *GPA\_is\_valid*)
  - Complicates schema and queries
    - `SELECT AVG(GPA) FROM Student WHERE GPA_is_valid;`

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## Solution 3?

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- ❖ Decompose the table; missing row = missing value
  - *StudentName* (*SID*, *name*)
  - *StudentAge* (*SID*, *age*)
  - *StudentGPA* (*SID*, *GPA*)
  - *StudentID* (*SID*)
  - Conceptually the cleanest solution
  - Still complicates schema and queries
    - How to get all information about a student in a table?

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## SQL's solution

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- ❖ A special value NULL
  - For every domain
  - Special rules for dealing with NULL's
- ❖ Example: *Student* (*SID*, *name*, *age*, *GPA*)
  - $\langle 789, \text{"Nelson"}, \text{NULL}, \text{NULL} \rangle$

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## Computing with NULL's

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- ❖ When we operate on a NULL and another value (including another NULL) using  $+$ ,  $-$ , etc., the result is NULL
- ❖ Aggregate functions ignore NULL, except COUNT(\*) (since it counts rows)

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## Three-valued logic

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- ❖ TRUE = 1, FALSE = 0, UNKNOWN = 0.5
- ❖  $x \text{ AND } y = \min(x, y)$
- ❖  $x \text{ OR } y = \max(x, y)$
- ❖ NOT  $x = 1 - x$
- ❖ When we compare a NULL with another value (including another NULL) using  $=$ ,  $>$ , etc., the result is UNKNOWN
- ❖ WHERE and HAVING clauses only select rows for output if the condition evaluates to TRUE
  - UNKNOWN is not enough

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## Unfortunate consequences

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❖ `SELECT AVG(GPA) FROM Student;`  
`SELECT SUM(GPA)/COUNT(*) FROM Student;`

❖ `SELECT * FROM Student;`  
`SELECT * FROM Student WHERE GPA = GPA;`

☞ Be careful: NULL breaks many equivalences

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## Another problem

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❖ Example: Who has NULL GPA values?

▪ `SELECT * FROM Student WHERE GPA = NULL;`

▪ `(SELECT * FROM Student)`  
`EXCEPT ALL`  
`(SELECT * FROM Student WHERE GPA = GPA)`

▪ Introduced built-in predicates `IS NULL` and `IS NOT NULL`  
• `SELECT * FROM Student WHERE GPA IS NULL;`

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## Outerjoin motivation

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❖ Example: a master class list

▪ `SELECT c.CID, c.title, s.SID, s.name`  
`FROM Course c, Enroll e, Student s`  
`WHERE c.CID = e.CID AND e.SID = s.SID;`

▪ What if a class is empty?

▪ It may be reasonable for the master class list to include empty classes as well

• For these classes, *SID* and *name* columns would be NULL

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## Outerjoin flavors and definitions

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- ❖ A full outerjoin between  $R$  and  $S$  (denoted  $R \bowtie S$ ) includes all rows in the result of  $R \bowtie S$ , plus
  - “Dangling”  $R$  rows (those that do not join with any  $S$  rows) padded with NULL's for  $S$ 's columns
  - “Dangling”  $S$  rows (those that do not join with any  $R$  rows) padded with NULL's for  $R$ 's columns
- ❖ A left outerjoin ( $R \ltimes S$ ) includes rows in  $R \bowtie S$  plus dangling  $R$  rows padded with NULL's
- ❖ A right outerjoin ( $R \rtimes S$ ) includes rows in  $R \bowtie S$  plus dangling  $S$  rows padded with NULL's

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## Outerjoin examples

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Course

CID	title
CPS199	Independent Study
CPS130	Analysis of Algorithms
CPS116	Intro. to Database Systems

Course  $\bowtie$  Enroll

CID	title	SID
CPS199	Independent Study	NULL
CPS130	Analysis of Algorithms	857
CPS116	Intro. to Database Systems	142
CPS116	Intro. to Database Systems	857
CPS116	Intro. to Database Systems	456

Enroll

SID	CID
142	CPS116
142	CPS114
123	CPS114
857	CPS116
857	CPS130
456	CPS116

Course  $\ltimes$  Enroll

Course  $\rtimes$  Enroll

CID	title	SID
CPS116	Intro. to Database Systems	142
CPS114	NULL	142
CPS114	NULL	123
CPS116	Intro. to Database Systems	857
CPS130	Analysis of Algorithms	857
CPS116	Intro. to Database Systems	456

CID	title	SID
CPS199	Independent Study	NULL
CPS116	Intro. to Database Systems	142
CPS114	NULL	142
CPS114	NULL	123
CPS116	Intro. to Database Systems	857
CPS130	Analysis of Algorithms	857
CPS116	Intro. to Database Systems	456

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## Outerjoin syntax

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- ❖ `SELECT * FROM Course LEFT OUTER JOIN Enroll  
ON Course.CID = Enroll.CID;`
- ❖ `SELECT * FROM Course RIGHT OUTER JOIN Enroll  
ON Course.CID = Enroll.CID;`
- ❖ `SELECT * FROM Course FULL OUTER JOIN Enroll  
ON Course.CID = Enroll.CID;`
- ☞ These are theta joins rather than natural joins
  - Return all columns in *Course* and *Enroll*
  - Equivalent to  $Course \bowtie_{Course.CID = Enroll.CID} Enroll$ ,  $Course \ltimes_{Course.CID = Enroll.CID} Enroll$ , and  $Course \rtimes_{Course.CID = Enroll.CID} Enroll$
- ☞ You can write regular (“inner”) joins using this syntax too:  
`SELECT * FROM Course JOIN Enroll ON Course.CID = Enroll.CID;`

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## Summary of SQL features covered so far<sup>16</sup>

- ❖ SELECT-FROM-WHERE statements
- ❖ Set and bag operations
- ❖ Table expressions, subqueries
- ❖ Aggregation and grouping
- ❖ Ordering
- ❖ NULL's and outerjoins

☞ Next: data modification statements, constraints

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## INSERT<sup>17</sup>

- ❖ Insert one row
  - INSERT INTO Enroll VALUES (456, 'CPS116');
  - Student 456 takes CPS116
- ❖ Insert the result of a query
  - INSERT INTO Enroll  
(SELECT SID, 'CPS116' FROM Student  
WHERE SID NOT IN (SELECT SID FROM Enroll  
WHERE CID = 'CPS116'));
  - Force everybody to take CPS116

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## DELETE<sup>18</sup>

- ❖ Delete everything
  - DELETE FROM Enroll;
- ❖ Delete according to a WHERE condition
  - Example: Student 456 drops CPS116
    - DELETE FROM Enroll  
WHERE SID = 456 AND CID = 'CPS116';
  - Example: Drop students from all CPS classes with GPA lower than 1.0
    - DELETE FROM Enroll  
WHERE SID IN (SELECT SID FROM Student  
WHERE GPA < 1.0)  
AND CID LIKE 'CPS%';

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## UPDATE

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- ❖ Example: Student 142 changes name to “Barney”
  - `UPDATE Student`  
`SET name = 'Barney'`  
`WHERE SID = 142;`
- ❖ Example: Let's be “fair”?
  - `UPDATE Student`  
`SET GPA = (SELECT AVG(GPA) FROM Student);`
    - But won't update of every row causes average GPA to change?
    - ☞ Subquery is always computed over the old table

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## Constraints

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- ❖ Restrictions on allowable data in a database
  - In addition to the simple structure and type restrictions imposed by the table definitions
  - Declared as part of the schema
  - Enforced by the DBMS
- ❖ Why use constraints?
  - Protect data integrity (catch errors)
  - Tell the DBMS about the data (so it can optimize better)

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## Types of SQL constraints

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- ❖ NOT NULL
- ❖ Key
- ❖ Referential integrity (foreign key)
- ❖ General assertion
- ❖ Tuple- and attribute-based CHECK's

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## NOT NULL constraint examples

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- ❖ CREATE TABLE Student  
(SID INTEGER NOT NULL,  
name VARCHAR(30) NOT NULL,  
email VARCHAR(30),  
age INTEGER,  
GPA FLOAT);
- ❖ CREATE TABLE Course  
(CID CHAR(10) NOT NULL,  
title VARCHAR(100) NOT NULL);
- ❖ CREATE TABLE Enroll  
(SID INTEGER NOT NULL,  
CID CHAR(10) NOT NULL);

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## Key declaration

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- ❖ At most one PRIMARY KEY per table
  - Typically implies a primary index
  - Rows are stored inside the index, typically sorted by the primary key value  $\Rightarrow$  best speedup for queries
- ❖ Any number of UNIQUE keys per table
  - Typically implies a secondary index
  - Pointers to rows are stored inside the index  $\Rightarrow$  less speedup for queries

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## Key declaration examples

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- ❖ CREATE TABLE Student  
(SID INTEGER NOT NULL PRIMARY KEY,  
name VARCHAR(30) NOT NULL,  
email VARCHAR(30) UNIQUE,  
age INTEGER,  
GPA FLOAT);
- ❖ CREATE TABLE Course  
(CID CHAR(10) NOT NULL PRIMARY KEY,  
title VARCHAR(100) NOT NULL);
- ❖ CREATE TABLE Enroll  
(SID INTEGER NOT NULL,  
CID CHAR(10) NOT NULL,  
PRIMARY KEY(SID, CID));

↑  
This form is required for multi-attribute keys

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## Referential integrity example

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- ❖ *Enroll.SID* references *Student.SID*
  - If an SID appears in *Enroll*, it must appear in *Student*
- ❖ *Enroll.CID* references *Course.CID*
  - If a CID appears in *Enroll*, it must appear in *Course*
- ☞ That is, no “dangling pointers”

<i>Student</i>				<i>Enroll</i>		<i>Course</i>	
<i>SID</i>	<i>name</i>	<i>age</i>	<i>GPA</i>	<i>SID</i>	<i>CID</i>	<i>CID</i>	<i>title</i>
142	Bob	10	2.3	142	CPS116	CPS116	Intro. to Database Systems
123	Wilhouse	10	3.1	142	CPS114	CPS130	Analysis of Algorithms
857	Lisa	8	4.3	123	CPS116	CPS114	Computer Networks
456	Ralph	8	4.3	857	CPS116	--	--
--	--	--	--	857	CPS130	--	--
--	--	--	--	456	CPS114	--	--
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## Referential integrity in SQL

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- ❖ Referenced column(s) must be PRIMARY KEY
- ❖ Referencing column(s) form a FOREIGN KEY
- ❖ Example
  - ```
CREATE TABLE Enroll
(SID INTEGER NOT NULL
REFERENCES Student(SID),
CID CHAR(10) NOT NULL,
PRIMARY KEY(SID, CID),
FOREIGN KEY CID REFERENCES Course(CID));
```

## Enforcing referential integrity

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Example: *Enroll.SID* references *Student.SID*

- ❖ Insert or update an *Enroll* row so it refers to a non-existent SID
  - Reject
- ❖ Delete or update a *Student* row whose SID is referenced by some *Enroll* row

## Deferred constraint checking

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- ❖ No-chicken-no-egg problem
  - CREATE TABLE Dept  
(name CHAR(20) NOT NULL PRIMARY KEY,  
chair CHAR(30) NOT NULL REFERENCES Prof(name));
  - CREATE TABLE Prof  
(name CHAR(30) NOT NULL PRIMARY KEY,  
dept CHAR(20) NOT NULL REFERENCES Dept(name));
- ❖ Deferred constraint checking is necessary
  - Check only at the end of a transaction
  - Allowed in SQL as an option
- ❖ Curious how the schema was created in the first place?
  - ALTER TABLE ADD CONSTRAINT (read the manual!)

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## General assertion

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- ❖ CREATE ASSERTION *assertion\_name*  
CHECK *assertion\_condition*;
- ❖ *assertion\_condition* is checked for each modification  
that could potentially violate it
- ❖ Example: *Enroll.SID* references *Student.SID*
  - CREATE ASSERTION EnrollStudentRefIntegrity  
CHECK (NOT EXISTS  
(SELECT \* FROM Enroll  
WHERE SID NOT IN  
(SELECT SID FROM Student)));
- ☞ In SQL3, but not all (perhaps no) DBMS supports it

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## Tuple- and attribute-based CHECK's

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- ❖ Associated with a single table
- ❖ Only checked when a tuple or an attribute is  
inserted or updated
- ❖ Example:
  - CREATE TABLE Enroll  
(SID INTEGER NOT NULL  
CHECK (SID IN (SELECT SID FROM Student)),  
CID ...);
  - Is it a referential integrity constraint?

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## Summary of SQL features covered so far<sup>31</sup>

### ❖ Query

- SELECT-FROM-WHERE statements
- Set and bag operations
- Table expressions, subqueries
- Aggregation and grouping
- Ordering
- Outerjoins

### ❖ Modification

- INSERT/DELETE/UPDATE

### ❖ Constraints

#### ☞ Next: recursion

☞ SQL programming & transactions will be covered after we take a detour with XML

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