

**XML-Relational Mapping**

CPS 116  
Introduction to Database Systems

---

---

---

---

---

---

---

---

2

**Announcements (Tue. Nov. 1)**

- ❖ Homework #3 deadline extended to next Tuesday
- ❖ Project milestone #2 due in 1½ weeks

---

---

---

---

---

---

---

---

3

**Approaches to XML processing**

- ❖ Text files (!)
- ❖ Specialized XML DBMS
  - Lore (Stanford), Strudel (AT&T), Timber (Michigan), MonetDB/XQuery (CWI, Netherlands), Tamino (Software AG), BaseX, eXist, Sedna, ...
  - Still some way to go
- ❖ Object-oriented DBMS
  - ObjectStore, ozone, ...
  - Not as mature as relational DBMS
- ❖ Relational (and object-relational) DBMS
  - Middleware and/or extensions
  - IBM DB2's pureXML, PostgreSQL's XML type/functions...

---

---

---

---

---

---

---

---

## Mapping XML to relational

4

- ❖ Store XML in a CLOB (Character Large Object) column
  - Simple, compact
  - Full-text indexing can help (often provided by DBMS vendors as object-relational “extensions”)
  
- ❖ Alternatives?
  - Schema-oblivious mapping: well-formed XML ! generic relational schema
    - Node/edge-based mapping for graphs
    - Interval-based mapping for trees
    - Path-based mapping for trees
  - Schema-aware mapping: valid XML ! special relational schema based on DTD

---

---

---

---

---

---

---

---

---

---

## Node/edge-based: schema

5

- ❖ *Element(eid, tag)*
  - ❖ *Attribute(eid, attrName, attrValue)*    Key:
    - Attribute order does not matter
  - ❖ *ElementChild(eid, pos, child)*    Keys:
    - *pos* specifies the ordering of children
    - *child* references either *Element(eid)* or *Text(tid)*
  - ❖ *Text(tid, value)*
    - *tid* cannot be the same as any *eid*
- ☞ Need to “invent” lots of *id*'s
- ☞ Need indexes for efficiency, e.g., *Element(tag)*, *Text(value)*

---

---

---

---

---

---

---

---

---

---

## Node/edge-based: example

6

```
<bibliography>
<book ISBN="ISBN-10" price="80.00">
<title>Foundations of Databases</title>
<author>Abiteboul</author>
<author>Hull</author>
<author>Vianu</author>
<publisher>Addison Wesley</publisher>
<year>1995</year>
</book>
</bibliography>
```

Attribute	eid	attrName	attrValue
	e1	ISBN	ISBN-10
	e1	price	80

Text	tid	value
	t0	Foundations of Databases
	t1	Abiteboul
	t2	Hull
	t3	Vianu
	t4	Addison Wesley
	t5	1995

Element

eid	tag
e0	bibliography
e1	book
e2	title
e3	author
e4	author
e5	author
e6	publisher
e7	year

ElementChild

eid	pos	childid
e0	1	e1
e1	1	e2
e1	2	e3
e1	3	e4
e1	4	e5
e1	5	e6
e1	6	e7
e2	1	t0
e3	1	t1
e4	1	t2
e5	1	t3
e6	1	t4
e7	1	t5

---

---

---

---

---

---

---

---

---

---

## Node/edge-based: simple paths <sup>7</sup>

### ❖ //title

- SELECT eid FROM Element WHERE tag = 'title';

### ❖ //section/title

- SELECT e2.eid  
FROM Element e1, ElementChild c, Element e2  
WHERE e1.tag = 'section'  
AND e2.tag = 'title'  
AND e1.eid = c.eid  
AND c.child = e2.eid;

### ☞ Path expression becomes joins!

- Number of joins is

---

---

---

---

---

---

---

---

## Node/edge-based: more complex paths <sup>8</sup>

### ❖ //bibliography/book[author="Abiteboul"]/@price

- SELECT a.attrValue  
FROM Element e1, ElementChild c1,  
Element e2, Attribute a  
WHERE e1.tag = 'bibliography'  
AND e1.eid = c1.eid AND c1.child = e2.eid  
AND e2.tag = 'book'  
AND EXISTS (SELECT \* FROM ElementChild c2,  
Element e3, ElementChild c3, Text t  
WHERE e2.eid = c2.eid AND c2.child = e3.eid  
AND e3.tag = 'author'  
AND e3.eid = c3.eid AND c3.child = t.tid  
AND t.value = 'Abiteboul')  
AND e2.eid = a.eid  
AND a.attrName = 'price';

---

---

---

---

---

---

---

---

## Node/edge-based: descendent-or-self <sup>9</sup>

### ❖ //book//title

---

---

---

---

---

---

---

---

## Interval-based: schema

10

### ❖ *Element(left, right, level, tag)*

- *left* is the start position of the element
- *right* is the end position of the element
- *level* is the nesting depth of the element (strictly speaking, unnecessary)
- Key is

### ❖ *Text(left, right, level, value)*

- Key is

### ❖ *Attribute(left, attrName, attrValue)*

- Key is

---

---

---

---

---

---

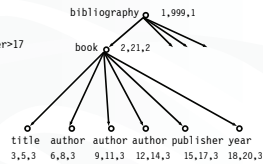
---

---

## Interval-based: example

11

```
1<bibliography>
2<book ISBN="ISBN-10" price="80.00">
3<title>4Foundations of Databases</title>5
6<author>7Abiteboul</author>8
9<author>10Hu1</author>11
12<author>13V1anu</author>14
15<publisher>16Addison Wesley</publisher>17
18<year>191995</year>20
</book>21_
</bibliography>999
```



### ☞ Where did *ElementChild* go?

- *E1* is the parent of *E2* iff:

---

---

---

---

---

---

---

---

## Interval-based: queries

12

### ❖ `//section/title`

- `SELECT e2.left`  
`FROM Element e1, Element e2`  
`WHERE e1.tag = 'section' AND e2.tag = 'title'`  
`AND e1.left < e2.left AND e2.right < e1.right`  
`AND e1.level = e2.level-1;`

☞ Path expression becomes “containment” joins!

- Number of joins is proportional to path expression length

### ❖ `//book//title`

- 

☞ No recursion!

---

---

---

---

---

---

---

---

## Summary of interval-based mapping <sup>13</sup>

- ❖ Path expression steps become containment joins
- ❖ No recursion needed for descendent-or-self
- ❖ Comprehensive XQuery-SQL translation is possible

---

---

---

---

---

---

---

---

## A path-based mapping <sup>14</sup>

### Label-path encoding

- ❖  $Element(pathid, left, right, \dots), Path(pathid, path), \dots$ 
  - $path$  is a label path starting from the root
  - Why are  $left$  and  $right$  still needed?

*Element*

<i>pathid</i>	<i>left</i>	<i>right</i>	<i>...</i>
1	1	999	...
2	2	21	...
3	3	5	...
4	6	8	...
4	9	11	...
4	12	14	...
...	...	...	...

*Path*

<i>pathid</i>	<i>path</i>
1	/bibliography
2	/bibliography/book
3	/bibliography/book/title
4	/bibliography/book/author
...	...

---

---

---

---

---

---

---

---

## Label-path encoding: queries <sup>15</sup>

- ❖ Simple path expressions with no conditions  
`//book//title`
    - Perform string matching on *Path*
    - Join qualified *pathid*'s with *Element*
  - ❖ `//book[publisher='Prentice Hall']/title`
    - Evaluate `//book/title`
    - Evaluate `//book/publisher[text()='Prentice Hall']`
    - How to ensure `title` and `publisher` belong to the same `book`?
- ☞ Path expression with attached conditions needs to be broken down, processed separately, and joined back

---

---

---

---

---

---

---

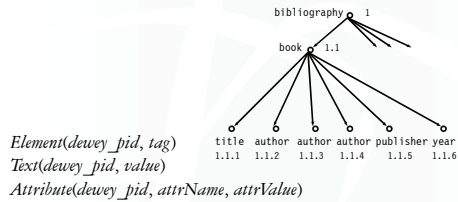
---

## Another path-based mapping

16

Dewey-order encoding

- ❖ Each component of the id represents the order of the child within its parent
  - Unlike label-path, this encoding is “lossless”




---

---

---

---

---

---

---

---

## Dewey-order encoding: queries

17

❖ Examples:

```

//title
//section/title
//book//title
//book[publisher='Prentice Hall']/title
    
```

- Works similarly as interval-based mapping
  - Except parent/child and ancestor/descendant relationship are checked by prefix matching
- Serves a different purpose from label-path encoding
- Any advantage over interval-based mapping?

---

---

---

---

---

---

---

---

## Schema-aware mapping

18

- ❖ Idea: use DTD to design a better schema
- ❖ Basic approach: elements of the same type go into one table
  - Tag name ! table name
  - Attributes ! columns
    - If one exists, ID attribute ! key column; otherwise, need to “invent” a key
    - IDREF attribute ! foreign key column
  - Children of the element ! foreign key columns
    - Ordering of columns encodes ordering of children

```

<!DOCTYPE bibliography [...>
<ELEMENT book (title, ...)
<ATTLIST book ISBN ID #REQUIRED
<ATTLIST book price CDATA #IMPLIED>
<ELEMENT title (#PCDATA)>
]>
    book(ISBN, price, title_id, ...)
    title(id, PCDATA_id)
    PCDATA(id, value)
    
```

---

---

---

---

---

---

---

---

## Handling \* and + in DTD

19

- ❖ What if an element can have any number of children?
- ❖ Example: Book can have multiple authors
  - *book(ISBN, price, title\_id, author\_id, publisher\_id, year\_id)?*
  - ☞ BCNF?
- ❖ Idea: create another table to track such relationships
  - *book(ISBN, price, title\_id, publisher\_id, year\_id)*
  - *book\_author(ISBN, author\_id)*
  - ☞ BCNF decomposition in action!
  - ☞ A further optimization: merge *book\_author* into *author*
- ❖ Need to add position information if ordering is important
  - *book\_author(ISBN, author\_pos, author\_id)*

---

---

---

---

---

---

---

---

## Inlining

20

- ❖ An *author* element just has a PCDATA child
- ❖ Instead of using foreign keys
  - *book\_author(ISBN, author\_id)*
  - *author(id, PCDATA\_id)*
  - *PCDATA(id, value)*
- ❖ Why not just “inline” the string value inside *book*?
  - *book\_author(ISBN, author\_PCDATA\_value)*
  - PCDATA table no longer stores author values

---

---

---

---

---

---

---

---

## More general inlining

21

- ❖ As long as we know the structure of an element and its number of children (and recursively for all children), we can inline this element where it appears

```
<book ISBN="...">...
  <publisher>
    <name>...</name><address>...</address>
  </publisher>...
</book>
```

- ❖ With no inlining at all
  - ❖ With inlining
- |   |  |
|---|--|
| <i>book(ISBN, publisher_id)</i>           | <i>book(ISBN,</i>                      |
| <i>publisher(id, name_id, address_id)</i> | <i>publisher_name_PCDATA_value,</i>    |
| <i>name(id, PCDATA_id)</i>                | <i>publisher_address_PCDATA_value)</i> |
| <i>address(id, PCDATA_id)</i>             |  |

---

---

---

---

---

---

---

---

## Queries

22

- ❖ *book*(ISBN, price, title, publisher, year),  
*book\_author*(ISBN, author), *book\_section*(ISBN, section\_id),  
*section*(id, title, text), *section\_section*(id, section\_pos, section\_id)
- ❖ //title
  - (SELECT title FROM book) UNION ALL (SELECT title FROM section);
- ❖ //section/title
  - SELECT title FROM section;

These queries only work for the given DTD
- ❖ //bibliography/book[author="Abiteboul"]/@price
  - SELECT price FROM book, book\_author WHERE book.ISBN = book\_author.ISBN AND author = 'Abiteboul';
- ❖ //book//title
  - (SELECT title FROM book) UNION ALL (SELECT title FROM section)

---

---

---

---

---

---

---

---

## Pros and cons of inlining

23

- ❖ Not always applicable
  - \* and +, recursive schema (e.g., section)

---

---

---

---

---

---

---

---

## Result restructuring

24

- ❖ Simple results are fine
  - Each tuple returned by SQL gets converted to an element
- ❖ Simple grouping is fine (e.g., books with multiple authors)
  - Tuples can be returned by SQL in sorted order; adjacent tuples are grouped into an element
- ❖ Complex results are problematic (e.g., books with multiple authors and multiple references)
  - One SQL query returns one table, whose columns cannot store sets
  - Option 1: return one table with all combinations of authors and references ! bad
  - Option 2: return two tables, one with authors and the other with references ! join is done as post processing
  - Option 3: return one table with all author and reference columns; pad with NULL's; order determines grouping ! messy

---

---

---

---

---

---

---

---



## Comparison of approaches

### ❖ Schema-oblivious

- Flexible and adaptable; no DTD needed
- Queries are easy to formulate
  - Translation can be easily automated
- Queries involve lots of join and are expensive

### ❖ Schema-aware

- Less flexible and adaptable
- Need to know DTD to design the relational schema
- Query formulation requires knowing DTD and schema
- Queries are more efficient
- XQuery is tougher to formulate because of result restructuring

---

---

---

---

---

---

---

---