CompSci 516 Database Systems

# Lecture 3 More SQL

#### Instructor: Sudeepa Roy

#### Announcements

- HW1 is published on Sakai:
  - Resources -> HW -> HW1 folder
  - Due on 09/20 (Thurs), 11:55 pm, no late days
  - Start now!
  - Submission instructions for gradescope to be updated (will be notified through piazza)
- Your piazza and sakai accounts should be active

   if not on piazza, send me an email
- Occasional Pop up quizzes will start
  - Bring a laptop in class

#### Recap: Lecture 2

#### • XML overview

differences with relational model and transformation

• SQL

- Creating/modifying relations
- Specifying integrity constraints
- Key/candidate key, superkey, primary key, foreign key
- Conceptual evaluation of SQL queries

## Today's topic

- More SQL
  - joins
  - group bys and aggregates
  - nested queries
  - NULLs
  - views

Acknowledgement:

The following slides have been created adapting the instructor material of the [RG] book provided by the authors Dr. Ramakrishnan and Dr. Gehrke.

CompSci 516: Database Systems

## Joins

- Condition/Theta-Join
- Equi-Join
- Natural-Join
- (Left/Right/Full) Outer-Join

sid	sname	rating	age
22	dustin	7	45
31	lubber	8	55
58	rusty	10	35

sid	bid	day
22	101	10/10/96
58	103	11/12/96

#### **Condition/Theta Join**

SELECT \* FROM Sailors S, Reserves R WHERE **S.sid=R.sid and age >= 40** 

sid	sname	rating	age
22	dustin	7	45
31	lubber	8	55
58	rusty	10	35

Form cross product, discard rows that do not satisfy the condition

sid	sname	rating	age	sid	bid	day	sid	bid	day
22	dustin	7	45	22	101	10/10/96	22	101	10/10/96
22	dustin	7	45	58	103	11/12/96	- 58	103	11/12/96
31	lubber	8	55	22	101	10/10/96	-		
31	lubber	8	55	58	103	11/12/96	-		
58	rusty	10	35	22	101	10/10/96	-		
58	rusty	10	35	58	103	11/12/96	-		

## Equi Join

SELECT \* FROM Sailors S, Reserves R WHERE **S.sid=R.sid** and **age = 45** 

A special case of theta join Join condition only has equality predicate =

sid	sname	rating	age
22	dustin	7	45
31	lubber	8	55
58	rusty	10	35

sid	sname	rating	age	sid	bid	day	sid	bid	day
22	dustin	7	45	22	101	10/10/96	22	101	10/10/96
22	dustin	7	45	58	103	11/12/96	- 58	103	11/12/96
31	lubber	8	55	22	101	10/10/96	_		
31	lubber	8	55	58	103	11/12/96	-		
58	rusty	10	35	22	101	10/10/96	-		
58	rusty	10	35	58	103	11/12/96	-		

#### Natural Join

SELECT *	
FROM Sailors S NATURAL JOIN Reserves R	

A special case of equi join Equality condition on ALL common predicates (sid) Duplicate columns are eliminated

sid	sname	rating	age
22	dustin	7	45
31	lubber	8	55
58	rusty	10	35

	sid	sname	rating	age	bid	day	sid	bid	day
	22	dustin	7	45	101	10/10/96	22	101	10/10/96
	22	dustin	7	45	103	11/12/96	58	103	11/12/96
	31	lubber	8	55	101	10/10/96			
-	31	lubber	8	55	103	11/12/96			
	58	rusty	10	35	101	10/10/96			
	58	rusty	10	35	103	11/12/96			

#### **Outer Join**

SELECT S.sid, R. bid FROM Sailors S LEFT OUTER JOIN Reserves R ON S.sid=R.sid

sid	sname	rating	age
22	dustin	7	45
31	lubber	8	55
58	rusty	10	35

Preserves all tuples from the left table whether or not there is a match if no match, fill attributes from right with null Similarly RIGHT/FULL outer join sid

sid	bid
22	101
31	null
58	103

sid	bid	day
22	101	10/10/96
58	103	11/12/96

#### **Expressions and Strings**

SELECT S.age, age1=S.age-5, 2\*S.age AS age2 FROM Sailors S WHERE S.sname LIKE 'B\_%B'

- Illustrates use of arithmetic expressions and string pattern matching
- Find triples (of ages of sailors and two fields defined by expressions) for sailors
  - whose names begin and end with B and contain at least three characters
- LIKE is used for string matching. `\_' stands for any one character and `%' stands for 0 or more arbitrary characters
  - You will need these often

#### Find sid's of sailors who've reserved a red or a

green boat

Sailors (sid, sname, rating, age) Reserves(sid, bid, day) Boats(bid, bname, color)

- Assume a Boats relation
- UNION: Can be used to compute the union of any two union-compatible sets of tuples
  - can themselves be the result of SQL queries
- If we replace OR by AND in the first version, what do we get?
- Also available: EXCEPT (What do we get if we replace UNION by EXCEPT?)

SELECT S.sid FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid

AND (B.color='red' OR B.color='green')

```
SELECT S.sid
FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid AND R.bid=B.bid
AND B.color='red'
UNION
SELECT S.sid
FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid AND R.bid=B.bid
AND B.color='green'
```

Find sid's of sailors who've reserved a red <u>and</u> a green boat Sailors (sid, sname, rating, age) Reserves(sid, bid, day) Boats(bid, bname, color)

#### Find sid's of sailors who've reserved a red <u>and</u> a green boat

Sailors (sid, sname, rating, age) Reserves(sid, bid, day) Boats(bid, bname, color)

- INTERSECT: Can be used to compute the intersection of any two union-compatible sets of tuples.
  - Included in the SQL/92 standard, but some systems don't support it

SELECT S.sid
FROM Sailors S, Boats B1, Reserves R1, Boats B2, Reserves R2
WHERE S.sid=R1.sid AND R1.bid=B1.bid
AND S.sid=R2.sid AND R2.bid=B2.bid
AND (B1.color='red' AND B2.color='green')

SELECT S.sid Key field! FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='red' INTERSECT SELECT S.sid FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='green'

#### **Nested Queries**

#### Find names of sailors who've reserved boat #103:

SELECT S.sname FROM Sailors S WHERE S.sid IN (SELECT R.sid FROM Reserves R WHERE R.bid=103)

Sailors (sid, sname, rating, age) Reserves(sid, bid, day) Boats(bid, bname, color)

#### • A very powerful feature of SQL:

– a where/from/having clause can itself contain an SQL query

- To find sailors who've not reserved #103, use NOT IN.
- To understand semantics of nested queries, think of a nested loops evaluation
  - For each Sailors tuple, check the qualification by computing the subquery

Duke CS, Fall 2018

#### Nested Queries with Correlation

Find names of sailors who've reserved boat #103:

SELECT S.sname
FROM Sailors S
WHERE EXISTS (SELECT *
FROM Reserves R
WHERE R.bid=103 AND <u>S.sid</u> =R.sid)

- EXISTS is another set comparison operator, like IN
- Illustrates why, in general, subquery must be recomputed for each Sailors tuple

**Nested Queries with Correlation** Find names of sailors who've reserved boat #103 at most once:

> SELECT S.sname FROM Sailors S WHERE UNIQUE (SELECT R.bid FROM Reserves R WHERE R.bid=103 AND <u>S.sid</u>=R.sid)

- If UNIQUE is used, and \* is replaced by *R.bid*, finds sailors with at most one reservation for boat #103
  - UNIQUE checks for duplicate tuples

#### More on Set-Comparison Operators

- We've already seen IN, EXISTS and UNIQUE
- Can also use NOT IN, NOT EXISTS and NOT UNIQUE.
- Also available: *op* ANY, *op* ALL, *op* IN

- where op : >, <, =, <=, >=

• Find sailors whose rating is greater than that of some sailor called Horatio

- similarly ALL

SELECT \* FROM Sailors S WHERE S.rating > ANY (SELECT S2.rating FROM Sailors S2 WHERE S2.sname='Horatio')

#### **Aggregate Operators**

Check yourself: What do these queries compute? COUNT (\*) COUNT ( [DISTINCT] A) SUM ( [DISTINCT] A) AVG ( [DISTINCT] A) MAX (A) MIN (A) *single column* 

SELECT COUNT (\*) FROM Sailors S

SELECT AVG (S.age) FROM Sailors S WHERE S.rating=10 SELECT S.sname FROM Sailors S WHERE S.rating= (SELECT MAX(S2.rating) FROM Sailors S2)

SELECT COUNT (DISTINCT S.rating) FROM Sailors S WHERE S.sname='Bob'

SELECT AVG (DISTINCT S.age) FROM Sailors S WHERE S.rating=10

#### Motivation for Grouping

- So far, we've applied aggregate operators to all (qualifying) tuples
  - Sometimes, we want to apply them to each of several groups of tuples
- Consider: Find the age of the youngest sailor for each rating level
  - In general, we don't know how many rating levels exist, and what the rating values for these levels are!
  - Suppose we know that rating values go from 1 to 10; we can write 10 queries that look like this (need to replace i by num):

For *i* = 1, 2, ..., 10:

SELECT MIN (S.age) FROM Sailors S WHERE S.rating = *i* 

CompSci 516: Database Systems

First go over the examples in the following slides Then come back to this slide and study yourself

#### Queries With GROUP BY and HAVING

SELECT[DISTINCT]target-listFROMrelation-listWHEREqualificationGROUP BYgrouping-listHAVINGgroup-qualification

- The target-list contains
  - (i) attribute names
  - (ii) terms with aggregate operations (e.g., MIN (S.age))
- The attribute list (i) must be a subset of grouping-list
  - Intuitively, each answer tuple corresponds to a group, and these attributes must have a single value per group
  - Here a group is a set of tuples that have the same value for all attributes in grouping-list

First go over the examples in the following slides Then come back to this slide and study yourself

### **Conceptual Evaluation**

- The cross-product of relation-list is computed
- Tuples that fail qualification are discarded
- `Unnecessary' fields are deleted
- The remaining tuples are partitioned into groups by the value of attributes in grouping-list
- The group-qualification is then applied to eliminate some groups
- Expressions in group-qualification must have a single value per group
  - In effect, an attribute in group-qualification that is not an argument of an aggregate op also appears in grouping-list
  - like "...GROUP BY bid, sid HAVING bid = 3"
- One answer tuple is generated per qualifying group

Duke CS, Fall 2018

CompSci 516: Database Systems

## Find age of the youngest sailor with age >= 18, for each rating with at least 2 <u>such</u> sailors.

SELECT S.rating, MIN (S.age) AS minage FROM Sailors S WHERE S.age >= 18 GROUP BY S.rating HAVING COUNT (*) > 1					
Answer relation:	rating 3 7 8	minage 25.5 35.0 25.5			

*Sailors instance:* 

-			
<u>sid</u>	sname	rating	age
22	dustin	7	45.0
29	brutus	1	33.0
31	lubber	8	55.5
32	andy	8	25.5
58	rusty	10	35.0
64	horatio	7	35.0
71	zorba	10	16.0
74	horatio	9	35.0
85	art	3	25.5
95	bob	3	63.5
96	frodo	3	25.5

#### Find age of the youngest sailor with age >= 18, for each rating with at

least 2 <u>such</u> sailors.

Step 1: Form the cross product: FROM clause (some attributes are omitted for simplicity)

SELECT S.rating, MIN (S.age) AS minage FROM Sailors S WHERE S.age >= 18 GROUP BY S.rating HAVING COUNT (\*) > 1

rating	age
7	45.0
1	33.0
8	55.5
8	25.5
10	35.0
7	35.0
10	16.0
9	35.0
3	25.5
3	63.5
3	25.5

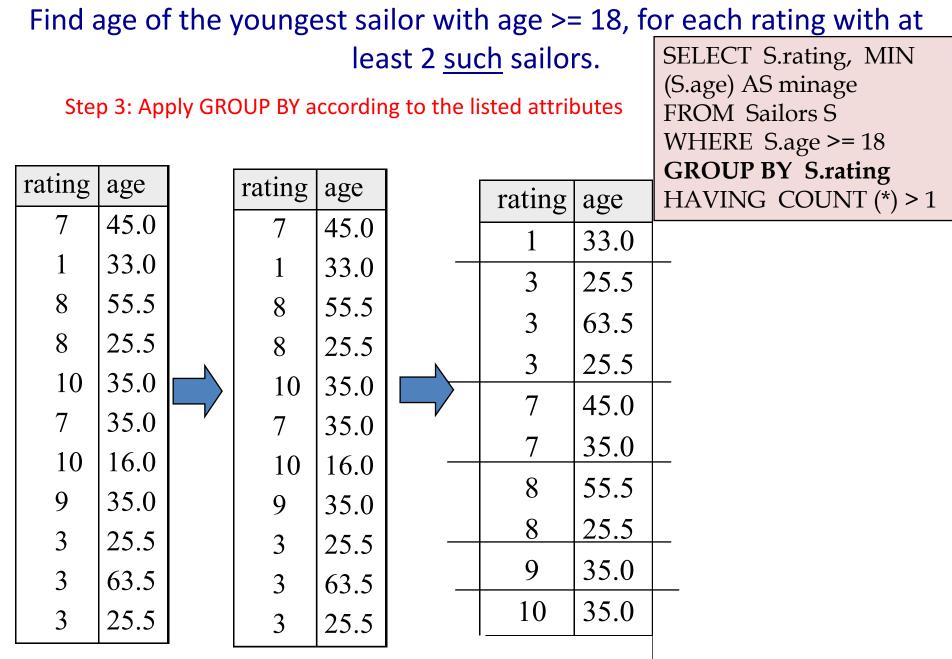
#### Find age of the youngest sailor with age >= 18, for each rating with at

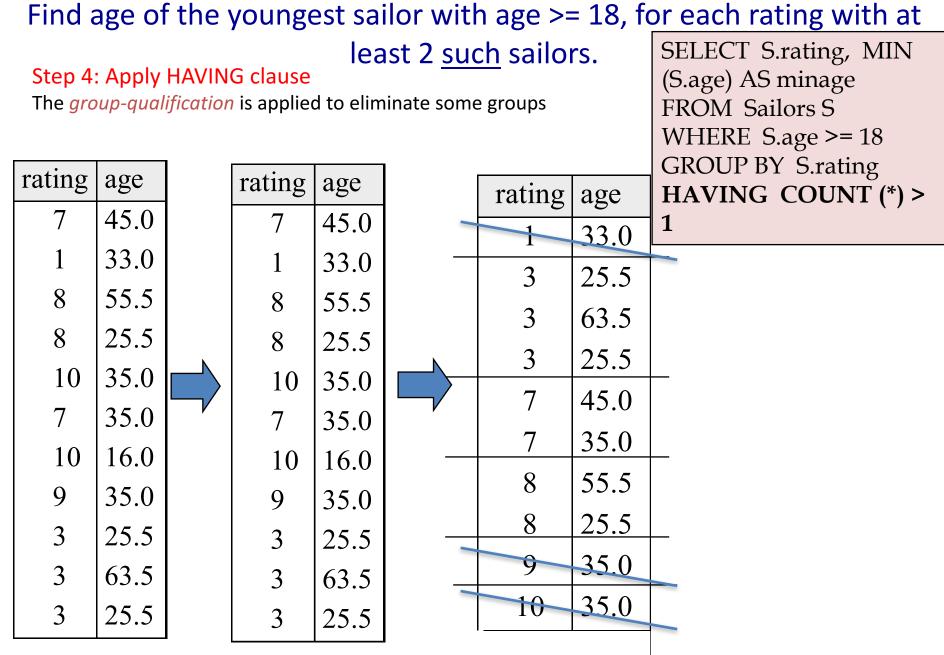
least 2 such sailors.

SELECT S.rating, MIN (S.age) AS minage FROM Sailors S **WHERE S.age >= 18** GROUP BY S.rating HAVING COUNT (\*) > 1

#### Step 2: Apply WHERE clause

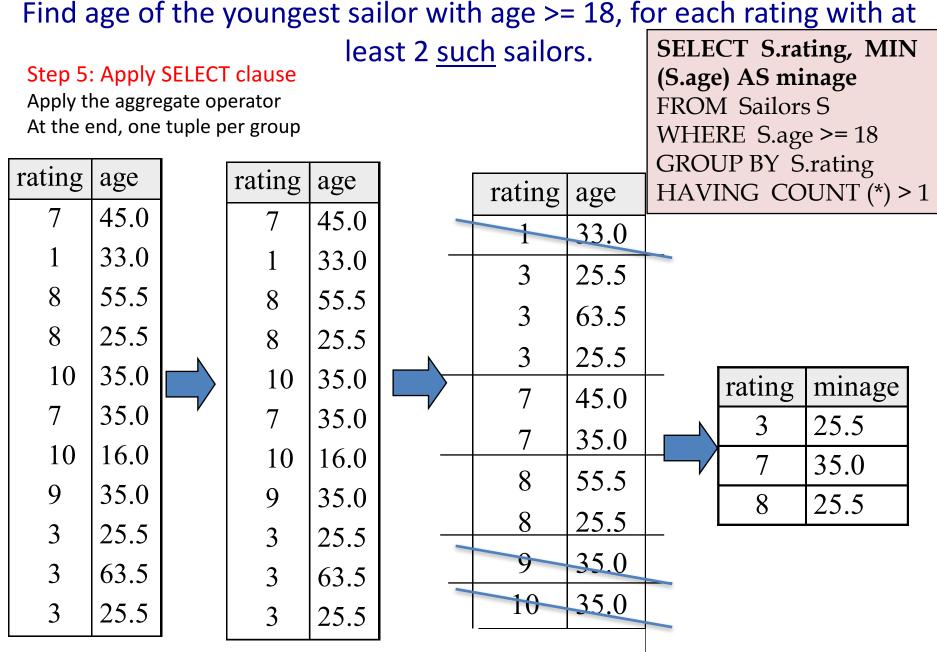
rating	age	rating	age	
7	45.0	7	45.0	
1	33.0	1	33.0	
8	55.5	8	55.5	
8	25.5	8	25.5	
10	35.0	10	35.0	
7	35.0	7	35.0	
10	16.0	10	16.0	
9	35.0	9	35.0	
3	25.5	3	25.5	
3	63.5	3	63.5	
3	25.5	3	25.5	





Duke CS, Fall 2018

CompSci 516: Database Systems



Duke CS, Fall 2018

CompSci 516: Database Systems

#### Nulls and Views in SQL

#### **Null Values**

- Field values in a tuple are sometimes
  - unknown, e.g., a rating has not been assigned, or
  - inapplicable, e.g., no spouse's name
  - SQL provides a special value null for such situations.

#### Standard Boolean 2-valued logic

- True = 1, False = 0
- Suppose X = 5
  - (X < 100) AND (X >= 1) is T  $\land$  T = T
  - (X > 100) OR (X >= 1) is  $F \vee T = T$
  - (X > 100) AND (X >= 1) is  $F \land T = F$
  - NOT(X = 5) is  $\neg T = F$
- Intuitively,
  - T = 1, F = 0
  - For V1, V2  $\in$  {1, 0}
  - V1 ∧ V2 = MIN (V1, V2)
  - V1 ∨ V2 = MAX(V1, V2)
  - $\neg (V1) = 1 V1$

#### 2-valued logic does not work for nulls

- Suppose rating = null, X = 5
- Is rating>8 true or false?
- What about AND, OR and NOT connectives?

- (rating > 8) AND (X = 5)?

 What if we have such a condition in the WHERE clause?

### **3-Valued Logic For Null**

- TRUE (= 1), FALSE (= 0), UNKNOWN (= 0.5)
  - unknown is treated as 0.5
- Now you can apply rules from 2-valued logic!
  - For V1, V2  $\in$  {1, 0, 0.5}
  - V1 ∧ V2 = MIN (V1, V2)
  - V1 ∨ V2 = MAX(V1, V2)
  - $\neg (V1) = 1 V1$
- Therefore,
  - NOT UNKNOWN = UNKNOWN
  - UNKNOWN OR TRUE = TRUE
  - UNKNOWN AND TRUE = UNKNOWN
  - UNKNOWN AND FALSE = FALSE
  - UNKNOWN OR FALSE = UNKNOWN

#### New issues for Null

- The presence of null complicates many issues. E.g.:
  - Special operators needed to check if value IS/IS NOT NULL
  - Be careful!
  - "WHERE X = NULL" does not work!
  - Need to write "WHERE X IS NULL"
- Meaning of constructs must be defined carefully
  - e.g., WHERE clause eliminates rows that don't evaluate to true
  - So not only FALSE, but UNKNOWNs are eliminated too
  - very important to remember!
- But NULL allows new operators (e.g. outer joins)
- Arithmetic with NULL
  - all of +, -, \*, / return null if any argument is null
- Can force "no nulls" while creating a table
  - sname char(20) NOT NULL
  - primary key is always not null

### Aggregates with NULL

sid	sname	rating	age	
22	dustin	7	45	
31	lubber	8	55	
58	rusty	10	35	
R1				

- What do you get for
- SELECT count(\*) from R1?
- SELECT count(rating) from R1?

### Aggregates with NULL

sid	sname	rating	age
22	dustin	7	45
31	lubber	8	55
58	rusty	10	35
R1			

- What do you get for
- SELECT count(\*) from R1?
- SELECT count(rating) from R1?
- Ans: 3 for both

### Aggregates with NULL

sid	sname	rating	age	
22	dustin	7	45	
31	lubber	8	55	
58	rusty	10	35	
R1				

sid	sname	rating	age	
22	dustin	7	45	
31	lubber	null	55	
58	rusty	10	35	
R2				

- What do you get for
- SELECT count(\*) from R1?
- SELECT count(rating) from R1?
- Ans: 3 for both
- What do you get for
- SELECT count(\*) from R2?
- SELECT count(rating) from R2?

### Aggregates with NULL

sid	sname	rating	age			
22	dustin	7	45			
31	lubber	8	55			
58	rusty	10	35			
R1						

sid	sname	rating	age			
22	dustin	7	45			
31	lubber	null	55			
58	rusty	10	35			
R2						

- What do you get for
- SELECT count(\*) from R1?
- SELECT count(rating) from R1?
- Ans: 3 for both
- What do you get for
- SELECT count(\*) from R2?
- SELECT count(rating) from R2?
- Ans: First 3, then 2

### Aggregates with NULL

- COUNT, SUM, AVG, MIN, MAX (with or without DISTINCT)
  - Discards null values first
  - Then applies the aggregate
  - Except count(\*)
- If only applied to null values, the result is null

sid	sname	rating	age			
22	dustin	7	45			
31	lubber	null	55			
58	rusty	10	35			
R2						

- SELECT sum(rating) from R2?
- Ans: 17

sid	sname	rating	age			
22	dustin	null	45			
31	lubber	null	55			
58	rusty	null	35			
R3						

- SELECT sum(rating) from R3?
- Ans: null

#### Views

• A view is just a relation, but we store a definition, rather than a set of tuples

CREATE VIEW YoungActiveStudents (name, grade) AS SELECT S.name, E.grade FROM Students S, Enrolled E WHERE S.sid = E.sid and S.age<21

Views can be dropped using the DROP VIEW command

Views and Security: Views can be used to present necessary information (or a summary), while hiding details in underlying relation(s)

- the above view hides courses "cid" from E
- More on views later in the course

#### Can create a new table from a query on other tables too

SELECT... INTO.... FROM.... WHERE

SELECT S.name, E.grade INTO YoungActiveStudents FROM Students S, Enrolled E WHERE S.sid = E.sid and S.age<21

#### "WITH" clause – very useful!

• You will find "WITH" clause very useful!

WITH Temp1 AS (SELECT .....), Temp2 AS (SELECT ....) SELECT X, Y FROM TEMP1, TEMP2 WHERE....

• Can simplify complex nested queries

#### **Overview: General Constraints**

- Useful when more general ICs than keys are involved
- There are also ASSERTIONS to specify constraints that span across multiple tables

CREATE TABLE Sailors (sid INTEGER, sname CHAR(10), rating INTEGER, age REAL, PRIMARY KEY (sid), CHECK (rating >= 1 AND rating <= 10)

 There are TRIGGERS too : procedure that starts automatically if specified changes occur to the DBMS CREATE TABLE Reserves (sname CHAR(10), bid INTEGER, day DATE, PRIMARY KEY (bid,day), CONSTRAINT noInterlakeRes CHECK ('Interlake' <> (SELECT B.bname FROM Boats B

CompSci 516: Data Intensive Computing Systems

#### Only FYI, not covered in detail

#### Triggers

- Trigger: procedure that starts automatically if specified changes occur to the DBMS
- Three parts:
  - Event (activates the trigger)
  - Condition (tests whether the triggers should run)
  - Action (what happens if the trigger runs)

CREATE TRIGGER youngSailorUpdate AFTER INSERT ON SAILORS REFERENCING NEW TABLE NewSailors FOR EACH STATEMENT INSERT INTO YoungSailors(sid, name, age, rating) SELECT sid, name, age, rating FROM NewSailors N WHERE N.age <= 18

#### Summary

- SQL has a huge number of constructs and possibilities
  - You need to learn and practice it on your own
  - Given a problem, you should be able to write a SQL query and verify whether a given one is correct
- Pay attention to NULLs
- Can limit answers using "LIMIT" or "TOP" clauses
  - e.g. to output TOP 20 results according to an aggregate
  - also can sort using ASC or DESC keywords

Additional Examples (check yourself)

#### **Rewriting INTERSECT Queries Using IN**

Find sid's of sailors who've reserved both a red and a green boat:

SELECT S.sid FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='red' AND S.sid IN (SELECT S2.sid FROM Sailors S2, Boats B2, Reserves R2 WHERE S2.sid=R2.sid AND R2.bid=B2.bid AND B2.color='green')

- Similarly, EXCEPT queries re-written using NOT IN.
- To find names (not sid's) of Sailors who've reserved both red and green boats, just replace S.sid by S.sname in SELECT clause

Duke CS, Fall 2018

_							
"Division" in SQL	SELECT S.sname FROM Sailors S						
	WHERE NOT EXISTS						
More in RA	((SELECT B.bid						
Find sailors who've reserved all boats.	FROM Boats B)						
• Option 1:	EXCEPT						
	(SELECT R.bid						
<ul> <li>Option 2: Let's do it the hard way, without EXCEPT:</li> </ul>	FROM Reserves R						
	option 1 WHERE R.sid=S.sid))						
SELECT S.sname <i>Sailors S such that</i> FROM Sailors S	option 2						
WHERE NOT EXISTS (SELECT B.bid th	ere is no boat B						
FROM Boats B							
WHERE NOT EXISTS (SELECT R.bidwithout							
FROM Reserves R							
WHE	WHERE R.bid=B.bid						
a Reserves tuple showing S 1	reserved B AND R.sid=S.sid))						

#### Find name and age of the oldest sailor(s)

- The first query is illegal!
  - Recall the semantic of GROUP BY
- The third query is equivalent to the second query
  - and is allowed in the
     SQL/92 standard, but is not
     supported in some systems

SELECT S.sname, MAX (S.age) FROM Sailors S

SELECT S.sname, S.age FROM Sailors S WHERE S.age = (SELECT MAX (S2.age) FROM Sailors S2)

SELECT S.sname, S.age FROM Sailors S WHERE (SELECT MAX (S2.age) FROM Sailors S2) = S.age

CompSci 516: Data Intensive Computing Systems

Find age of the youngest sailor with age >= 18, for each rating with at least 2 <u>such</u> sailors and with <u>every</u> sailor under 60.

				AS m		U	N (S.age)		
rating	0.00	rating	age		RE S.ag				
7	age 45.0	1	33.0		JP BY S	0			
1	33.0	 3	25.5	HAVING COUNT (*) > 1 AND EVERY (S.age <=60)					
8	55.5	3	63.5			/			
8	25.5	3	25.5		N	rating	minage		
10	35.0	 7	45.0			7	35.0		
7	35.0	7	35.0		V	8	25.5		
10	16.0	 8	55.5	ſ					
93	35.0 25.5	8	25.5		What is the result of changing EVERY to				
	63.5	 9	35.0		ANY?		0		
3	25.5	 10	35.0						

Duke CS, Fall 2018

CompSci 516: Database Systems

## Find age of the youngest sailor with age >= 18, for each rating with at least 2 sailors between 18 and 60.

SELECT S.rating, MIN (S.age)					Sailors instance:						
AS minage					sname	rating	age				
FROM Sailors S					dustin	7	45.0				
WHERE S.age >= 18 AND S.age <= 60					0			29	brutus	1	33.0
GROUP BY S.rating HAVING COUNT (*) > 1					lubber	8	55.5				
	32	andy	8	25.5							
					rusty	10	35.0				
			_	64	horatio	7	35.0				
	rating	minage		71	zorba	10	16.0				
Answer relation:	3	25.5		74	horatio	9	35.0				
	7	35.0		85	art	3	25.5				
	8	25.5		95	bob	3	63.5				
				96	frodo	3	25.5				

# For each red boat, find the number of reservations for this boat

SELECT B.bid, COUNT (\*) AS scount FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='red' GROUP BY B.bid

- Grouping over a join of three relations.
- What do we get if we remove B.color='red' from the WHERE clause and add a HAVING clause with this condition?
- What if we drop Sailors and the condition involving S.sid?