

# Introduction to Datalog and Query Containment

CPS 296.1  
Topics in Database Systems

## Datalog

- A Prolog-like query language
- Declarative
  - A query specifies what the result should be (using logic) rather than how to compute it (using algebraic operators)
- Expressive
  - Supports recursion
  - Without recursion but with negation it is equivalent in power to relational algebra
- Has affected real practice (e.g., recursion in SQL3, magic transformation)

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## Conjunctive queries (CQ's)

- Most common form of query; equivalent to select-project-join queries
- Datalog rule:  $q(\bar{X}) :- p_1(\bar{X}_1), p_2(\bar{X}_2), \dots, p_n(\bar{X}_n)$ 
  - $q(\bar{X})$  is called the head
    - Predicate  $q$  represent the relation containing the result of the query
  - $p_i(\bar{X}_i)$ 's are called the subgoals
    - Predicates  $p_i$ 's represent database relations
  - Tuples  $\bar{X}, \bar{X}_1, \dots, \bar{X}_n$  contain either variables or constants
  - The rule must be safe; that is, every variable that appears in the head must also appear in the body

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## CQ examples

- Database schema: parent(parent, child)
- CQ's
  - parent-of-bart( $X$ ) :- parent( $X$ , "Bart")
    - Equivalent to relational algebra query:  
 $\pi_{\text{parent}} \sigma_{\text{child}=\text{"Bart"}} \text{parent}$
  - grandparent( $X, Y$ ) :- parent( $X, Z$ ), parent( $Z, Y$ )
    - Equivalent to relational algebra query:  
 $\pi_{p1.\text{parent}, p2.\text{child}} (\rho_{p1}(\text{parent}) \bowtie_{p1.\text{child}=p2.\text{parent}} \rho_{p2}(\text{parent}))$
- Unsafe query
  - unsafe-query( $X, Y$ ) :- parent( $X, Z$ )
    - Where would we get the value of  $Y$  in the query result?

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## Evaluating CQ's

- Substitute constants for variables in the body of  $Q$  such that all subgoals becomes true
- Result contains the head under the same substitution
- Example
  - grandparent( $X, Y$ ) :- parent( $X, Z$ ), parent( $Z, Y$ )
  - Database instance: parent("Abe", "Homer"), parent("Homer", "Bart"), parent("Homer", "Lisa")
  - Only substitutions that make both subgoals true
    - $X \rightarrow \text{"Abe"}; Z \rightarrow \text{"Homer"}; Y \rightarrow \text{"Bart"}$
    - $X \rightarrow \text{"Abe"}; Z \rightarrow \text{"Homer"}; Y \rightarrow \text{"Lisa"}$
  - These substitutions yield heads grandparent("Abe", "Bart") and grandparent("Abe", "Lisa"), which are the result tuples

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## Example of CQ containment

- $Q_1: p(X, Y) :- r(X, W), b(W, Z), r(Z, Y)$
- $Q_2: p(X, Y) :- r(X, W), b(W, W), r(W, Y)$
- Claim:  $Q_1$  contains  $Q_2$
- Proof
  - If  $p(x, y)$  is in  $Q_2$ , then there is some  $w$  such that  $r(x, w), b(w, w)$ , and  $r(w, y)$  are true
  - For  $Q_1$ , make the substitution  $X \rightarrow x; Y \rightarrow y; W \rightarrow w; Z \rightarrow w$
  - All subgoals of  $Q_1$  are true, and the head of  $Q_1$  becomes  $p(x, y)$
  - Thus,  $p(x, y)$  is also in  $Q_1$ , proving that  $Q_1$  contains  $Q_2$

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## Containment mappings

- A containment mapping is a mapping from variables of CQ  $Q_1$  to variables for CQ  $Q_2$ , s.t.
  - Head of  $Q_1$  becomes head of  $Q_2$
  - Each subgoal of  $Q_1$  becomes some subgoal of  $Q_2$ 
    - It is not necessary that every subgoal of  $Q_2$  is the target of some subgoal of  $Q_1$

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## Containment mapping examples

- $Q_1: p(X, Y) :- r(X, W), b(W, Z), r(Z, Y)$
- $Q_2: p(X, Y) :- r(X, W), b(W, W), r(W, Y)$ 
  - Containment mapping from  $Q_1$  to  $Q_2$ :  
 $X \rightarrow X; Y \rightarrow Y; W \rightarrow W; Z \rightarrow W$
  - No containment mapping from  $Q_2$  to  $Q_1$ 
    - $W$  cannot be mapped correctly
- $Q_1: p(X) :- r(X, Y), r(Y, Z), r(Z, W)$
- $Q_2: p(X) :- r(X, Y), r(Y, X)$ 
  - Containment mapping from  $Q_1$  to  $Q_2$ :  
 $X \rightarrow X; Y \rightarrow Y; Z \rightarrow X; W \rightarrow Y$
  - No containment mapping from  $Q_2$  to  $Q_1$ 
    - $X$  cannot be mapped correctly

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## Containment mapping theorem

- $Q_1$  contains  $Q_2$  if and only if there exists a containment mapping from  $Q_1$  to  $Q_2$
- Some intuition
  - Given the containment mapping, and a substitution that proves  $t \in Q_2$ , we can construct a substitution to prove  $t \in Q_1$
  - $Q_1$  may have more answers than  $Q_2$  because  $Q_2$  may have additional subgoals that further restrict its answers

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## Justification for “if”

- Let  $\mu: Q_1 \rightarrow Q_2$  be a containment mapping
- Let  $D$  be any database state
- Every tuple  $t$  in  $Q_2(D)$  is produced by some substitution  $\sigma$  on the variables of  $Q_2$  that makes  $Q_2$ 's subgoals all become facts in  $D$
- Claim:  $\sigma \circ \mu$  is a substitution for variables of  $Q_1$  that produces  $t$ 
  - $\sigma \circ \mu$  (a subgoal of  $Q_1$ ) =  $\sigma$  (some subgoal of  $Q_2$ );  
therefore, it is supported by  $D$
  - $\sigma \circ \mu$  (head of  $Q_1$ ) =  $\sigma$  (head of  $Q_2$ ) =  $t$
- So  $t$  is in  $Q_1(D)$  as well

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## Justification for “only if” (slide 1)

- Key idea: “frozen” CQ
  - Create a unique constant for each variable in  $Q$
  - Frozen  $Q$  is a database consisting of just the subgoals of  $Q$ , with the chosen constants substituted for variables
- Example:  $Q_1: p(X) :- r(X, Y), r(Y, Z), r(Z, W)$ 
  - $X \rightarrow x; Y \rightarrow y; Z \rightarrow z; W \rightarrow w$
  - Frozen  $Q_1$  contains three facts  $r(x, y), r(y, z), r(z, w)$

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## Justification for “only if” (slide 2)

- Suppose  $Q_1$  contains  $Q_2$
- Let database  $D$  be the frozen  $Q_2$
- $Q_2(D)$  contains  $t$ , the frozen head of  $Q_2$
- So  $Q_1(D)$  must also contain  $t$
- Let  $\sigma$  be the substitution of constants from  $D$  for the variables of  $Q_1$  that makes each subgoal of  $Q_1$  a fact in  $D$  and yields  $t$  as the head
- Let  $\tau$  be the mapping that maps constants of  $D$  to their unique, corresponding variable of  $Q_2$  (the inverse mapping is used in constructing frozen  $Q_2$ )
- Claim:  $\tau \circ \sigma$  is a containment mapping from  $Q_1$  to  $Q_2$

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## Justification for “only if” (slide 3)

- $\tau \circ \sigma$  is a containment mapping from  $Q_1$  to  $Q_2$  because
  - The head of  $Q_1$  is mapped by  $\sigma$  to  $t$ , and  $t$  is the frozen head of  $Q_2$ , so  $\tau \circ \sigma$  maps the head of  $Q_1$  to the “unfrozen”  $t$ , that is, the head of  $Q_1$
  - Each subgoal  $g_1$  of  $Q_1$  is mapped by  $\sigma$  to some fact in  $D$ , which is a frozen version of some subgoal  $g_2$  of  $Q_2$ ; therefore,  $\tau \circ \sigma$  maps  $g_1$  to the “unfrozen” fact, that is, to  $g_2$  itself

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## Dual view of containment mappings

- A containment mapping, defined as a mapping on variables, induces a mapping on subgoals
- We can alternatively define a containment mapping as a function on subgoals, thus inducing a mapping on variables
- New containment mapping condition
  - The subgoal mapping does not cause a variable to be mapped to two different variables or constants, nor cause a constant to be mapped to a variable or a constant other than itself

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## Example of subgoal mapping

- Same example
  - $Q_1: p(X, Y) :- r(X, W), b(W, Z), r(Z, Y)$
  - $Q_2: p(X, Y) :- r(X, W), b(W, W), r(W, Y)$
  - Containment mapping on variables from  $Q_1$  to  $Q_2$ :  
 $X \rightarrow X; Y \rightarrow Y; W \rightarrow W; Z \rightarrow W$
  - Containment mapping on subgoals from  $Q_1$  to  $Q_2$ :  
 $1 \rightarrow 1 (r(X, W) \rightarrow r(X, W));$   
 $2 \rightarrow 2 (b(W, Z) \rightarrow b(W, W));$   
 $3 \rightarrow 3 (r(Z, Y) \rightarrow r(W, Y))$

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## Canonical databases

- Instead of looking for a containment mapping to test if  $Q_1$  contains  $Q_2$ , apply the following test
  - Create a canonical database  $D$  that is the frozen body of  $Q_2$
  - Compute  $Q_1(D)$
  - If  $Q_1(D)$  contains the frozen head of  $Q_2$ , then  $Q_1$  contains  $Q_2$ ; else not
- Intuition: The only way the frozen head of  $Q_2$  can be in  $Q_1(D)$  is for there to be a containment mapping from  $Q_1$  to  $Q_2$

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## Example of using canonical database

- $Q_1: p(X) :- r(X, Y), r(Y, Z), r(Z, W)$
- $Q_2: p(X) :- r(X, Y), r(Y, X)$
- Here is the test for whether  $Q_1$  contains  $Q_2$ 
  - Choose constants  $X \rightarrow 0; Y \rightarrow 1$
  - Canonical database from  $Q_2$  is  $D = \{r(0, 1), r(1, 0)\}$
  - $Q_1(D) = \{p(0), p(1)\}$
  - Since the frozen head of  $Q_2, p(0)$ , is in  $Q_1(D)$ ,  $Q_1$  contains  $Q_2$
- Note that the instantiation of  $Q_1$  that shows  $p(0)$  is in  $Q_1(D)$  is  $X \rightarrow 0; Y \rightarrow 1; Z \rightarrow 0; W \rightarrow 1$
- If we map 0 and 1 back to  $X$  and  $Y$  we get a containment mapping!

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## Built-in predicates

- $Q_1: p(X, Y) :- r(X, Y), s(U, V), U \leq V$
- $Q_2: p(X, Y) :- r(X, Y), s(U, V), s(V, U)$
- $Q_1$  contains  $Q_2$ , but obviously there is no containment mapping (“ $\leq$ ” does not map to any subgoal in  $Q_2$ )
- Instead, we need to consider a set of canonical databases, each of which has a complete ordering on the constants in the database

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## Results on query containment

- CQ's: containment mapping or canonical databases
  - NP-complete, but not “hard” in practical situations (short queries, few pairs of subgoals with same predicate)
- Unions of CQ's: same
  - Interesting result: A CQ is contained in a union of CQ's iff this CQ is contained in some CQ in the union
- Built-in predicates: canonical databases
- Equivalence of Datalog queries: undecidable

➤ Many, many results in between...

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## Recursion in Datalog

- A predicate  $p$  in a Datalog program is said to depend on a predicate  $q$  if  $q$  appears in a rule whose head is  $p$
- A Datalog program is recursive if there is a cycle of dependency
- Example
  - $\text{ancestor}(X, Y) :- \text{parent}(X, Y)$
  - $\text{ancestor}(X, Z) :- \text{ancestor}(X, Y), \text{parent}(Y, Z)$
  - “ancestor” depends on parent and itself; recursive

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## Meaning of recursive queries

- Start with the known facts in the database
  - Apply the rules in the program in arbitrary order
  - An application of a rule may derive new facts
  - Repeat until no more facts can be derived
- Things get much hairier when we mix recursion with negation

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## Further reading

- Ullman, *Principles of Database and Knowledge-Base Systems*, Computer Science Press, 1988
- Abiteboul, Hull, Vianu, *Foundations of Databases*, Addison-Wesley, 1995

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