## XML Indexing II

CPS 216 Advanced Database Systems

## Announcements (April 6)

- ❖ Welcome back!
- ❖ Homework #3 due tonight

# XML indexing overview (review)

- ❖ It is a jungle out there
  - Different representation scheme lead to different indexes
  - Will we ever find the "One Tree" that rules them all?
- ❖ Building blocks: B<sup>+</sup>-trees, inverted lists, tries, etc.
- Indexes for node/edge-based representations (graph)
- ❖ Indexes for interval-based representations (tree)
- ☞ Indexes for path-based representations (tree)
- Indexes for sequence-based representations (tree)
- Structural indexes (graph)

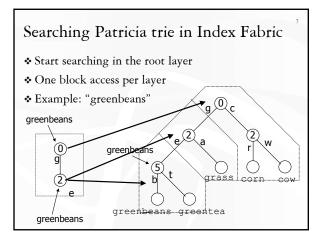

## Index Fabric: a path-based index

Cooper et al. "A Fast Index for Semistructured Data." VLDB 2001

- ❖ Use a label-path encoding for XML
  - Each element is associated with a sequence of labels on the path from the root (e.g., /Invoice/Buyer/Name/ABC Corp.)
  - ullet Encode the label path as a string (e.g., /Invoice/Buyer/Name  $ightarrow lphaeta\delta$ )
- ❖ Index all label paths in a Patricia trie
  - And try to make the trie balanced and I/O-efficient

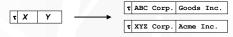
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# \*Recall that Patricia trie indexes first point of difference between keys Divide trie into blocks Build another layer greenbeans greentea



## Refined paths in Index Fabric

- \* Queries supported by Index Fabric so far:
  - Label paths from the root (e.g., /Invoice/Buyer/Name/)
  - How about //Buyer/Name, or //Buyer/Name|Address?
- \* Refined paths: frequent queries
  - Just invent labels for these queries and index them in the same Patricia trie
  - Example: find invoices where *X* sold to *Y*



FExtra refined paths → more space required

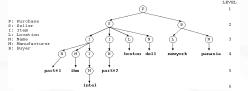
# ViST: a sequence-based index

Wang et al. "ViST: A Dynamic Index Method for Querying XML Data by Tree Structures." SIGMOD 2003

- Use a sequence-based encoding for XML
- Turn twig queries to subsequence matches
- Index sequences in a virtual trie using interval-based encoding

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## Sequence representation of XML



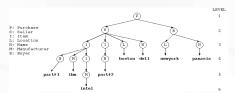
- \* A sequence of (symbol, prefix) pairs, in depth-first order:
  - (P, ε), (S, P), (I, PS), (N, PSI), (ν<sub>1</sub>, PSIN), (M, PSI), (ν<sub>2</sub>, PSIM), (I, PSI), (M, PSII), (ν<sub>3</sub>, PSIIM), (I, PS), (N, PSI), (ν<sub>4</sub>, PSIN), (L, PS), (ν<sub>5</sub>, PSL), (N, PS), (ν<sub>6</sub>, PSN), (B, P), (L, PB), (ν<sub>7</sub>, PBL), (N, PB), (ν<sub>9</sub>, PBN)
- \* What is the worst-case storage requirement?
- Would listing symbols in depth-first order be sufficient?

## Sequence representation of twigs

\* Twigs can be represented sequences as well

	Path Expression	Structure-Encoded Sequence
$Q_1$ :	/Purchase/Seller/Item/Manufacturer	$(P,\epsilon)(S,P)(I,PS)(M,PSI)$
$Q_2$ :	$/Purchase/[Seller[Loc=v_S]]/Buyer[Loc=v_7] \\$	$(P,\epsilon)(S,P)(L,PS)(v_5,PSL)(B,P)(L,PB)(v_7,PBL)$
$Q_3$ :	$/Purchase / */[Loc = v_5]$	$(P,\epsilon)(L,P*)(v_5,P*L)$
$Q_4$ :	$/Purchase//[Manufacturer=v_{3}]$	$(P, \epsilon)(M, P/)(v_3, P/M)$

## Matching twigs as sequences



- Data: (P, ε), (S, P), (I, PS), (N, PSI), (ν<sub>1</sub>, PSIN), (M, PSI), (ν<sub>2</sub>, PSIM), (I, PSI), (M, PSII), (ν<sub>3</sub>, PSIIM), (I, PS), (N, PSI), (ν<sub>4</sub>, PSIN), (I, PS), (ν<sub>5</sub>, PSL), (N, PS), (ν<sub>6</sub>, PSN), (B, P), (I, PB), (ν<sub>7</sub>, PBL), (N, PB), (ν<sub>8</sub>, PRN)
- Query (Boston seller New York buyer): (P, ε), (S, P), (L, PS), (ν<sub>5</sub>, PSL), (B, P), (L, PB), (ν<sub>7</sub>, PBL)
- Find a (non-contiguous) subsequence of data that matches the query

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## False alarms



 $D_{+} = -(\underline{P},\underline{e}) \, (\underline{Q},\underline{P}) \, (\underline{T},\underline{PQ}) \, (\underline{S},\underline{PQ}) \, (R,P) \, (U,PR) \, (T,PR)$ 

 $D_{2} = (P, e) (Q, P) (T, PQ) (Q, P) (S, PQ)$ 

Q = (P, e) (Q, P) (T, PQ) (S, PQ)

### ❖ /P/Q[T]/S

- Match sequences for /P/Q[T]/S and /P/[Q/T]/Q/S
- Compute the difference between the answers
- But what if a document exhibit both structures?

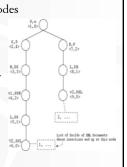
## Indexing sequences with a trie

- \* Just insert sequences into a trie
- ❖ Search the trie for subsequences matching the query
  - Expensive because subsequences do not need to be contiguous

to De Contiguous  $Doc_1 \ : \ (P,\epsilon)(S,P)(N,PS)(c_1,PSN)(L,PS)(c_2,PSL) \\ Doc_2 \ : \ (P,\epsilon)(B,P)(L,PB)(c_2,PBL) \\ Q_1 \ : \ (P,\epsilon)(B,P)(L,PB)(c_2,PBL) \\ Q_2 \ : \ (P,\epsilon)(L,Ps)(c_2,PsL) \\ \\ Q_2 \ : \ (P,\epsilon)(L,Ps)(c_2,PsL) \\ \\ U_{2,PsL} \ U_{2,PsL} \ U_{2,PsL} \\ U_{1,1} \ U_{2,PsL} \ U_{2,PsL} \\ U_{2,PsL} \ U_{2,PsL} \ U_{2,PsL} \\ U_{2,PsL} \ U_{2,PsL} \ U_{2,PsL} \\ U_{3,PsL} \ U_{3,PsL} \ U_{3,PsL} \ U_{3,PsL} \\ U_{4,PsL} \ U_{4,PsL} \ U_{4,PsL} \ U_{4,PsL} \\ U_{5,PsL} \ U_{5,PsL} \ U_{5,PsL} \ U_{5,PsL} \\ U_{5,PsL} \ U_{5,PsL} \ U_{5,PsL} \ U_{5,PsL} \\ U_{5,PsL} \ U_{5,PsL} \ U_{5,PsL} \ U_{5,PsL} \ U_{5,PsL} \ U_{5,PsL} \ U_{5,PsL} \\ U_{5,PsL} \ U_$ 

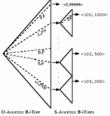
# "Virtual trie" idea

- ❖ Use (left, size) to encode trie nodes
  - size = right left
  - Supports efficient "skipping"
- ❖ Index in a regular B+-tree
- No need to store the trie itself



#### ViST structures

- D-Ancestor  $B^+$ -tree indexes trie nodes by (symbol, prefix)
  - Facilitates prefix matching (checking for ancestor-descendent relationships in documents)
- Leaf nodes point to S-Ancestor B<sup>+</sup>-trees, which further index nodes by (left, size)
  - Facilitates skipping in the trie (checking for ancestor-descendent relationships in the trie)
- ❖ Subsequence matching → repeated index lookups



## Lore's DataGuide: a structural index

Goldman & Widom. "DataGuides: Enabling Query Formulation and Optimization in Semistructured Databases." VLDB, 1997

- Given an XML data graph G, a DataGuide is an index graph I with the following properties
  - Every label path in G also occurs in I
    - Complete coverage
  - Every label path in I also occurs in G
    - Accurate coverage
  - Every label path in I (starting from a particular object) is unique (i.e., I is a DFA)
    - Efficient search: a label path of length *n* traverses *n* edges and ends at one node
  - Each index node in I points to its extent: a set of data nodes in G
    - Label path query on  $\stackrel{\circ}{G} \rightarrow$  label path query on I

# Strong DataGuide

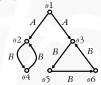
- **\$ Let** p, p' be two label path expressions and G a graph; define  $p \equiv_G p'$  if p(G) = p'(G)
  - That is, p and p' are indistinguishable on G
- ❖ I is a strong DataGuide for a database G if the equivalence relations  $\equiv_I$  and  $\equiv_G$  are the same
- \* Example
  - $I_1$  is strong;  $I_2$  is not
  - A.C(G) =  $\{5\}$ , B.C(G) =  $\{6, 7\}$ 
    - Not equal
  - A.C $(I_2) = \{ 20 \}$ , B.C $(I_2) = \{ 20 \}$ 
    - Equal

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#### Size of DataGuides

❖ If G is a tree, then  $|I| \le |G|$ 

- Linear construction time
- In the worst case, the size of a strong DataGuide may be exponential in |G| because of the DFA requirement



FRelax the DFA requirement?

### NFA-based structural indexes

- Defined using an equivalence relation (based on the graph structure)
  - Each index node v corresponds to an equivalence class of data nodes in G (denoted v.extent)
  - There is a edge from *u* to *v* in *I* iff there exists a edge from a node in *u.extent* to a node in *v.extent*
- $|I| \le |G|$  by definition because extents do not overlap; however, the structure is no longer a DFA

### 1-index

Milo & Suciu, "Index Structures for Path Expressions." ICDT, 1997

- "Perfect" equivalence relation: two data nodes are equivalent iff they are not distinguishable by label path expressions
  - That is, the sets of label path expressions that can reach them are the same
  - Too expensive to compute in practice
- 1-index uses a less perfect equivalent relation, bisimilarity, which is easier to compute
  - If two nodes are bisimilar, then they are not distinguishable by label path expressions
  - The converse is not necessary true
  - \*May result in larger indexes

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