

## Today's topics

- Counting
  - Sum rule
  - Product rule
  - Tree diagrams
  - Inclusion/exclusion
- Reading: Sections 4.1
- Upcoming
  - Permutations & Combinations

## Combinatorics

- The study of the number of ways to put things together into various combinations.
- *E.g.* In a contest entered by 100 people,
  - how many different top-10 outcomes could occur?
- *E.g.* If a password is 6-8 letters and/or digits,
  - how many passwords can there be?

## Sum and Product Rules (§4.1)

- Let  $m$  be the number of ways to do task 1 and  $n$  the number of ways to do task 2,
  - with each number independent of how the other task is done,
  - and also assume that no way to do task 1 simultaneously also accomplishes task 2.
- Then, we have the following rules:
  - The *sum rule*: The task “do either task 1 or task 2, but not both” can be done in  $m+n$  ways.
  - The *product rule*: The task “do both task 1 and task 2” can be done in  $mn$  ways.

## Set Theoretic Version

- If  $A$  is the set of ways to do task 1, and  $B$  the set of ways to do task 2, and if  $A$  and  $B$  are disjoint, then:
  - The ways to do either task 1 or 2 are  $A \cup B$ , and  $|A \cup B| = |A| + |B|$
  - The ways to do both task 1 and 2 can be represented as  $A \times B$ , and  $|A \times B| = |A| \cdot |B|$

## IP Address Example

- Some facts about the Internet Protocol, version 4:
  - Valid computer addresses are in one of 3 types:
    - A class A IP address contains a 7-bit “netid”  $\neq 17$ , and a 24-bit “hostid”
    - A class B address has a 14-bit netid and a 16-bit hostid.
    - A class C addr. Has 21-bit netid and an 8-bit hostid.
  - The 3 classes have distinct headers (0, 10, 110)
  - Hostids that are all 0s or all 1s are not allowed.
- How many valid computer addresses are there?

e.g., duke.edu is 152.3.233.10

## Inclusion-Exclusion Principle (§§4.1 & 6.5)

- Suppose that  $k \leq m$  of the ways of doing task 1 also simultaneously accomplish task 2.
  - And thus are also ways of doing task 2.
- Then, the number of ways to accomplish “Do either task 1 or task 2” is  $m+n-k$ .
- Set theory: If  $A$  and  $B$  are not disjoint, then  $|A \cup B| = |A| + |B| - |A \cap B|$ .
  - If they are disjoint, this simplifies to  $|A| + |B|$ .

## Inclusion/Exclusion Example

- Some hypothetical rules for passwords:
  - Passwords must be 2 characters long.
  - Each character must be a letter a-z, a digit 0-9, or one of the 10 punctuation characters !@#\$%^&\*().
  - Each password must contain at least 1 digit or punctuation character.

## Setup of Problem

- A legal password has a digit or punctuation character in position 1 **or** position 2.
  - These cases overlap, so the principle applies.
- (# of passwords w. OK symbol in position #1) =  $(10+10) \cdot (10+10+26)$
- (# w. OK sym. in pos. #2): also  $20 \cdot 46$
- (# w. OK sym both places):  $20 \cdot 20$
- Answer:

## Pigeonhole Principle (§4.2)

- A.k.a. the “Dirichlet drawer principle”
- If  $\geq k+1$  objects are assigned to  $k$  places, then at least 1 place must be assigned  $\geq 2$  objects.
- In terms of the assignment function:
  - If  $f:A \rightarrow B$  and  $|A| \geq |B|+1$ , then some element of  $B$  has  $\geq 2$  preimages under  $f$ .
    - I.e.,  $f$  is not one-to-one.

## Example of Pigeonhole Principle

- There are 101 possible numeric grades (0%-100%) rounded to the nearest integer.
  - Also, there are  $>101$  students in this class.
- Therefore, there must be at least one (rounded) grade that will be shared by at least 2 students at the end of the semester.
  - I.e., the function from students to rounded grades is *not* a one-to-one function.

## Fun Pigeonhole Proof (Ex. 4, p.314)

- **Theorem:**  $\forall n \in \mathbf{N}, \exists$  a multiple  $m > 0$  of  $n \ni m$  has only 0's and 1's in its decimal expansion!
- **Proof:** Consider the  $n+1$  decimal integers  $1, 11, 111, \dots, \underbrace{1 \cdot \dots \cdot 1}_{n+1}$ . They have only  $n$  possible residues mod  $n$ . So, take the difference of two that have the same residue. The result is the answer!  $\square$

## Another Fun Example

- Suppose that next June, the Durham Bulls play at least 1 game a day, but  $\leq 45$  games total. Show there must be some sequence of consecutive days in June during which they play *exactly* 14 games.
  - **Proof:** Let  $a_j$  be the number of games played on or before day  $j$ . Then,  $a_1, \dots, a_{30} \in \mathbf{Z}^+$  is a sequence of 30 distinct integers with  $1 \leq a_j \leq 45$ . Therefore  $a_1+14, \dots, a_{30}+14$  is a sequence of 30 distinct integers with  $15 \leq a_j+14 \leq 59$ . Thus,  $(a_1, \dots, a_{30}, a_1+14, \dots, a_{30}+14)$  is a sequence of 60 integers from the set  $\{1, \dots, 59\}$ . By the Pigeonhole Principle, two of them must be equal, but  $a_i \neq a_j$  for  $i \neq j$ . So,  $\exists ij: a_i = a_j+14$ . Thus, 14 games were played on days  $a_j+1, \dots, a_i$ .