

## Minimum Spanning Tree 1

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### 1 Overview

This lecture introduces basic concepts of (MST): the cut property and the cycle property.<sup>1</sup> Throughout the notes, we use MST for minimal spanning tree,  $w(e)$  for weight of the edge  $e$ , and  $w(T)$  for weight of the tree  $T$ .

### 2 Minimum Spanning Tree Preliminaries

**Definition 1.** Given an undirected weighted connected graph  $G = (V, E)$ , a spanning tree is a subgraph  $G' = (V, E')$  of  $G$ , where  $E' \subseteq E$ , such that  $G'$  is connected and acyclic.

**Definition 2.** A minimum spanning tree (MST) is a spanning tree with minimum total weight.

#### 2.1 Generic Properties of Minimum Spanning Tree

##### 2.1.1 Cut Property

**Definition 3.** A cut of a graph  $G = (V, E)$  is a pair of disjoint and exhaustive subsets of  $V$ . A cut determines a cut-set, which is the set of edges that have one endpoint in each subset of the pair.

**Lemma 1** (Cut Property). Given an undirected weighted connected graph  $G = (V, E)$ , for any  $S \subseteq V$ , the (strictly) lightest edge cross the cut  $(S, V \setminus S)$  is included in any minimum spanning tree.

*Proof.* Let  $T$  be a minimum spanning tree. Let the lightest edge cross the cut  $(S, V \setminus S)$  be  $(u, v)$ , where  $u \in S$  and  $v \in V \setminus S$ . If  $T$  does not contain  $(u, v)$ , we can find an edge  $e \neq (u, v)$  in  $T$  which fulfills: (1)  $e$  is in the path from  $u$  to  $v$  and (2)  $e$  is an edge cross the cut  $(S, V \setminus S)$ . Such an edge has to exist because  $T$  is a spanning tree. We construct another spanning tree  $T'$  by deleting edge  $e$  from  $T$  and adding edge  $(u, v)$ , and then  $T'$  has a smaller total weight which implies that  $T$  is not a minimum spanning tree.  $\square$

##### 2.1.2 Cycle Property

**Lemma 2** (Cycle Property). Let  $C$  be any cycle, and let  $f$  be the (unique) max weight edge belonging to  $C$ . Then any MST does not contain  $f$ .

*Proof.* Let  $T^*$  be an MST. Suppose  $f$  belongs to  $T^*$ . Deleting  $f$  from  $T^*$  disconnects  $T^*$  and generates a cut  $(S, V \setminus S)$ . There is some other edge in the cycle  $C$ , say  $e$ , has exactly one endpoint in  $S$ . Therefore  $T = T^* \setminus \{e\} \cup \{f\}$  is also a spanning tree. Since  $w(e) < w(f)$ , we know  $w(T) < w(T^*)$ , which is a contradiction.  $\square$

<sup>1</sup>Some of the material is from a previous note by Yilun Zhou for this course in Fall 2014.