CompSci 516
Database Systems

Lecture 4-5
More SQL
(Joins, Subqueries, Group-by, Nulls, Views, Constraints)

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Announcements

- In-person classes starting Thursday
  - Also live streaming and recording

Next: different types of joins

- Theta-join
- Equi-join
- Natural join
- Outer Join

Condition/Theta Join

```
SELECT *
FROM Sailors S, Reserves R
WHERE S.sid=R.sid and age >= 40
```

sid	sname	rating	age
22	dustin	7	45
31	lubber	8	55
58	rusty	10	35

Form cross product, discard rows that do not satisfy the condition

sid	sname	rating	age	sid	bid	day
22	dustin	7	45	22	101	10/10/96
22	dustin	7	45	58	103	11/12/96
31	lubber	8	55	22	101	10/10/96
31	lubber	8	55	58	103	11/12/96
58	rusty	10	35	22	101	10/10/96
58	rusty	10	35	58	103	11/12/96

sid	bid	day
22	101	10/10/96
58	103	11/12/96

Equi Join

```
SELECT *
FROM Sailors S, Reserves R
WHERE S.sid=R.sid and age = 45
```

sid	sname	rating	age
22	dustin	7	45
31	lubber	8	55
58	rusty	10	35

A special case of theta join

Join condition only has equality predicate =

sid	sname	rating	age	sid	bid	day
22	dustin	7	45	22	101	10/10/96
22	dustin	7	45	58	103	11/12/96
31	lubber	8	55	22	101	10/10/96
31	lubber	8	55	58	103	11/12/96
58	rusty	10	35	22	101	10/10/96
58	rusty	10	35	58	103	11/12/96

sid	bid	day
22	101	10/10/96
58	103	11/12/96

Natural Join

```
SELECT *
FROM Sailors S NATURAL JOIN Reserves R
```

sid	sname	rating	age
22	dustin	7	45
31	lubber	8	55
58	rusty	10	35

A special case of equi join
Equality condition on ALL common predicates (sid)
Duplicate columns are eliminated

sid	sname	rating	age	bid	day
22	dustin	7	45	101	10/10/96
~~22~~	~~dustin~~	~~7~~	~~45~~	~~103~~	~~11/12/96~~
31	lubber	8	55	101	10/10/96
~~31~~	~~lubber~~	~~8~~	~~55~~	~~103~~	~~11/12/96~~
~~58~~	~~rusty~~	~~10~~	~~35~~	~~101~~	~~10/10/96~~
58	rusty	10	35	103	11/12/96

sid	bid	day
22	101	10/10/96
58	103	11/12/96

Outer Join

```
SELECT  S.sid, R. bid
FROM  Sailors S LEFT OUTER JOIN Reserves R
ON  S.sid=R.sid
```

sid	sname	rating	age
22	dustin	7	45
31	lubber	8	55
58	rusty	10	35

Preserves all tuples from the left table whether or not there is a match
if no match, fill attributes from right with null
Similarly RIGHT/FULL outer join

sid	bid	day
22	101	10/10/96
58	103	11/12/96

sid	bid
22	101
31	null
58	103

Expressions and Strings

```
SELECT  S.age,    age1=S.age-5,    2*S.age AS age2
FROM  Sailors S
WHERE  S.sname LIKE 'B_%B'
```

- Illustrates use of arithmetic expressions and string pattern matching
- *Find triples (of ages of sailors and two fields defined by expressions) for sailors*
  - *whose names begin and end with B and contain at least three characters*
- LIKE is used for string matching. `_' stands for any one character and `%' stands for 0 or more arbitrary characters
  - You will need these often

Find sid's of sailors who've reserved a red or a green boat

Sailors (sid, sname, rating, age)
Reserves(sid, bid, day)
Boats(bid, bname, color)

- UNION: Can be used to compute the union of any two *union-compatible* sets of tuples
  - can themselves be the result of SQL queries
- If we replace OR by AND in the first version, what do we get?
- Also available: EXCEPT (What do we get if we replace UNION by EXCEPT?)

```
SELECT  S.sid
FROM  Sailors S, Boats B, Reserves R
WHERE  S.sid=R.sid AND R.bid=B.bid
  AND (B.color='red' OR B.color='green')
```

```
SELECT  S.sid
FROM  Sailors S, Boats B, Reserves R
WHERE  S.sid=R.sid AND R.bid=B.bid
          AND B.color='red'
UNION
SELECT  S.sid
FROM  Sailors S, Boats B, Reserves R
WHERE  S.sid=R.sid AND R.bid=B.bid
          AND B.color='green'
```

Find sid's of sailors who've reserved a red and a green boat

Sailors (sid, sname, rating, age)
Reserves(sid, bid, day)
Boats(bid, bname, color)

Find sid's of sailors who've reserved a red and a green boat

Sailors (sid, sname, rating, age)
Reserves(sid, bid, day)
Boats(bid, bname, color)

- Does not work ->
- INTERSECT: Can be used to compute the intersection of any two union-compatible sets of tuples.
  - Included in the SQL/92 standard, but some systems don't support it

```
SELECT  S.sid
FROM  Sailors S, Boats B1, Reserves R1,
        Boats B2, Reserves R2
WHERE  S.sid=R1.sid AND R1.bid=B1.bid
  AND  S.sid=R2.sid AND R2.bid=B2.bid
  AND (B1.color='red' AND B2.color='green')
```

```
SELECT  S.sid
FROM  Sailors S, Boats B, Reserves R
WHERE  S.sid=R.sid AND R.bid=B.bid
          AND B.color='red'
INTERSECT
SELECT  S.sid
FROM  Sailors S, Boats B, Reserves R
WHERE  S.sid=R.sid AND R.bid=B.bid
          AND B.color='green'
```

Nested Queries

Find names of sailors who've reserved boat #103:

```
SELECT S.sname
FROM Sailors S
WHERE S.sid IN (SELECT R.sid
                FROM Reserves R
                WHERE R.bid=103)
```

Sailors (sid, sname, rating, age)
Reserves(sid, bid, day)
Boats(bid, bname, color)

- A very powerful feature of SQL:
  - a WHERE/FROM/HAVING clause can itself contain an SQL query
- To find sailors who've not reserved #103, use NOT IN.
- To understand semantics of nested queries, think of a nested loops evaluation
  - For each Sailors tuple, check the qualification by computing the subquery

Nested Queries with Correlation

Find names of sailors who've reserved boat #103:

```
SELECT  S.sname
FROM  Sailors S
WHERE   EXISTS  (SELECT  *
                 FROM  Reserves R
                 WHERE  R.bid=103 AND S.sid=R.sid)
```

- EXISTS is another set comparison operator, like IN
- Illustrates why, in general, subquery must be re-computed for each Sailors tuple

Nested Queries with Correlation

Find names of sailors who've reserved boat #103 at most once:

```
SELECT  S.sname
FROM  Sailors S
WHERE   UNIQUE  (SELECT  R.bid
                 FROM  Reserves R
                 WHERE  R.bid=103 AND S.sid=R.sid)
```

- If UNIQUE is used, and * is replaced by *R.bid*, finds sailors with at most one reservation for boat #103
  - UNIQUE checks for duplicate tuples

More on Set-Comparison Operators

- We've already seen IN, EXISTS and UNIQUE
- Can also use NOT IN, NOT EXISTS and NOT UNIQUE.
- Also available: *op* ANY, *op* ALL, *op* IN
  - where op : >, <, =, <=, >=
- Find sailors whose rating is greater than that of some sailor called Horatio
  - similarly ALL

```
SELECT *
FROM Sailors S
WHERE S.rating > ANY (SELECT S2.rating
                      FROM Sailors S2
                      WHERE S2.sname='Horatio')
```

Recall: Aggregate Operators

Check yourself:
What do these queries compute?

COUNT (*)
COUNT ([DISTINCT] A)
SUM ([DISTINCT] A)
AVG ([DISTINCT] A)
MAX (A)
MIN (A)

single column

```
SELECT  COUNT (*)
FROM  Sailors S
```

```
SELECT  AVG (S.age)
FROM  Sailors S
WHERE  S.rating=10
```

```
SELECT  S.sname
FROM  Sailors S
WHERE  S.rating= (SELECT  MAX(S2.rating)
                  FROM  Sailors S2)
```

```
SELECT  COUNT (DISTINCT S.rating)
FROM  Sailors S
WHERE S.sname='Bob'
```

```
SELECT  AVG ( DISTINCT S.age)
FROM  Sailors S
WHERE  S.rating=10
```

Motivation for Grouping

- So far, we've applied aggregate operators to all (qualifying) tuples
  - Sometimes, we want to apply them to each of several groups of tuples
- Consider: Find the age of the youngest sailor for each rating level
  - In general, we don't know how many rating levels exist, and what the rating values for these levels are!
  - Suppose we know that rating values go from 1 to 10; we can write 10 queries that look like this (need to replace i by num):

For i = 1, 2, ... , 10:

```
SELECT  MIN (S.age)
FROM  Sailors S
WHERE  S.rating = i
```

Read again after reading the next few slides

Group-by evaluation semantics

```
5  SELECT  S.rating,  MIN (S.age) AS minage
1  FROM  Sailors S
2  WHERE  S.age >= 18
3  GROUP BY  S.rating
4  HAVING  COUNT (*) > 1
```

Always start from "FROM" -- form cross product
Apply "WHERE" -- filter out some tuples (rows)
Apply "GROUP BY" – partition tuples into groups by the value of the grouping attributes
Apply "HAVING" – eliminate groups that do not satisfy the condition
Apply "SELECT" -- compute aggregates for each group

- Expressions in HAVING must have a single value per group
  In effect, an attribute in HAVING that is not an argument of an aggregate op also appears in GROUP-BY attributes list like, "…GROUP BY bid, sid HAVING bid = 3"
- One answer tuple is generated per qualifying group
- A subset of GROUP BY attributes can appear in SELECT: SELECT A, SUM(C) FROM R GROUP BY A, B

Find age of the youngest sailor with age >= 18, for each rating with at least 2 such sailors.

```
SELECT  S.rating,  MIN (S.age) AS minage
FROM  Sailors S
WHERE  S.age >= 18
GROUP BY  S.rating
HAVING  COUNT (*) > 1
```

Sailors instance:

sid	sname	rating	age
22	dustin	7	45.0
29	brutus	1	33.0
31	lubber	8	55.5
32	andy	8	25.5
58	rusty	10	35.0
64	horatio	7	35.0
71	zorba	10	16.0
74	horatio	9	35.0
85	art	3	25.5
95	bob	3	63.5
96	frodo	3	25.5

Answer relation:

rating	minage
3	25.5
7	35.0
8	25.5

Find age of the youngest sailor with age >= 18, for each rating with at least 2 such sailors.

```
SELECT  S.rating,  MIN
(S.age) AS minage
FROM  Sailors S
WHERE  S.age >= 18
GROUP BY  S.rating
HAVING  COUNT (*) > 1
```

Step 1: Form the cross product: FROM clause
(some attributes are omitted for simplicity)

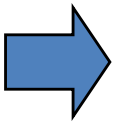
rating	age
7	45.0
1	33.0
8	55.5
8	25.5
10	35.0
7	35.0
10	16.0
9	35.0
3	25.5
3	63.5
3	25.5

Find age of the youngest sailor with age >= 18, for each rating with at least 2 such sailors.

```
SELECT  S.rating,  MIN
(S.age) AS minage
FROM  Sailors S
WHERE  S.age >= 18
GROUP BY  S.rating
HAVING  COUNT (*) > 1
```

Step 2: Apply WHERE clause

rating	age
7	45.0
1	33.0
8	55.5
8	25.5
10	35.0
7	35.0
10	16.0
9	35.0
3	25.5
3	63.5
3	25.5



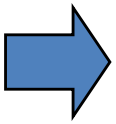
rating	age
7	45.0
1	33.0
8	55.5
8	25.5
10	35.0
7	35.0
~~10~~	~~16.0~~
9	35.0
3	25.5
3	63.5
3	25.5

Find age of the youngest sailor with age >= 18, for each rating with at least 2 such sailors.

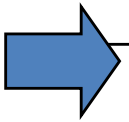
```
SELECT  S.rating,  MIN
(S.age) AS minage
FROM  Sailors S
WHERE  S.age >= 18
GROUP BY  S.rating
HAVING  COUNT (*) > 1
```

Step 3: Apply GROUP BY according to the listed attributes

rating	age
7	45.0
1	33.0
8	55.5
8	25.5
10	35.0
7	35.0
10	16.0
9	35.0
3	25.5
3	63.5
3	25.5



rating	age
7	45.0
1	33.0
8	55.5
8	25.5
10	35.0
7	35.0
10	16.0
9	35.0
3	25.5
3	63.5
3	25.5



rating	age
1	33.0
3	25.5
3	63.5
3	25.5
7	45.0
7	35.0
8	55.5
8	25.5
9	35.0
10	35.0

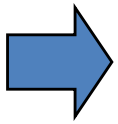
Find age of the youngest sailor with age >= 18, for each rating with at least 2 such sailors.

Step 4: Apply HAVING clause

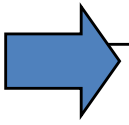
The *group-qualification* is applied to eliminate some groups

```
SELECT  S.rating,  MIN (S.age) AS minage
FROM  Sailors S
WHERE  S.age >= 18
GROUP BY  S.rating
HAVING  COUNT (*) > 1
```

rating	age
7	45.0
1	33.0
8	55.5
8	25.5
10	35.0
7	35.0
10	16.0
9	35.0
3	25.5
3	63.5
3	25.5



rating	age
7	45.0
1	33.0
8	55.5
8	25.5
10	35.0
7	35.0
10	16.0
9	35.0
3	25.5
3	63.5
3	25.5



rating	age
1	33.0
3	25.5
3	63.5
3	25.5
7	45.0
7	35.0
8	55.5
8	25.5
9	35.0
10	35.0

Find age of the youngest sailor with age >= 18, for each rating with at least 2 such sailors.

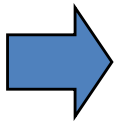
Step 5: Apply SELECT clause

Apply the aggregate operator

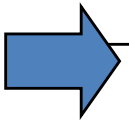
At the end, one tuple per group

```
SELECT  S.rating,  MIN (S.age) AS minage
FROM  Sailors S
WHERE  S.age >= 18
GROUP BY  S.rating
HAVING  COUNT (*) > 1
```

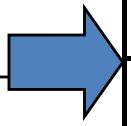
rating	age
7	45.0
1	33.0
8	55.5
8	25.5
10	35.0
7	35.0
10	16.0
9	35.0
3	25.5
3	63.5
3	25.5



rating	age
7	45.0
1	33.0
8	55.5
8	25.5
10	35.0
7	35.0
10	16.0
9	35.0
3	25.5
3	63.5
3	25.5



rating	age
~~1~~	~~33.0~~
3	25.5
3	63.5
3	25.5
7	45.0
7	35.0
8	55.5
8	25.5
~~9~~	~~35.0~~
~~10~~	~~35.0~~



rating	minage
3	25.5
7	35.0
8	25.5

Nulls and Views in SQL

Null Values

- Field values in a tuple are sometimes
  - unknown, e.g., a rating has not been assigned, or
  - inapplicable, e.g., no spouse's name
  - SQL provides a special value null for such situations.

Start of Lecture 5, Thursday, 1/20/2022

Standard Boolean 2-valued logic

- True = 1, False = 0
- Suppose X = 5
  - (X < 100) AND (X >= 1) is T ∧ T = T
  - (X > 100) OR (X >= 1) is F ∨ T = T
  - (X > 100) AND (X >= 1) is F ∧ T = F
  - NOT(X = 5) is ¬T = F

- Intuitively,
  - T = 1, F = 0
  - For V1, V2 ∈ {1, 0}
  - V1 ∧ V2 = MIN (V1, V2)
  - V1 ∨ V2 = MAX(V1, V2)
  - ¬(V1) = 1 – V1

2-valued logic does not work for nulls

- Suppose rating = null, X = 5
- Is rating>8 true or false?
- What about AND, OR and NOT connectives?
  - (rating > 8) AND (X = 5)?
- What if we have such a condition in the WHERE clause?

3-Valued Logic For Null

- TRUE (= 1), FALSE (= 0), UNKNOWN (= 0.5)
  - unknown is treated as 0.5
- Now you can apply rules from 2-valued logic!
  - For V1, V2 ∈ {1, 0, 0.5}
  - V1 ∧ V2 = MIN (V1, V2)
  - V1 ∨ V2 = MAX(V1, V2)
  - ¬(V1) = 1 – V1
- Therefore,
  - NOT UNKNOWN = UNKNOWN
  - UNKNOWN OR TRUE = TRUE
  - UNKNOWN AND TRUE = UNKNOWN
  - UNKNOWN AND FALSE = FALSE
  - UNKNOWN OR FALSE = UNKNOWN

New issues for Null

- The presence of null complicates many issues. E.g.:
  - Special operators needed to check if value IS/IS NOT NULL
  - Be careful!
  - "WHERE X = NULL" does not work!
  - Need to write "WHERE X IS NULL"
- Meaning of constructs must be defined carefully
  - e.g., WHERE clause eliminates rows that don't evaluate to true
  - So not only FALSE, but UNKNOWNs are eliminated too
  - very important to remember!
- Arithmetic with NULL
  - all of +, -, *, / return null if any argument is null
- Can force "no nulls" while creating a table
  - sname char(20) NOT NULL
  - primary key is always not null

Aggregates with NULL

sid	sname	rating	age
22	dustin	7	45
31	lubber	8	55
58	rusty	10	35

R1

- What do you get for
- SELECT count(*) from R1?
- SELECT count(rating) from R1?

Aggregates with NULL

sid	sname	rating	age
22	dustin	7	45
31	lubber	8	55
58	rusty	10	35

R1

- What do you get for
- SELECT count(*) from R1?
- SELECT count(rating) from R1?
- Ans: 3 for both

Aggregates with NULL

sid	sname	rating	age
22	dustin	7	45
31	lubber	8	55
58	rusty	10	35

R1

- What do you get for
- SELECT count(*) from R1?
- SELECT count(rating) from R1?
- Ans: 3 for both

sid	sname	rating	age
22	dustin	7	45
31	lubber	null	55
58	rusty	10	35

R2

- What do you get for
- SELECT count(*) from R2?
- SELECT count(rating) from R2?

Aggregates with NULL

sid	sname	rating	age
22	dustin	7	45
31	lubber	8	55
58	rusty	10	35

R1

- What do you get for
- SELECT count(*) from R1?
- SELECT count(rating) from R1?
- Ans: 3 for both

sid	sname	rating	age
22	dustin	7	45
31	lubber	null	55
58	rusty	10	35

R2

- What do you get for
- SELECT count(*) from R2?
- SELECT count(rating) from R2?
- Ans: First 3, then 2

Aggregates with NULL

- COUNT, SUM, AVG, MIN, MAX (with or without DISTINCT)
  - Discards null values first
  - Then applies the aggregate
  - Except count(*)
- If only applied to null values, the result is null

sid	sname	rating	age
22	dustin	7	45
31	lubber	null	55
58	rusty	10	35

R2

- SELECT sum(rating) from R2?
- Ans: 17

sid	sname	rating	age
22	dustin	null	45
31	lubber	null	55
58	rusty	null	35

R3

- SELECT sum(rating) from R3?
- Ans: null

Views

- A view is just a relation, but we store a definition, rather than a set of tuples

```
CREATE VIEW YoungActiveStudents (name, grade)
    AS SELECT S.name, E.grade
    FROM Students S, Enrolled E
    WHERE S.sid = E.sid and S.age<21
```

- Views can be dropped using the DROP VIEW command

- Views and Security: Views can be used to present necessary information (or a summary), while hiding details in underlying relation(s)
  - the above view hides courses “cid” from E

- More on views later in the course

Can create a new table from a query on other tables too

SELECT… INTO…. FROM…. WHERE

```
SELECT S.name, E.grade
INTO YoungActiveStudents
 FROM  Students S, Enrolled E
WHERE  S.sid = E.sid and S.age<21
```

"WITH" clause – very useful!

- You will find "WITH" clause very useful!

```
WITH Temp1 AS
     (SELECT ..... ..),
     Temp2 AS
     (SELECT ..... ..)
SELECT X, Y
FROM TEMP1, TEMP2
WHERE....
```

- Can simplify complex nested queries

Overview: General Constraints

- Useful when more general ICs than keys are involved
- There are also ASSERTIONS to specify constraints that span across multiple tables
- There are TRIGGERS too : procedure that starts automatically if specified changes occur to the DBMS

```
CREATE TABLE Sailors
    ( sid INTEGER,
    sname CHAR(10),
    rating INTEGER,
    age REAL,
    PRIMARY KEY (sid),
    CHECK ( rating >= 1
        AND rating <= 10 )
```

```
CREATE TABLE Reserves
    ( sname CHAR(10),
    bid INTEGER,
    day DATE,
    PRIMARY KEY (bid,day),
    CONSTRAINT noInterlakeRes
    CHECK (`Interlake' <>
        ( SELECT B.bname
        FROM Boats B
        WHERE B.bid=bid)))
```

Only FYI, not covered in detail

Triggers

- Trigger: procedure that starts automatically if specified changes occur to the DBMS
- Three parts:
  - Event (activates the trigger)
  - Condition (tests whether the triggers should run)
  - Action (what happens if the trigger runs)

```
CREATE TRIGGER youngSailorUpdate
      AFTER INSERT ON SAILORS
REFERENCING NEW TABLE NewSailors
FOR EACH STATEMENT
      INSERT
        INTO YoungSailors(sid, name, age, rating)
        SELECT sid, name, age, rating
        FROM NewSailors N
        WHERE N.age <= 18
```

Summary: SQL

- SQL has a huge number of constructs and possibilities
  - You need to learn and practice it on your own
  - Given a problem, you should be able to write a SQL query and verify whether a given one is correct
- Pay attention to NULLs
- Can limit answers using “LIMIT” or “TOP” clauses
  - e.g. to output TOP 20 results according to an aggregate
  - also can sort using ASC or DESC keywords

Summary

- Relational Data
- SQL
  - Semantic
  - Join
  - Simple Aggregates
  - Nested Queries

- You will learn these further and run yourself on PostGres on Thursday in the in-class lab on SQL!