

ALG 5.1

Graph Algorithms using Depth First Search:

- (a) Graph Definitions
- (b) DFS of Graphs
- (c) Biconnected Components
- (d) DFS of Digraphs
- (e) Strongly Connected Components

Main Reading Selections:
CLR, Chapter 23

Auxillary Reading Selections:
AHU-Design, Sections 5.2-5.5
AHU-Data, Chapters 6 and 7
BB, Chapter 6

Graph Terminology

<i>graph</i>	$G = (V, E)$
<i>vertex set</i>	V
<i>edge set</i>	E pairs of vertices which are adjacent

G *directed* if edges ordered pairs (u, v)



G *undirected* if edges unordered pairs $\{u, v\}$



proper graph:

no *loops*



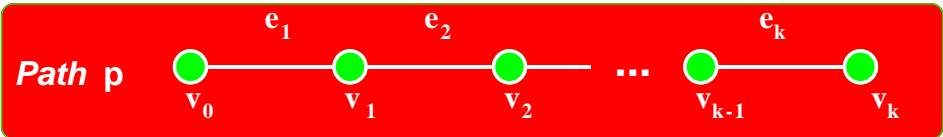
no *multi-edges*



Subgraph G' of G

$G' = (V', E')$ where

V' is a subset of V , E' is a subset of E



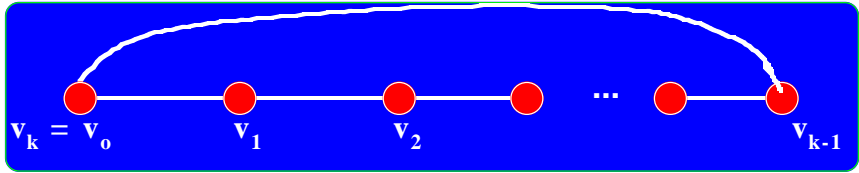
p is a sequence of vertices v_0, v_1, \dots, v_k
 where for $i=1, \dots, k$, v_{i-1} is adjacent to v_i

Equivalently,

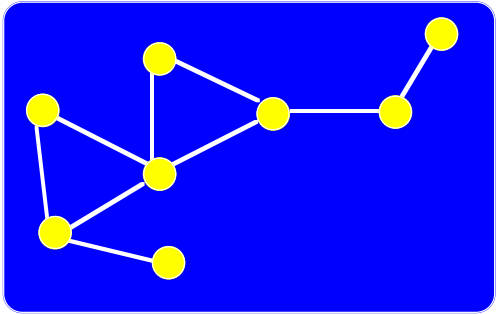
p is a sequence of edges e_1, \dots, e_k
 where for $i=2, \dots, k$ edges e_{i-1}, e_i share a vertex

simple path no edge or vertex repeated,
 except possibly $v_0 = v_k$

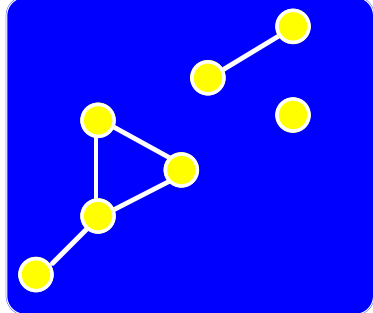
cycle is a path p with $v_0 = v_k$ where $k > 1$



Connectivity of Undirected Graphs



G is **connected** if \exists path between each pair of vertices



else G has ≥ 2 **connected components**:
 maximal connected subgraphs

G is *biconnected* if \exists two disjoint paths between each pair of vertices

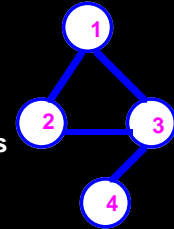


Graph Representation

graph $G = (V, E)$

$n = |V| = \#$ vertices

$m = |E| = \#$ edges



Adjacency Matrix A

A is size $n \times n$

$$A(i,j) = \begin{cases} 1 & (i,j) \in E \\ 0 & \text{else} \end{cases}$$

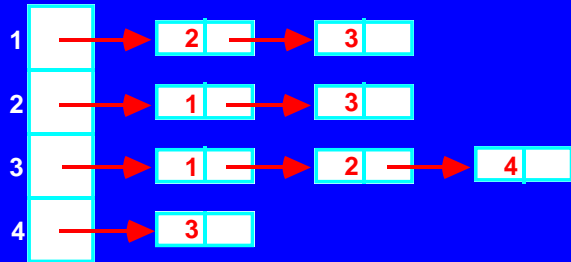
space cost $n^2 - n$

	1	2	3	4
1	0	1	1	0
2	1	0	1	0
3	1	1	0	1
4	0	0	1	0

Adjacency Lists

$Adj(1), \dots, Adj(n)$

$Adj(v)$ = list of vertices adjacent to v

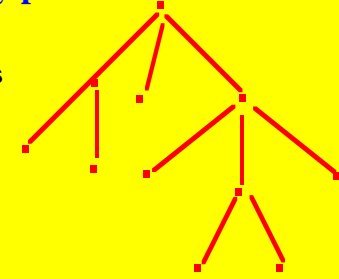


space cost $O(n+m)$

Tree

T is graph with unique path
between every pair of vertices

n = # vertices
 $n-1$ = # edges

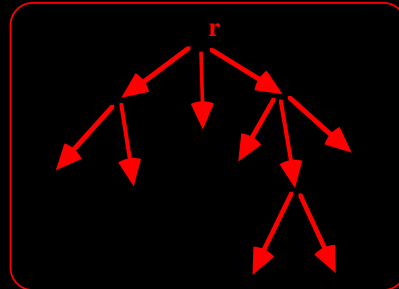


Directed Tree

T is digraph with distinguished vertex **root** r such that each vertex reachable from r by a unique path

Family Relationships:

- ancestors
- descendants
- parent
- child
- siblings



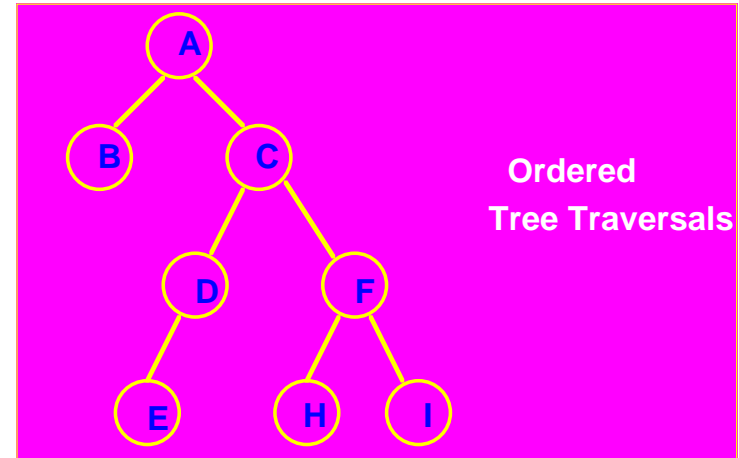
leaves have no proper descendants

Ordered Tree

is a directed tree with siblings ordered

Forest

set of Trees



Preorder A,B,C,D,E,F,H,I

- [1] root (order vertices as pushed on stack)
- [2] preorder left subtree
- [3] preorder right subtree

Postorder B,E,D,H,I,F,C,A

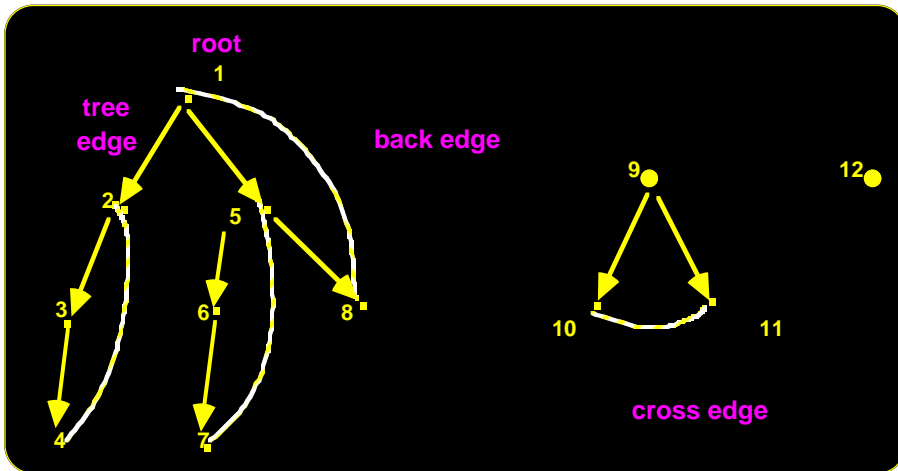
- [1] postorder left subtree
- [2] postorder right subtree
- [3] root (order vertices as popped off stack)

In order B,A,E,D,C,H,F,I

- [1] inorder left subtree
- [2] root
- [3] inorder right subtree

T is a *spanning tree* of graph G if

- (1) T is a directed tree with the same vertex set as G
- (2) each edge of T is a directed version of an edge of G



an edge (u,v) of $G-T$ is *backedge* if u is a descendant or ancestor of v .
else (u,v) is a *crossedge*

Spanning Forest:
forest of spanning trees
of connected components of G

**Tarjan's Algorithm
Depth First Search**

Input graph $G=(V,E)$ represented by adjacency lists $Adj(v)$ for each $v \in V$

[0] $N \leftarrow 0$

[1] **for** all $v \in V$ **do** (number $(v) \leftarrow 0$
children $(v) \leftarrow ()$) **od**

[2] **for** all $v \in V$ **do**
 if number $(v)=0$ **then** DFS(v)

[3] **output** spanning forest defined by children

recursive procedure **DFS**(v)

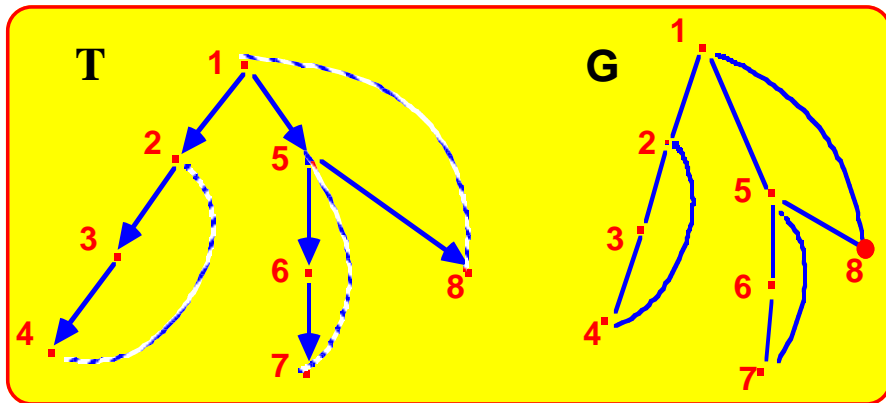
[1] $N \leftarrow N+1$; number $(v) \leftarrow N$

[2] **for** each $u \in Adj(v)$ **do**
 if number $(u) = 0$ **then**
 (add u to children (v) ; **DFS**(u))

input size $n = |V|, m = |E|$

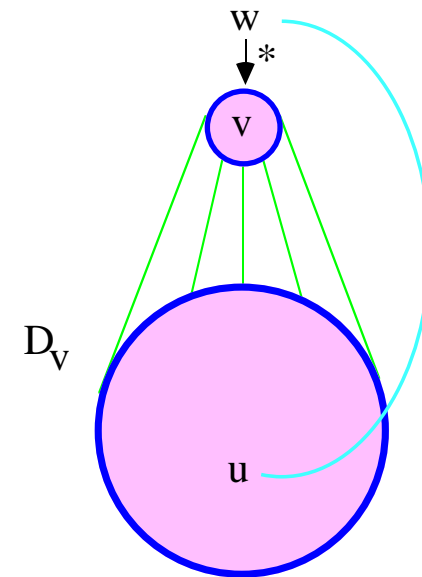
Theorem Depth First Search takes total time cost $O(n+m)$

proof
can associate with each edge and vertex a constant number of operations.



Sup. we **preorder** number a tree T
Let $D_v = \#$ of descendants of v

Lemma
 u is **descendant** of v
iff $v \leq u < v + D_v$



Lemma
If u is descendant of v
and (u, w) is back edge s.t. $w < v$
then w is a proper ancestor of v

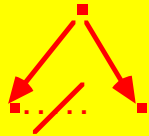
Depth First Search Tree T

$u \rightarrow v$ iff (u,v) is tree edge of T

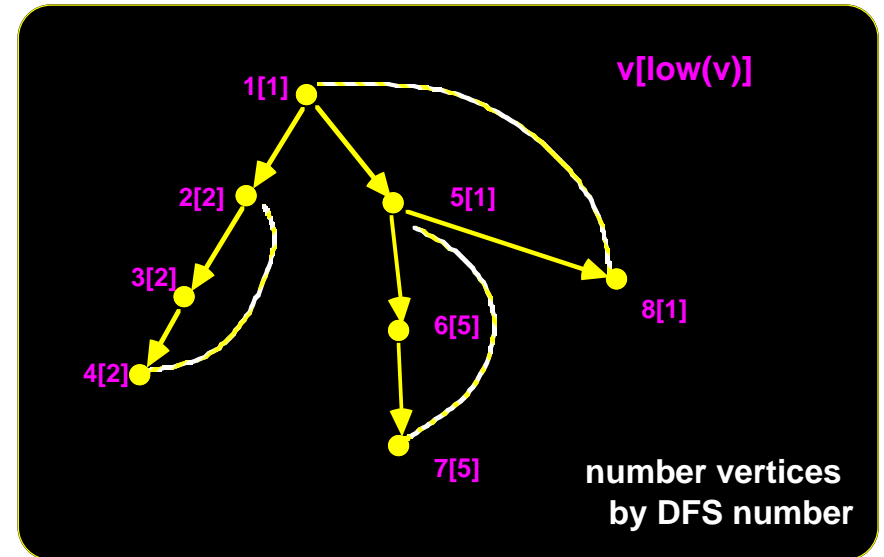
$u \xrightarrow{*} v$ iff u is an ancestor of v

$u \dashrightarrow v$ iff (u,v) is backedge if $(u,v) \in G-T$
with either $u \xrightarrow{*} v$ or $v \xrightarrow{*} u$

note DFS tree T has *no* cross edges



will number vertices by order
visited in DFS (preorder of T)



example

figure gives $v[\text{low}(v)]$

For each vertex v ,

define $\text{low}(v) = \min(\{v\} \cup \{w \mid v \xrightarrow{*} \dashrightarrow w\})$

can prove by induction:

Lemma $\text{low}(v) = \min(\{v\} \cup \{\text{low}(w) \mid v \rightarrow w\} \cup \{w \mid v \dashrightarrow w\})$

can easily be computed during DFS
in postorder.

G is *Biconnected* iff either

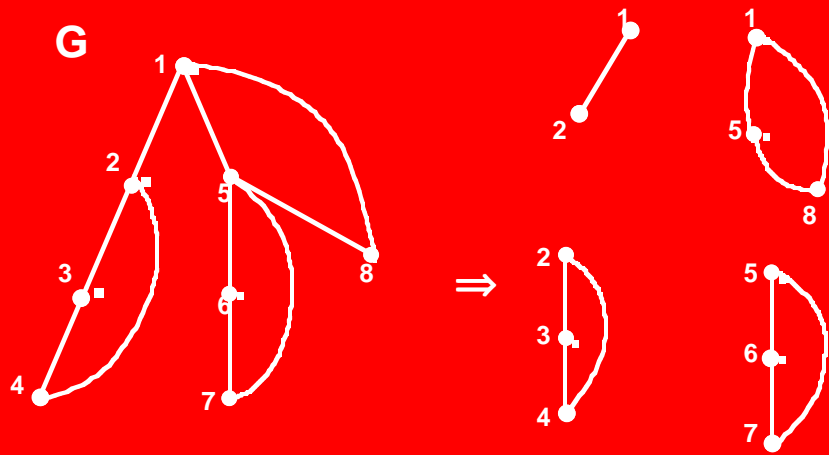
(1) G is a single edge, or

(2) for each triple of vertices u, v, w

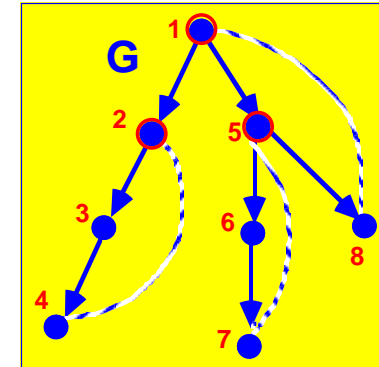
\exists w -avoiding path from u to v

(equivalently: \exists two disjoint paths from u to v)

Biconnected Components
maximal subgraphs
of G which are biconnected.



The intersection of two biconnected components consists of at most *one* vertex, called an *Articulation Point*.



Example 1, 2, 5 are articulation points

If can *find articulation points*
then can *compute biconnected components*:

Method during DFS, use auxiliary stack to store visited edges. Each time we complete the DFS of a tree child of an articulation point, pop all stacked edges currently in stack (these form a biconnected component) up to that tree edge.

Characterization

Theorem

a is an *articulation point* iff either

- (1) a is root with ≥ 2 tree children
or
- (2) a is not root but a has
a tree child v with $\text{low}(v) \geq a$

(*note* easy to check given low computed)

proof

The conditions are *sufficient* since any a -avoiding path from v remains in the subtree T_v rooted at v , if v is a child of a

To show condition *necessary*, assume a is an articulation point.

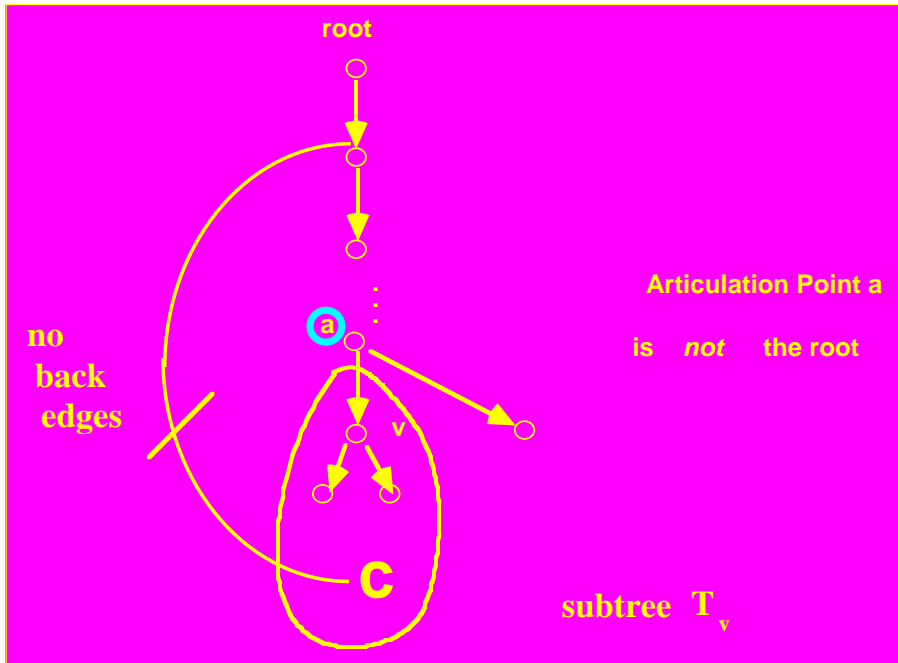
Case(1)

If a is a root and is articulation point, a must have ≥ 2 tree edges to two distinct biconnected components.

Case(2)

If a is not root, consider graph $G - \{a\}$ which must have a connected component C consisting of only descendants of a , and with no backedge from C to an ancestor of v . Hence a has a tree child v in C and $\text{low}(v) \geq a$

Case (2)

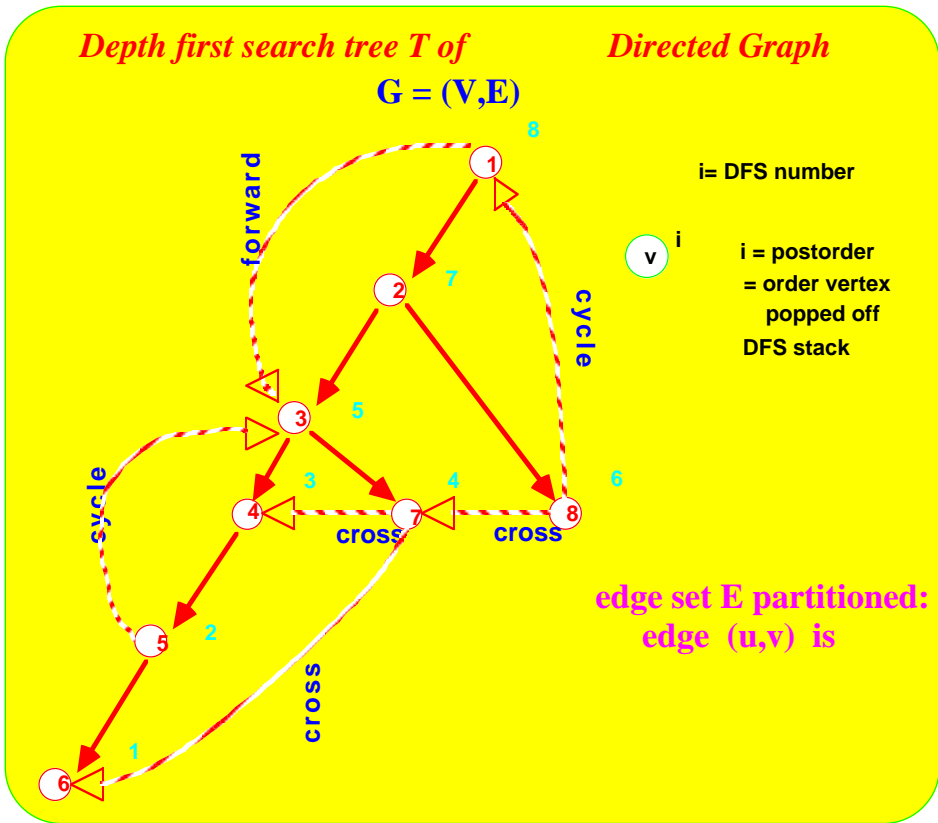


Theorem
The Biconnected Components of $G = (V,E)$ can be computed in time $O(|V|+|E|)$ using a RAM

proof Summary of Algorithm:

- [0] initialize a STACK to *empty*
- During* a DFS traversal *do*
- [1] add visited edge to STACK
- [2] compute low of visited vertex v using Lemma
- [3] test if v is an articulation point
- [4] if so, *for* each $u \in \text{children}(v)$ in order where $\text{low}(u) \geq v$
do pop all edges in STACK upto and including tree edge (v,u)
output these edges as a biconnected component of G
od

Time Bounds:
Each edge and vertex can be associated with $O(1)$ operations. So time $O(|V|+|E|)$.



$\left\{ \begin{array}{l} \text{tree edge} \quad \text{if } u \rightarrow v \text{ in } T \\ \text{cycle edge} \quad \text{if } v \xrightarrow{*} u \\ \text{forward edge} \quad \text{if } (u, v) \notin T \\ \quad \quad \quad \text{but } u \xrightarrow{*} v \text{ in } T \\ \text{cross edge} \quad \text{otherwise} \end{array} \right.$

Digraph $G = (V, E)$ is *acylic* if it has no cycles

Topological Order

$V = \{v_1, \dots, v_n\}$ satisfies
 $(v_i, v_j) \in E \Rightarrow i < j$

Lemma
 G is acyclic iff \exists no cycle edge

proof

Suppose $(u,v) \in E$ is a cycle edge, so $v \xrightarrow{*} u$. But let e_1, \dots, e_k be the tree edges from v to u . Then $(u,v), e_1, \dots, e_k$ is a cycle.

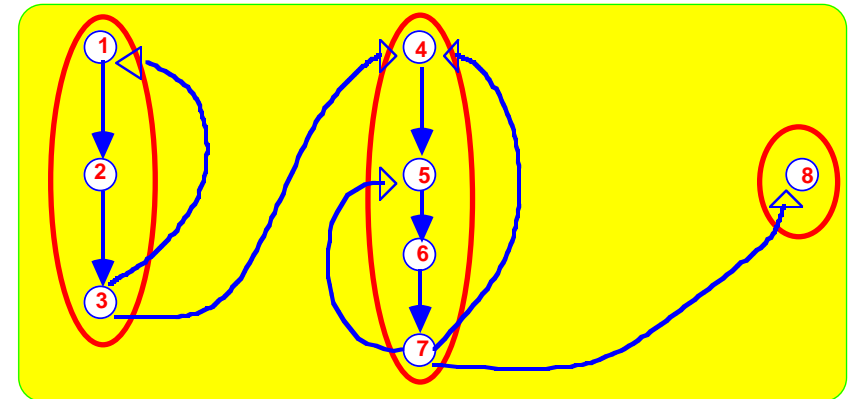
Next suppose there is no cycle edge.

Then order vertices in postorder of DFS spanning forest (i.e., in order vertices are popped off DFS stack).

This is *reverse topological order* of G .
So G can have no cycles.

Note: Gives an $O(|V|+|E|)$ algorithm for computing Topological Ordering of an acyclic graph $G = (V,E)$, (Knuth).

Directed Graph $G = (V,E)$



Strong Component

maximum set vertices S of V such that $\forall u,v \in S$
 \exists cycle containing u,v

Collapsed Graph

G^* derived by collapsing each strong component into a single vertex.

note G^* is acyclic.

(due to Kosaraju)

Algorithm
Strong Components

Input digraph G

[1] Perform DFS on G . Renumber vertices by postorder.

[2] Let G^{-} by digraph derived from G by reversing direction of each edge.

[3] Perform DFS on G^{-} , starting at highest numbered vertex.

Output resulting DFS tree of G^{-} as a strongly connected component of G .

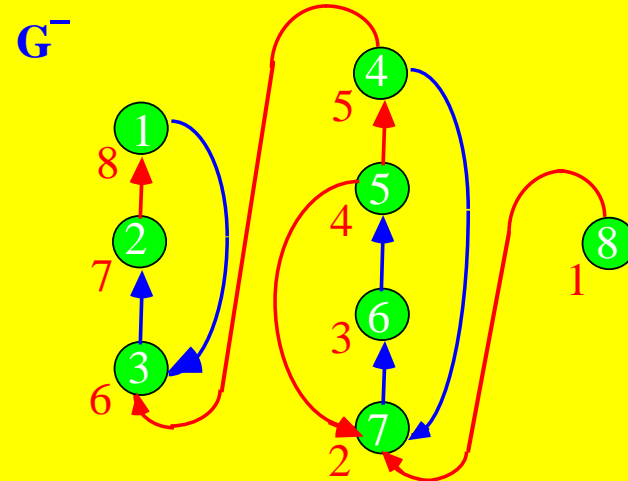
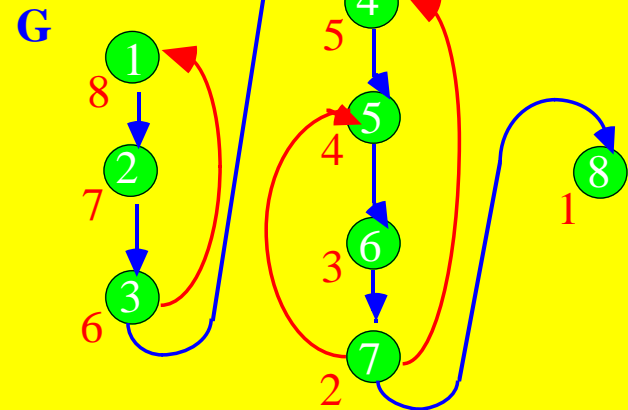
[4] repeat [3], starting at highest numbered vertex not so for visited (halt when all vertices visited)

Time Bounds

each DFS costs time $O(|V|+|E|)$

\Rightarrow total time $O(|V|+|E|)$.

Example



Theorem

The Algorithm outputs the strong components of G .

proof

We must show these are exactly the vertices in each DFS spanning forest of G^{-} .

Suppose

v, w in the same strong component and DFS search in G^{-} starts at vertex r and reaches v . Then w will also be reached. So v, w are output together in same spanning tree of G^{-} .

Suppose

v, w output in same spanning tree of G^{-} . Let r be the root of that spanning tree. Then \exists paths in G^{-} from r to each of v and w . So there exists paths in G to r from each of v and w . Suppose no path in G to r from v . Then since r has a higher postorder than v , there is no path in G from v to r , a contradiction. Hence \exists path in G from r to v , and similar argument gives path from r to w . Hence, v and w are in a cycle of G , so must be in the same strong component.