| PRINCETON UNIVERSITY | COS 522: COMPUTATIONAL COMPLEXITY |
| ---: | ---: |
| Lecture 23: Quantum Computation |  |
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This lecture concerns quantum computation, an area that has become very popular recently because it promises to solve certain difficult problems -factoring and discrete logarithm- in polynomial time.

## 1 Quantum physics

Quantum phenomena are counterintuitive. To see this, consider the basic experiment of quantum mechanice that proves the wave nature of electrons: the 2 -slit experiment. (See Figure 1.) A source fires electrons one by one at a wall. The wall contains two tiny slits. On the far side are small detectors that light up whenever an electron hits them. We measure the number of times each detector lights up during the hour. The results are as follows. When we cover one of the slits, we observe the strongest flux of electrons right behind the open slit, as one would expect. However, when both slits are open, we will see the "interference" phenomenon of electrons coming through two slits. In particular, at several detectors the total electron flux is lower when both slit are open as compared to when a single slit is open. This defies explanation if electrons behave like little balls, as implied in some high school textbooks. A ball could either go through slit 1 or slit 2, and hence the flux when both slits are open should be a simple sum of the fluxes when one of them is open.

## 2-slit experiment



## 2-slit experiment




The only explanation physics has for this experiment is that an electron does not behave as a ball. It should be thought of as simultaneously going through both slits at once, kind of like a wave. It has an amplitude for going through each slit, and this amplitude is a complex number (in particular, it can be a negative number). The chance of an electron appearing at a particular point $p$ on the other side of the wall is related to
amplitude of reaching $p$ via slit $1+$ amplitude of reaching $p$ via slit 2 .
Thus the points where the electron flux decreases when we open both slits are those where the two amplitudes have opposite sign.

## 2-slit experiment


"Nonsense!" you might say. "I need proof that the electron actually went through both slits." So you propose the following modification to the experiment. Position two detectors at the slits; these light up whenever an electron passed through the slit. Now you can test the hypothesis that the electron went through both slits simultaneously.

Unfortunately, when you put such detectors at the slits, the interference phenomenon disappears on the other side of the wall! The explanation is roughly as follows: the quantum nature of particles disappers when they are under observation. More specifically, a quantum system has to evolve according to certain laws, and "nonreversible" operations -such as observation from nosy humans and their detectors - are not allowed. If these nonreversible operations happen, the quantum state collapses. (One moral to draw from this is that quantum computers, if they are ever built, will have to be carefully isolated from external influences and noise, since noise tends to be an irreversible operation. Of course, we can never completely isolate the system, which means we have to make quantum computation tolerant of a little noise. This is a topic of ongoing research.)

## Quantum Superpositions with n Qubits

## 2 Quantum superpositions

Now we describe a quantum register, a basic component of the quantum computer. Recall the classical register, the building block of the memory in your desktop computer. An $n$-bit classical register with $n$ bits consists of $n$ particles. Each of them can be in 2 states: up and down, or 0 and 1 . Thus there are $2^{n}$ possible configurations, and at any time the register is in one of these configurations.

The $n$-bit quantum register is similar, except at any time it can exist in a superposition of all $2^{n}$ configurations. (Drawing inspiration from phenomena such as the 2 -slit experiment, researchers have successfully implemented quantum registers using subatomic particles.) Each configuration $S \in\{0,1\}^{n}$ has an associated amplitude $\alpha_{S} \in \mathbf{C}$ where $\mathbf{C}$ is the set of complex numbers.

$$
\alpha_{S}=\text { amplitude of being in configuration } S
$$

Physicists like to denote this system state succinctly as $\sum_{S} \alpha_{S}|S\rangle$. This is their notation for describing a general vector in the vector space $\mathbf{C}^{2^{n}}$, expressing the vector as a linear combination of basis vectors. The basis contains a vector $|S\rangle$ for each configuration $S$. The choice of the basis used to represent the configurations is immaterial so long as we fix a basis once and for all.

# Quantum Transitions as Unitary Matrix Multiplication 

## Given Matrix U

- U* is the complex transpose of $U$
- $\mathbf{U}$ is Unitary if $\mathrm{UU}^{*}=$ Identity Matrix I

A unitary matrix define a rotatation of the complex $n$-space.

At every step, actions of the quantum computer - physically, this may involve shining light of the appropriate frequency on the quantum register, etc.- update $\alpha_{S}$ according to some physics laws. Each computation step is essentially a linear transformation of the system state. Let $\vec{\alpha}$ denote the current configuration (i.e., the system is in state $\left.\sum_{S} \alpha_{S}|S\rangle\right)$ and $U$ be the linear operator. Then the next system state is $\vec{\beta}=U \vec{\alpha}$. Physics laws require $U$ to be unitary, which means $U U^{*}=I$. (Here $U^{*}$ is the matrix obtained by transposing $U$ and taking the complex conjugate of each entry.) Note an interesting consequence of this fact: the effect of applying $U$ can be reversed by applying the operator $U^{*}$ : thus quantum systems are reversible. This imposes strict conditions on which kinds of computations are permissible and which are not.

## Quantum Transitions as Unitary Matrix Multiplication

## 4 Quantum gates

A 1-input quantum gate (Figure 2) is represented by a unitary $2 \times 2$ matrix $U=\binom{U_{00} U_{01}}{U_{10} U_{11}}$. When its input bit is 0 the output is the superposition $U_{00}|0\rangle+U_{01}|1\rangle$ and when the input is 1 the output is the superposition $U_{10}|0\rangle+U_{11}|1\rangle$. When the input bit is in the superposition $\alpha_{0}|0\rangle+\beta_{0}|1\rangle$ the output bit is a superposition of the corresponding outputs

$$
\begin{equation*}
\left(\alpha_{0} U_{00}+\beta_{0} U_{10}\right)|0\rangle+\left(\alpha_{0} U_{01}+\beta_{0} U_{11}\right)|1\rangle . \tag{4}
\end{equation*}
$$

More succinctly, if the input state vector is $\left(\alpha_{0}, \beta_{0}\right)$ then the output state vector is

$$
\binom{\alpha}{\beta}=U\binom{\alpha_{0}}{\beta_{0}}
$$

If $|\alpha|^{2}+|\beta|^{2}=1$ then unitarity of $U$ implies that $\left|\alpha^{\prime}\right|^{2}+\left|\beta^{\prime}\right|^{2}=1$.
Similarly, a 2 -input quantum gate is represented by a unitary $4 \times 4$ matrix $R$. When the input is the superposition $\alpha_{00}|00\rangle+\alpha_{01}|01\rangle+\alpha_{10}|10\rangle+\alpha_{11}|11\rangle$, the output is $\beta_{00}|00\rangle+$ $\beta_{01}|01\rangle+\beta_{10}|10\rangle+\beta_{11}|11\rangle$ where

$$
\left(\begin{array}{l}
\beta_{00} \\
\beta_{01} \\
\beta_{10} \\
\beta_{11}
\end{array}\right)=R\left(\begin{array}{l}
\alpha_{00} \\
\alpha_{01} \\
\alpha_{10} \\
\alpha_{11}
\end{array}\right)
$$

In general, a quantum gate with $k$ inputs is specified by a unitary $2^{k} \times 2^{k}$ matrix.

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## Example Quantum Gate: Hadamard Gate <br> (also called Controlled-Not Gate)

A Hadomard gate is a one-qbit gate with matrix $H=\frac{1}{\sqrt{2}}\left(\begin{array}{cc}1 & 1 \\ 1 & -1\end{array}\right)$.
This gate causes $|0\rangle \rightarrow \frac{1}{\sqrt{2}}|0\rangle+\frac{1}{\sqrt{2}}|1\rangle$, and $|1\rangle \rightarrow \frac{1}{\sqrt{2}}|0\rangle-\frac{1}{\sqrt{2}}|1\rangle$.

## Quantum Observation as a Mathematical Projection:

As already mentioned, during the computation steps, the quantum register is isolated from the outside world. Suppose we open the system at some time and observe the state of the register. If the register was in state $\sum_{S} \alpha_{S}|S\rangle$ at that moment, then

$$
\begin{equation*}
\operatorname{Pr}[\text { we see configuration } S]=\left|\alpha_{S}\right|^{2} \tag{1}
\end{equation*}
$$

In particular, we have $\sum_{S}\left|\alpha_{S}\right|^{2}=1$ at all times. Note that observation is an irreversible operator. We get to see one configuration according to the probability distribution described in (1) and and the rest of the configurations are lost forever.

What if we only observe a few bits of the register -a so-called partial observation? Then the remaining bits still stay in quantum superposition. We show this by an example.

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Example 1 Suppose an $n$-bit quantum register is in the state

$$
\begin{equation*}
\sum_{s \in\{0,1\}^{n-1}} \alpha_{s}|0\rangle|s\rangle+\beta_{s}|1\rangle|s\rangle \tag{2}
\end{equation*}
$$

(sometimes this is also represented as $\sum_{s \in\{0,1\}^{n-1}}\left(\alpha_{s}|0\rangle+\beta_{s}|1\rangle\right)|s\rangle$, and we will use both representations). Now suppose we observe just the first bit of the register and find it to be 0 . Then the new state is

$$
\begin{equation*}
\frac{1}{\sqrt{\left|\alpha_{s}\right|^{2}}} \sum_{s \in\{0,1\}^{n-1}} \alpha_{s}|0\rangle|s\rangle \tag{3}
\end{equation*}
$$

where the first term is a rescaling term that ensures that probabilities in future observations sum to 1 .

## Quantum Circuits:

A quantum circuit on $n$ inputs consists of (a) an $n$-bit quantum register (b) a sequence of gates $\left(g_{j}\right)_{j=1,2, \ldots .}$. If $g_{j}$ is a $k$-input gate, then the circuit specification has to also give a sequence of bit positions $(j, 1),(j, 2), \ldots,(j, k) \in[1, n]$ in the quantum register to which this gate is applied. The circuit computes by applying these gate operations to the quantum register one by one in the specified order. The register holds the state of the computation, and only one gate is applied at any given time.

Example 3 Suppose we have an $n$-bit quantum register in the state $\sum_{S \in 0,1^{n}} \alpha_{S}|S\rangle$. If we apply a 1 -input quantum gate $U$ to the first wire, the new system state is computed as follows. First "factor" the initial state by expressing each $n$-bit configuration as a concatenation of the first bit with the remaining $n-1$ bits:

$$
\begin{equation*}
\sum_{S^{\prime} \in\{0,1\}^{n-1}} \alpha_{0, s^{\prime}}\left|0 S^{\prime}\right\rangle+\alpha_{1, s^{\prime}}\left|1 S^{\prime}\right\rangle . \tag{5}
\end{equation*}
$$

(Formally we could express everything we are doing in terms of tensor product of vector spaces but we will not do that.)

To obtain the final state apply $U$ on the first bit in each configuration as explained in equation (4). This yields

$$
\left.\sum_{S^{\prime} \in\{0,1\}^{n-1}}\left(\alpha_{0, S^{\prime}} U_{00}+\alpha_{1, S^{\prime}} U_{10}\right)\left|0 S^{\prime}\right\rangle+\left(\alpha_{0, S^{\prime}} U_{01}+\alpha_{1, S^{\prime}} U_{11}\right)| | S^{\prime}\right\rangle
$$

We can similarly analyze the effect of applying a $k$-input quantum gate on any given set of $k$ bits of the quantum register, by first "factoring" the state vector as above.

## 3 Classical computation using reversible gates

Motivated by the 2nd Law of Thermodynamics, researchers have tried to design computers that expend -at least in principle - zero energy. They have invented reversible computation, which, using reversible gates, can implement all classical computations. We will study reversible classical gates as a stepping stone to quantum gates; in fact, they are simple examples of quantum gates.

## 3 Classical computation using reversible gates

The Fredkin gate is a popular reversible gate. It has 3 Boolean inputs and on input $(a, b, c)$ it outputs $(a, b, c)$ if $a=1$ and $(a, c, b)$ if $a=0$. It is reversible, in the sense that $F(F(a, b, c))=(a, b, c)$. Simple induction shows that if a circuit is made out of Fredkin gates alone and has $m$ inputs then it must have $m$ outputs as well. Furthermore, we can recover the inputs from the outputs by just applying the circuit in reverse. Hence a Fredkin gate circuit is reversible.

## Implementing NOT and COPY with Fredkin Gate



Implementing AND


Implementing NOT (and copy)

The Fredkin gate is unnerersal, meaning that erery circuit of size S that usses the familiar AND, OR, NOT gates (maximum fanim 2) has an equirident Fredkin gate circuit of size $O(S)$. We prove this by showing that we can implement AND, OR, and NOT using a Fredkin gate some of whose inputs have been fxed 0 or 1 (these are "control inputs"); see Figure 2 for AND and Figure 3 for NOT; we leave OR as exercise. We also need to show how to copy a value with Fredkin gates, since in a normal circuit, gates can have fanout more than 1. To implement a COPY gate using Fredkin gates is easy and is the same as for the the NOT gate (see Figure 3).

Converting a normal circuit $\mathbf{c}$ into an equivalent circuit $\mathbf{c}^{\prime}$ of Fredkin gates. Note that we need additional control inputs


Fredkin version of c


## 3 Classical computation using reversible gates

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A Fredkin gate is also a valid 3 -input quantum gate. We represent it by an $8 \times 8$ matrix that gives its output on all $2^{3}$ possible inputs. This matrix is a permutation matrix (i.e., obtainable from the identity matrix by applying a permutation on all the rows) since the output $F(a, b, c)$ is just a permutation of the input $(a, b, c)$.
to verify that this permutation matrix is unitary.

## Theorem 2

$\mathbf{B P P} \subseteq \mathbf{B Q P}$
Proof: Every language in BPP has a uniform circuit family $\left(C_{n}\right)$ of polynomial size, where circuit $C_{n}$ has $n$ normal input bits and an additional $n^{k}$ input wires that have to be initialized with random bits.

We transform the circuit into a reversible circuit $C_{n}^{\prime}$ using Fredkin gates. To produce "random" bits, we feed $O\left(n^{c}\right)$ zeros into an array of Hadamard gates and plug their outputs into $C_{n}^{\prime}$; see Figure 5.


Figure 5: $C_{n}^{\prime}$ is a Fredkin circuits by replacing each normal gate of $C_{n}$ with a respective quantum gate. An array of Hadamard gates produce random bits.

To see that this works, imagine fixing the first $n$ bits to a string $x$ in both circuits.
A simple induction shows that if we start with an $N$-bit quantum register in the state $|\overrightarrow{0}\rangle$ and apply the Hadamard gate one by one on all the bits, then we obtain the superposition

$$
\begin{equation*}
\sum_{S \in 0,1^{N}} \frac{1}{2^{N / 2}}|S\rangle \tag{7}
\end{equation*}
$$

Thus the "random" inputs of circuit $C_{n}^{\prime}$ get a uniform quantum superposition of all possible bit strings. Thus the output bit of $C_{n}^{\prime}$ is a uniform superposition of the result on each bit string and observing it at the end gives the probability that $C_{n}$ accepts when fed a random input.

## Example Quantum Gate: Hadamard Gate

A Hadomard gate is a one-qbit gate with matrix $H=\frac{1}{\sqrt{2}}\left(\begin{array}{cc}1 & 1 \\ 1 & -1\end{array}\right)$

$$
\text { This gate causes }|0\rangle \rightarrow \frac{1}{\sqrt{2}}|0\rangle+\frac{1}{\sqrt{2}}|1\rangle, \text { and }|1\rangle \rightarrow \frac{1}{\sqrt{2}}|0\rangle-\frac{1}{\sqrt{2}}|1\rangle
$$

## Factoring integers using a quantum computer

## 6 Factoring integers using a quantum computer

This section proves the following famous result.
Theorem 3 (Shor 1994)
There is a polynomial size quantum circuit that factors integers.
We describe Kitaev's proof of this result since it uses eigenvalues, a more familiar and intuitive concept than the Fourier transforms used in Shor's algorithm.

Definition 2 (Eigenvalue) $\lambda$ is an eigenvalue of matrix $M$ if there is a vector e (called the eigenvector), s.t.:

$$
M \cdot e=\lambda e
$$

Fact: If $M$ is unitary, then $|\lambda|=1$. In other words there is a $\theta \in[0,1)$ such that

$$
\lambda=e^{2 \pi i \theta}=\cos (2 \pi \theta)+i \sin (2 \pi \theta) .
$$

Fact: If $M \cdot e=\lambda e$ then $M^{k} \cdot e=\lambda^{k} e$. Hence $e$ is still an eigenvector of $M^{k}$ and $\lambda^{k}$ is the corresponding eigenvalue.

## Factoring integers using a quantum computer

Theorem 3 (Shor 1994)
There is a polynomial size quantum circuit that factors integers.

## Kitaev's Proof

Proof: Let $N$ be the number to be factored. As usual, $Z_{N}^{*}$ is the set of numbers mod $N$ that are co-prime to $N$. Simple number theory shows that for every $a \in Z_{N}^{*}$ there is a smallest integer $r$ such that $a^{r} \equiv 1(\bmod N)$; this $r$ is called the order of $a$. The algorithm uses the well-known fact that if we can compute the order of random elements of $Z_{N}^{*}$ then we can factor $N$ with high probability. The reason is that if $\left(a^{r}-1\right) \equiv 0$ $(\bmod N)$, then $\left(a^{\frac{r}{2}}-1\right)\left(a^{\frac{r}{2}}+1\right) \equiv 0(\bmod N)$. If $a$ is random, with probability $\geq \frac{1}{2}, a^{\frac{r}{2}} \neq 1$ $(\bmod N), a^{\frac{r}{2}} \neq-1(\bmod N)$ (this is a simple exercise using the Chinese remainder theorm) and hence $\operatorname{gcd}\left(N, a^{\frac{r}{2}}-1\right) \neq N, 1$. Thus, knowing $r$ we can compute $a^{r / 2}$ and compute $\operatorname{gcd}\left(N, a^{\frac{r}{2}}-1\right)$. With probability at least $1 / 2$ (over the choice of $\left.a\right)$ this method yields a factor of $N$.

## Sketch of Kitaev's quantum circuit for factoring integers

The factoring algorithm is a mixture of a classical and a quantum algorithm. Using classical random bits it generates a random $a \in Z_{N}^{*}$ and then constructs a quantum circuit. Observing the output of this quantum circuit a few times followed by some more classical computation allows it to obtain $r$, the order of $a$, with reasonable probability. (Of course, we could in principle describe the entire algorithm as a quantum algorithm instead of as a mixture of a classical and a quantum algorithm, but our description isolates exactly where quantum mechanics is crucial.)

## Factoring integers using a quantum computer

## Theorem 3 (Shor 1994)

There is a polynomial size quantum circuit that factors integers.

## Kitaev's Proof, Continued

Consider a classical reversible circuit that acts on numbers in $Z_{N}^{*}$, and is described by $U(x)=a x(\bmod N)$. Then we can view this circuit as a quantum circuit operating on a quantum register. If the quantum register is in the superposition ${ }^{1}$

$$
\sum_{x \in Z_{N}^{*}} \alpha_{x}|x\rangle
$$

then applying $U$ gives the superposition

$$
\sum_{x \in Z_{N}^{*}} \alpha_{x}|a x(\bmod N)\rangle
$$

Interpret this quantum circuit as an $N \times N$ matrix -also denoted $U$-and consider its eigenvalues. Since $U^{r}=I$, we can easily check that each eigenvalue has the form $e^{2 \pi i \theta}$ where $\theta=\frac{j}{r}$ for some $j \leq r$. The algorithm will try to obtain a random eigenvalue. It thus obtains -in binary expansion - a number of form $\frac{j}{r}$ where $j$ is random. It turns out that the chance is pretty good that this $j$ is coprime to $r$, which means that $\frac{j}{r}$ is an irreducible fraction. Even knowing only the first $2 \log N$ bits in the binary expansion of $\frac{j}{r}$, the algorithm can round off to the nearest fraction whose denominator is at most $N$ (this can be done; easy number theory) and then it reads off $r$ from the denominator.

## Factoring integers using a quantum computer

Theorem 3 (Shor 1994)
There is a polynomial size quantum circuit that factors integers.

## Kitaev's Proof, Continued

Now we describe how to compute the first $2 \log N$ bits of a random eigenvalue of $U$. Assume for now that the algorithm has a quantum register whose state is a superposition, denoted $\vec{e}$, corresponding to a random eigenvector of $U$. (See below for details on how to put a register in such a state.) Then applying $U$ gives the final state $\lambda \vec{e}$, where $\lambda$ is the eigenvalue associated with $\vec{e}$. Thus the register's state has undergone a phase shift -i.e., multiplication by a scalar - although there is yet no direct way to measure $\lambda$.

# Factoring integers using a quantum computer Theorem 3 (Shor 1994) 

There is a polynomial size quantum circuit that factors integers.

## Kitaev's Proof, Continued



Figure 7: Basic block: Conditional-U gate and two Hadamard gates.
Using cond- $U$ circuit and two Hadamard gates, we can build a quantum circuit shown in Figure 7. When this is applied to a quantum register whose first bit is 0 and the remaining bits are in a state $\vec{e}$, then we can measure the corresponding eigenvalue $\lambda$ by repeated measurement of the first output bit.

$$
\begin{array}{r}
|0\rangle|e\rangle \xrightarrow{H_{1}} \frac{1}{\sqrt{2}}|0\rangle|e\rangle+\frac{1}{\sqrt{2}}|1\rangle|e\rangle \\
\stackrel{\text { cond-U }}{\longrightarrow} \frac{1}{\sqrt{2}}|0\rangle|e\rangle+\frac{\lambda}{\sqrt{2}}|1\rangle|e\rangle \\
\xrightarrow{H_{2}} \frac{1}{2}((1+\lambda)|0\rangle|e\rangle+(1-\lambda)|1\rangle|e\rangle) \tag{8}
\end{array}
$$

Thus the probability of measuring a 0 in the first bit is proportional to $|1+\lambda|$. We will refer to this bit as the phase bit, since repeatedly measuring it allows us to compute better and better estimates to $\lambda$. Actually, instead of repeated measuring we can just design a quantum circuit to do the repetitions by noticing that the output is just a scalar multiple of $\vec{e}$ again, so we can just feed it into another basic block with a fresh phase bit, and so on (see Figure 8). We measure phase bits all at once at the end.

## Factoring integers using a quantum computer

## Theorem 3 (Shor 1994)

## There is a polynomial size quantum circuit that factors integers.

## Kitaev's Proof, Continued

Are we done? Unfortunately, no. Obtaining an estimate to the first $m$ bits of $\lambda$ would involve a circuit with $2^{m}$ phase bits (this is a simple exercise about probabilistic estimation), and when $m=2 \log N$, this number is about $N$, whereas we are hoping for a circuit size of poly $(\log N)$. Thus simple repetition is a very inefficient way to obtain accurate information about $\lambda$.

A more efficient technique involves the observation that $U$ has a special property: powers of $U$ also have small circuits. Specifically, $U^{2^{k}}(x)=a^{2^{k}} x(\bmod N)$, and $a^{2^{k}}$ is computable by circuits of size poly $(\log N+\log k)$ using fast exponentiation.

Thus we can implement a conditional- $U^{2^{k}}$ gate using a quantum circuit of size poly $(\log N+$ $k$ ). The eigenvalues of $U^{2^{k}}$ are $\lambda^{2^{k}}$. If $\lambda=e^{2 \pi i \theta}$ where $\theta \in[0,1)$ (see Figure 9) then $\lambda^{2^{k}}=e^{2 \pi i \theta 2^{k}}$. Of course, $e^{2 \pi i \theta 2^{k}}$ is the same complex number as $e^{2 \pi i \alpha}$ where $\alpha=2^{k} \theta$ $(\bmod 1)$. Thus measuring $\lambda^{2^{k}}$ gives us $2^{k} \theta(\bmod 1)$ and in particular the most significant bit of $2^{k} \theta(\bmod 1)$ is nothing but the $k$ th bit of $\theta$. Using $k=0,1,2, \ldots 2 \log N$ we can obtain the first $2 \log N$ bits of $\theta$.


Figure 8: Repeating the basic experiment to get better estimate of $\lambda$.
As in Figure 8, we can bundle these steps into a single cascading circuit where the output of the conditional- $U^{2^{k-1}}$ circuit feeds into the conditional- $U^{2^{k}}$ circuit. Each circuit has its own set of $O(\log N)$ phase bits; measuring the phase bits of the $k$ th circuit gives an estimate of the $k$ th bit of $\theta$ that is correct with probability at least $1-1 / N$. All phase bits are measured in a single stroke at the end.

To finish, we show how to put a quantum register into a state corresponding to an eigenvector.

## Factoring integers using a quantum computer <br> Theorem 3 (Shor 1994) <br> There is a polynomial size quantum circuit that factors integerss.

## Kitaev's Proof, Continued

### 6.1 Uniform superpositions of eigenvectors of $U$

Actually, we show how to put the quantum register into a uniform superposition of eigenvectors of $U$. This suffices for our cascading circuit, as we will argue shortly.

First we need to understand what the eigenvectors look like. Recall that $\left\{1, a, a^{2}, \ldots, a^{r-1}\right\}$ is a subgroup of $Z_{N}^{*}$. Let $B$ be a set of representatives of all cosets of this subgroup. In other words, for each $x \in Z_{N}^{*}$ there is a unique $b \in B$ and $l \in\{0,1, \ldots, r-1\}$ such that $x=b a^{l}(\bmod N)$. Then the following is the complete set of eigenvectors, where $\omega=e^{\frac{2 \pi i}{r}}$ :

$$
\begin{equation*}
\forall j \in\{0,1, \ldots, r-1\}, \forall b \in B \quad \vec{e}_{j, b}=\sum_{l=0}^{r-1} \omega^{j l}\left|b a^{l}(\bmod N)\right\rangle \tag{9}
\end{equation*}
$$

The eigenvalue associated with this eigenvector is $\omega^{-j}=e^{-\frac{2 \pi i j}{r}}$.
Fix $b$ and consider the uniform superposition:

$$
\begin{align*}
\frac{1}{r} \sum_{j=0}^{r-1} \vec{e}_{j, b} & =\frac{1}{r} \sum_{j=0}^{r-1} \sum_{l=0}^{r-1} \omega^{j l}\left|b a^{l}(\bmod N)\right\rangle  \tag{10}\\
& =\frac{1}{r} \sum_{l=0}^{r-1} \sum_{j=0}^{r-1} \omega^{j l}\left|b a^{l}(\bmod N)\right\rangle \tag{11}
\end{align*}
$$

Separating out the terms for $l=0$ and using the formula for sums of geometric series:

$$
\begin{equation*}
=\frac{1}{r}\left(\sum_{j=0}^{r-1}|b\rangle+\sum_{l=1}^{r-1} \frac{\left(\omega^{l}\right)^{r}-1}{\omega^{l}}\left|b a^{l}(\bmod N)\right\rangle\right) \tag{12}
\end{equation*}
$$

since $\omega^{r}=1$ we obtain

$$
\begin{equation*}
=|b\rangle \tag{13}
\end{equation*}
$$

Thus if we pick an arbitrary $b$ and feed the state $|b\rangle$ into the quantum register, then that can also be viewed as a uniform superposition $\frac{1}{r} \sum_{j} \vec{e}_{j, b}$.

## Factoring integers using a quantum computer

## Theorem 3 (Shor 1994)

There is a polynomial size quantum circuit that factors integers.

## Kitaev's Proof, Continued

### 6.2 Uniform superposition suffices

Now we argue that in the above algorithm, a uniform superposition of eigenvectors is just as good as a single eigenvector.

Fixing $b$, the initial state of the quantum register is

$$
\frac{1}{r} \sum_{j}|\overrightarrow{0}\rangle\left|\vec{e}_{j, b}\right\rangle,
$$

where $\overrightarrow{0}$ denotes the vector of phase bits that is initialized to 0 . After applying the quantum circuit, the final state is

$$
\frac{1}{r} \sum_{j}\left|c_{j}\right\rangle\left|\vec{e}_{j, b}\right\rangle,
$$

where $\left|c_{j}\right\rangle$ is a state vector for the phase bits that, when observed, gives the first $2 \log N$ bits of $j / r$ with probability at least $1-1 / N$. Thus observing the phase bits gives us whp a random eigenvalue.

