

# Classical and Quantum Error Correction

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# Outline

- Classical and quantum channels
- Overview of error correction
- Classical linear codes
- Quantum codes
- Conclusions

## So What's Information Good For?



### Transmission through space

(a.k.a. Communication)

- Sending Information from here to there
- "Teleporting" (quantum) states

### Transmission through time

(a.k.a. Memory)

- Preserving the state of the system



### Redistribution into convenient forms

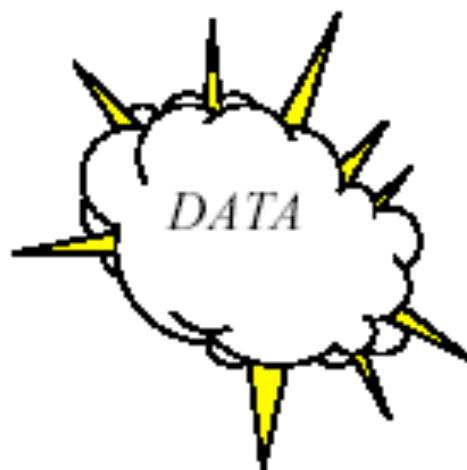
(a.k.a. Computation)

- Combining remote pieces of information into the answer you seek

But Beware! The Devil can cause **ERRORS!**



## Errors: What does the Devil do?



Classically:

- $Z_2^{\oplus n}$  finite, closed under  $\oplus$  implies Devil applies an XOR at most:

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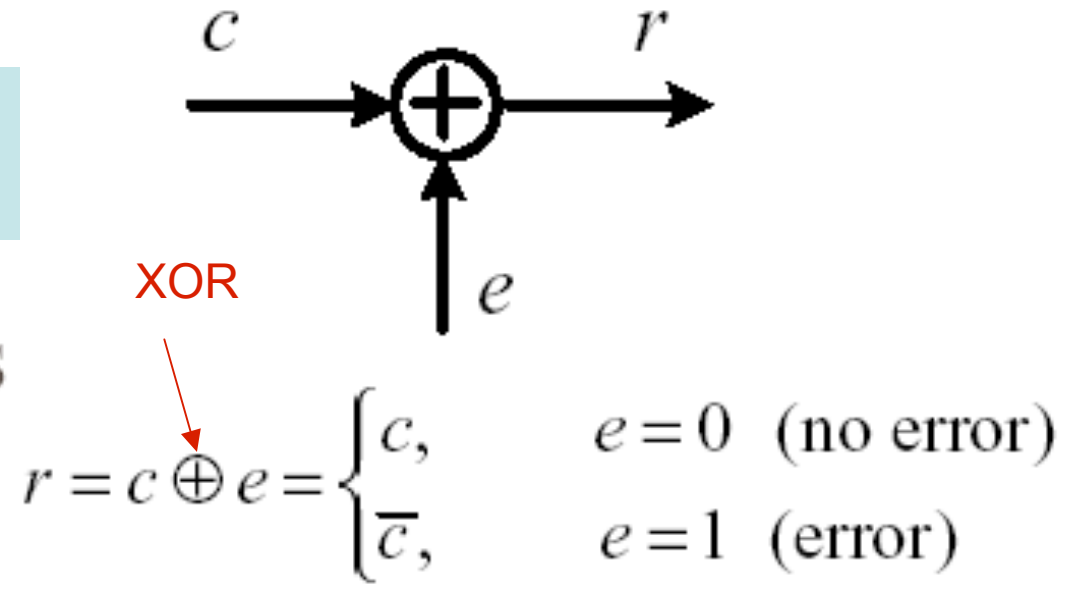
$$\begin{array}{r} 1101010 \quad \text{Data} \\ \oplus \quad \underline{0100001} \quad \text{Devil} \\ \hline 1001011 \quad \text{Output} \end{array}$$

$\therefore$  If we can correct single bit flips, we can correct any error.

# Classical Channel Models

Two types of channels are discussed:

1 Binary channels



2 Gaussian noise channel

$$r = c + e,$$

$e$ : zero-mean white Gaussian noise

Standard addition

# Quantum Channel Models

$$|\psi_r\rangle = E|\psi\rangle$$

- Quantum operators are unitary:  $EE^H = I$ .

- Depolarizing channel:

$$E \in G_n \triangleq \{\pm I, \pm X, \pm Y, \pm Z\}^{\otimes n}$$

Pauli rotations in each qubit

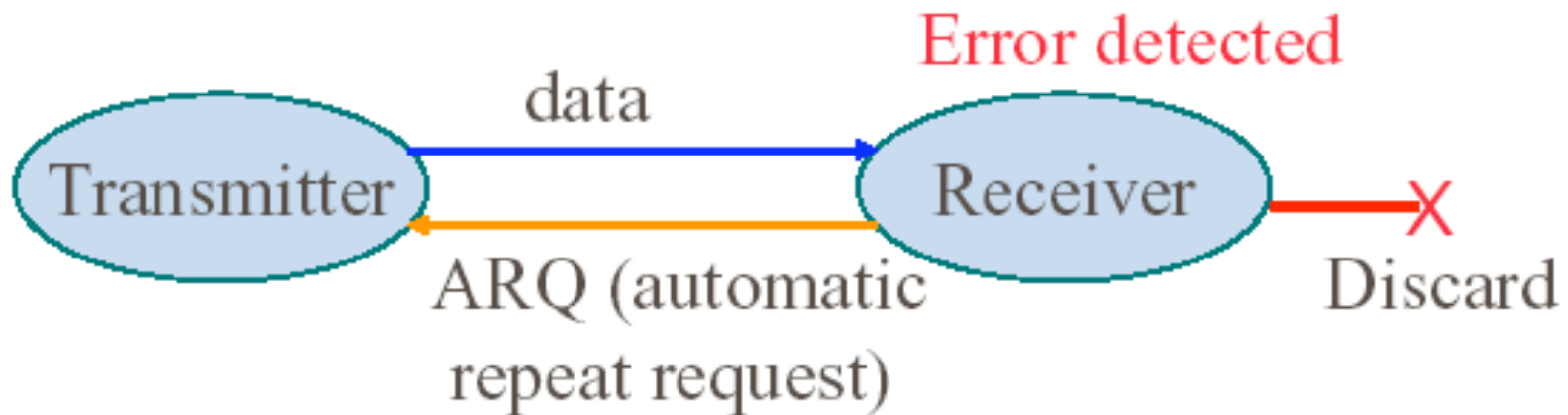
# Computing Power versus Error Control

- A quantum state  $|\psi\rangle$  with  $n$  qubits is a (unit-norm) vector in  $\mathcal{H}_{2^n}$ .
- The power of quantum computing increases exponentially with the number of qubits. 😊
- The dimension of errors also increases 🤔 exponentially. Error control is complicated.
- Reliable quantum computers can't be built without error control and fault tolerance.

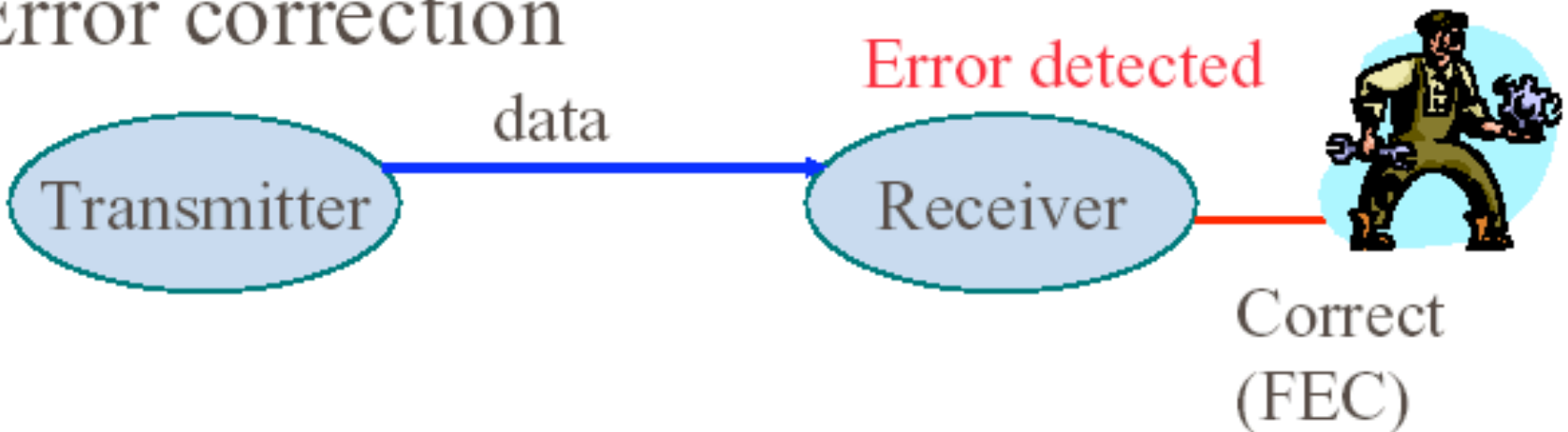


# Basic Concepts in Error Control

## ■ Error detection



## ■ Error correction



# Error Control Everywhere

- Parity-check codes for memory chips
- Cyclic redundancy codes (CRC) for packets or cells in networks
- Noise margins in Volts for “0” and “1” in digital circuits
- Reed-Solomon codes for satellites and DVD
- Stabilizer codes for quantum computing

# History of Classical Error Correction Codes (ECC)

- Hamming codes (1948) Bell Lab
- Golay codes (1949) Voyager, (Jupiter'79, Saturn'81)
- Reed-Muller ('6x), Reed-Solomon codes
- Convolutional codes
- Turbo codes (1993)
- Low-density parity check codes
- Space-time codes (1998)

# Classical Error Correction: Encoding

Idea: Spread the information out

Encoding is a mapping

- $E: Z_2^{\oplus k} \rightarrow Z_2^{\oplus n}$  maps  $k$  bits to  $n$  bits
- $E: v_k \mapsto v_k G$  for linear codes. ( $G$  is the code generator.)
- Columns of  $G$  form a basis for the  $k$ -dimensional coding subspace of  $Z_2^{\oplus k}$
- A codeword is a vector in this codespace

Please remember our hypercube illustration of codes for interpretation

- The weight of a codeword  $v$ ,  $wt(v)$ , is the number of ones in the codeword
- The distance between codewords is the weight of their difference
- To correct  $t$  errors, the minimum distance between codewords must be  $d = 2t + 1$ . ( $d$  is the distance of the code)

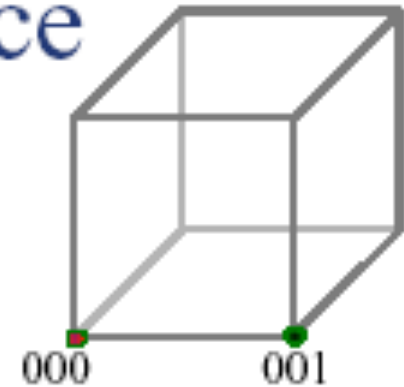
$$v \xrightarrow{t} ) \quad ( \xleftarrow{t} w$$

Forms an  $[n, k, d]$  code

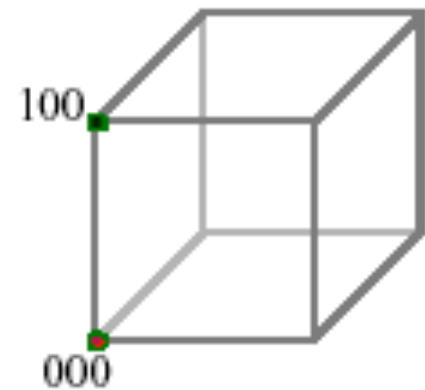
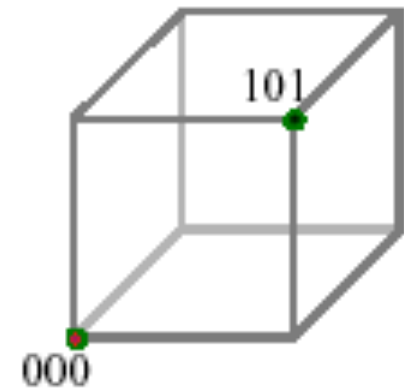
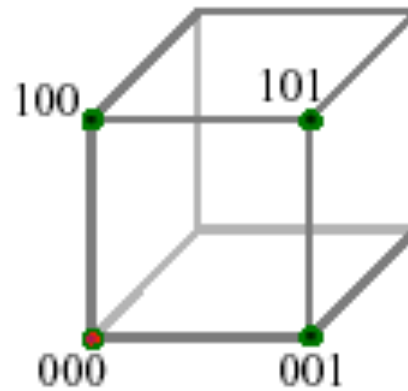
Draw yourself hypercube pictures for these, illustrate our  $(3, 1, 1)$  code from previous lecture

# Vector Space ( $n=3$ ) & Subspace

$k = 1$

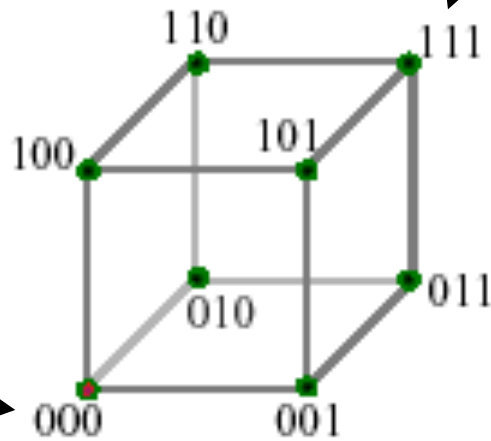


$k = 2$



$Z_2^3$

1



0

$t=1$ , correct one error

(3,1,1)  
 $\uparrow \quad \uparrow \quad \uparrow$   
 $n \quad k \quad d$

$d=2t+1, t=1,$   
 $2t+1=3=d$

$w=3$

# Classical Error Correction: Decoding

Idea: Measure the error and fix it

- Dual matrix  $P$  to  $G$  is called the parity check matrix:

transpose

$$P^T G = P G^T = 0, P \text{ has maximal rank } n - k$$

- $P$  and  $G$  may be written in standard form:

$$G = [I_k \mid -A^T] \Rightarrow P = [A \mid I_{n-k}]$$

identity

- $P$  annihilates codewords only!

- $P$  returns the error syndrome  $\Longrightarrow$

# Role of Parity Check Matrix P

- $P$  returns the error syndrome

Explanation that  $P$  returns only error syndrome since it annihilates codewords  $v$

$$(v \oplus e)P = vP \oplus eP = 0 \oplus eP = eP$$

- A distance  $d$  code has  $eP \neq 0$  for all errors  $e$  having  $wt(e) < d$
- $P^T$  is the generator and  $G^T$  is the parity check matrix of the dual code

(The basis vectors of the dual code are those vectors which are  $\perp$  to each original codeword.)



# Classical Linear Error Control Codes

- Linear block codes
  - CRC, Hamming, Reed-Muller codes
  - BCH, Reed-Solomon codes
  - algebraic-geometric code
- Linear convolutional codes
  - recursive/non-recursive
  - parallel/serial turbo codes

# Linear Operator $\mathcal{L}$

$$\mathcal{L}\{a \cdot x_1 + b \cdot x_2\} = a \cdot \mathcal{L}\{x_1\} + b \cdot \mathcal{L}\{x_2\}$$

$a, b$  : constants

$x_1, x_2$  : inputs

(Example)

linear:  $y = A\mathbf{x} + \mathbf{b}$

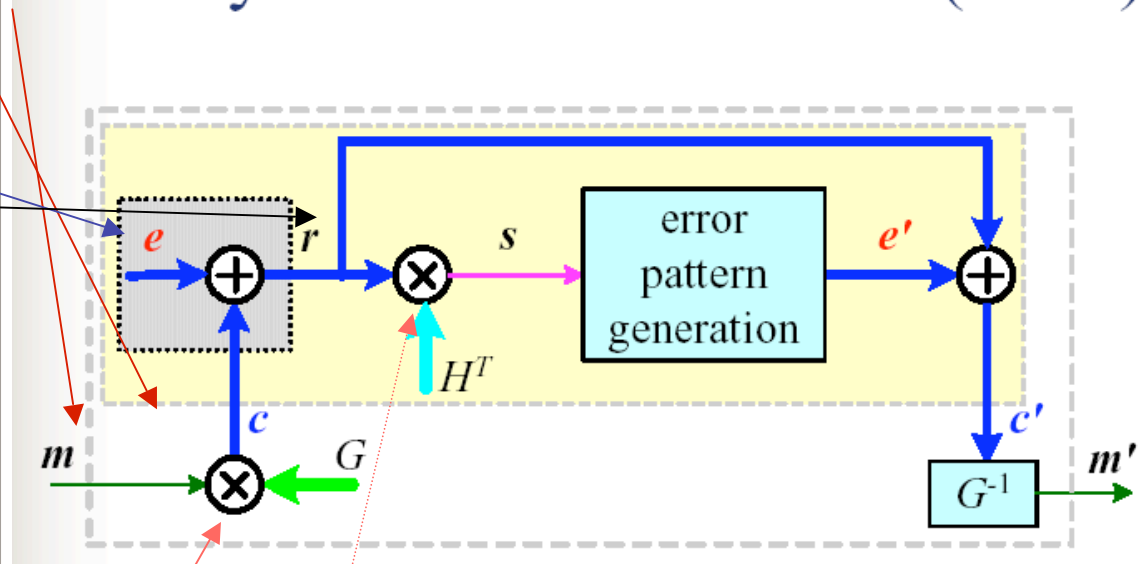
nonlinear:  $z = \mathbf{x}^T A \mathbf{x} + \mathbf{b}^T \mathbf{x} + c$

# General idea of block linear codes

$(n, k)$  linear block codes

$m$	message vector	$1 \times k$
$c$	codeword $c = mG$	$1 \times n$
$e$	error vector	$1 \times n$
$r$	received vector $r = c + e$	$1 \times n$
$G$	generator matrix, $GH^T = \mathbf{0}$	$k \times n$
$H$	parity check matrix	$(n - k) \times n$
$s$	syndrome $s = rH^T = eH^T$	$1 \times (n - k)$
$e'$	estimated error vector	$1 \times n$
$c'$	estimated codeword	$1 \times n$
$m'$	decoded message vector	$1 \times k$

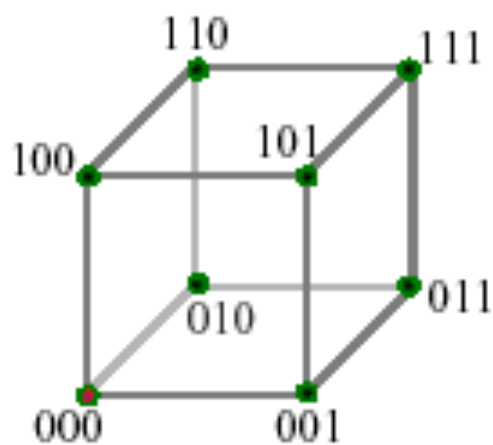
## Binary Linear Block Codes (LBC)



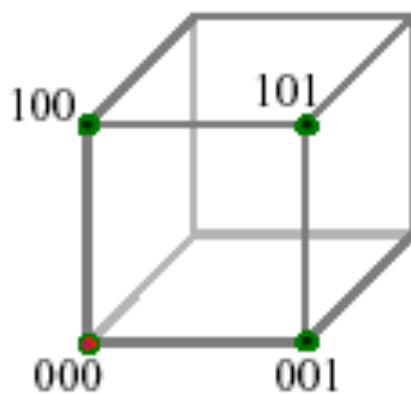
Matrix vector multiplication

# Vector Space ( $n=3$ ) & Subspace

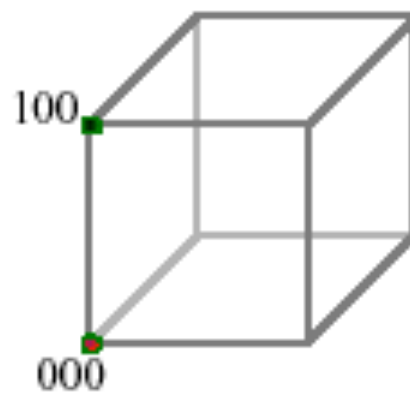
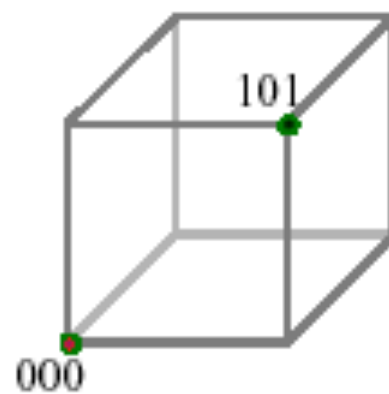
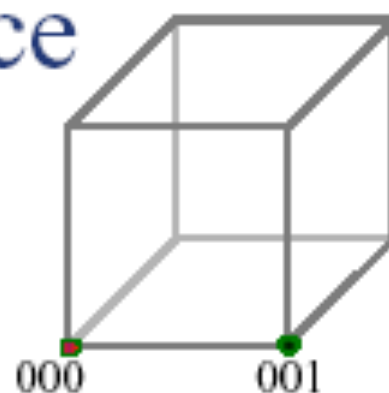
$Z_2^3$



$k=2$

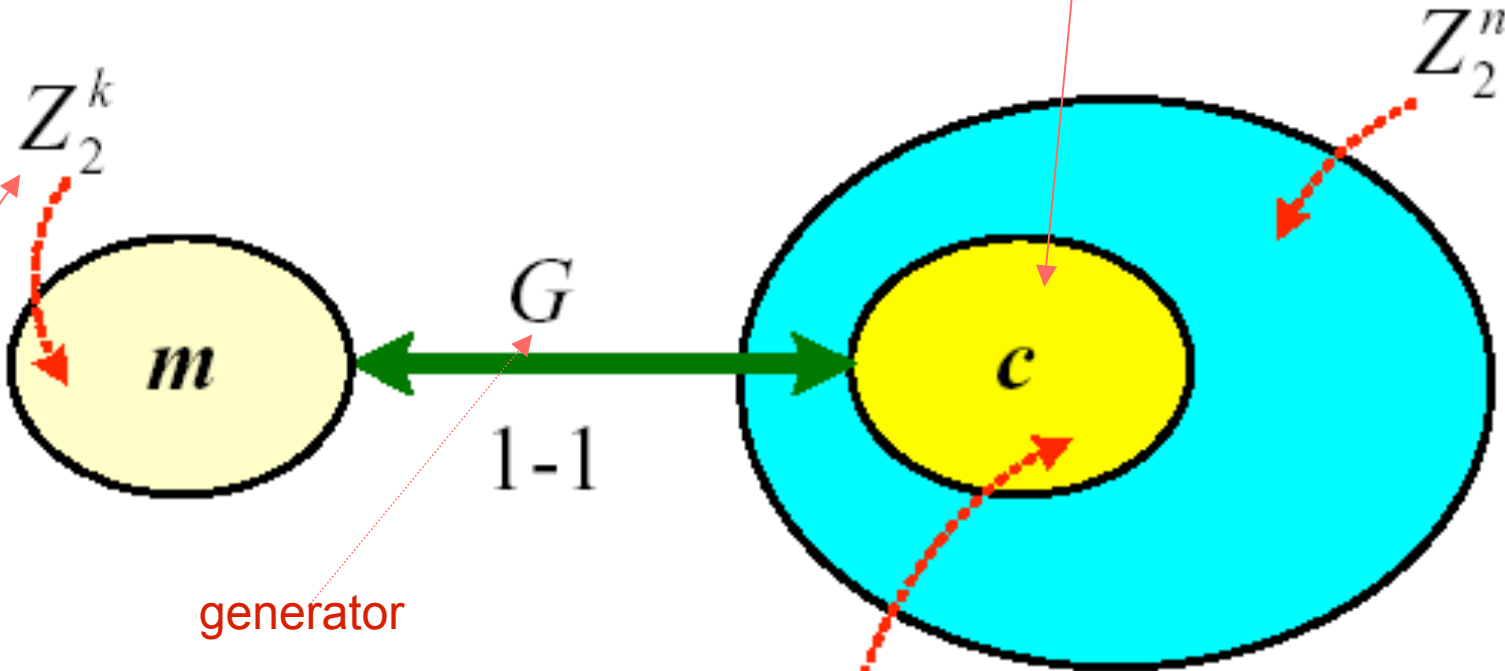


$k=1$



Galois Field hypercube

An  $(n,k)$  linear block code is a subspace of  $Z_2^n$ .



Smaller space

generator

Subspace of  $Z_2^n$

We denote it by  $\mathcal{C}$

$n$  = length of vector

# Features of Binary $(n, k, d)$ LBC $\mathcal{C}$

Big  
space

Smaller  
space

distance

■  $\mathcal{C}$  is a vector **subspace** of  $Z_2^n$ .

1. The addition (subtraction) of any two codewords remains a codeword.
2. The  $n$ -bit all-zero vector **0** is always a codeword for any linear block code.

# Error Detection and Correction Capability

As in general case

- The weight of a codeword is defined as the number of nonzero elements in a codeword.
- The minimum distance  $d$  is equal to the minimum weight of nonzero codewords.

$$d = \min_{\substack{u, v \in \mathcal{C} \\ u \neq v}} \text{dist}(u, v) = \min_{\substack{u, v \in \mathcal{C} \\ u \neq v}} w(u \oplus v) = \min_{\substack{c \in \mathcal{C} \\ c \neq 0}} w(c)$$

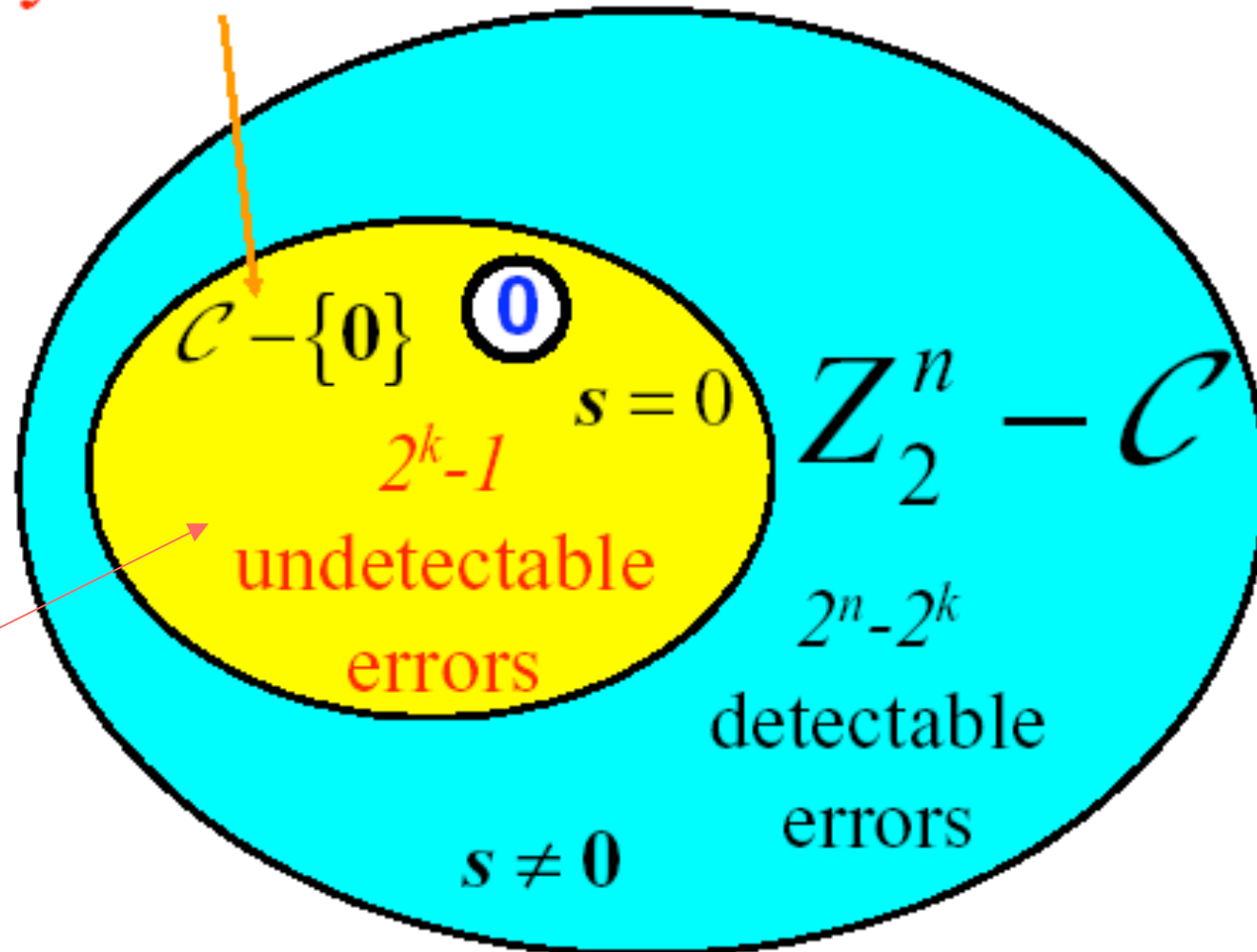
3 in our case

- An  $(n, k, d)$  linear block code can detect any  $(d-1)$ -bit errors and correct any  $\left\lfloor \frac{d-1}{2} \right\rfloor$ -bit errors.

1 in our case

# Detection Capability of Linear Block Codes

Only errors in  $\mathcal{C}$  can't be detected.



If codeword is changed to another codeword it cannot be detected



# Detection & Correction of $(n,k)$ Linear Block Codes

- Error detection is easier than error correction.

- #detectable errors =  $2^n - 2^k$

$$2^3 - 2^1 = 6$$

0	
	1

- #correctable errors = #nonzero syndromes  
=  $2^{n-k} - 1$

$$2^{3-1} = 4 - 1 = 3$$

# Linear $(n,k)$ Cyclic Codes over GF(2)

- A computationally efficient subset of linear block codes. Good linear cyclic codes exist.

- Generator polynomial

$$g(x) = \sum_{i=0}^{n-k} g_i x^i \Leftrightarrow g = (g_{n-k}, \dots, g_1, g_0)$$

- Parity check polynomial

$$h(x) = \sum_{i=0}^k h_i x^i \Leftrightarrow h = (h_k, \dots, h_1, h_0)$$

- Constraint:  $g(x)h(x) = x^n - 1$

Easy hardware to operate on these polynomials

# Encoding a **Cyclic Code**

$$c(x) = m(x)g(x)$$



*From slide with  
general diagram  
of linear codes*

$$c = (c_{n-1}, \dots, c_1, c_0)$$

$$c_j = m_j * g_j \triangleq \sum_{i=0}^j m_{j-i} g_i$$

Polynomial multiplication = **Convolution** of coefficients

# Cyclic Shifts in Cyclic Codes

- Multiplication by  $x^j$  modulo  $(x^n-1)$  is equivalent to a **cyclic left shift** by  $j$  positions.

$$c^{(j)}(x) = x^j \cdot c(x) \text{ mod } (x^n - 1)$$



$$c^{(j)} = (c_{n-j-1}, \dots, c_1, c_0, c_{n-1}, \dots, c_{n-j})$$

# Cyclic property

- Any cyclic shifted version of a codeword is also a valid codeword.

$$\forall c = (c_{n-1}, c_{n-2}, c_{n-3}, \dots, c_1, c_0) \triangleq c^{(0)} = c^{(n)} \in \mathcal{C},$$

$$c^{(1)} \triangleq (c_{n-2}, c_{n-3}, \dots, c_1, c_0, c_{n-1}) \in \mathcal{C}$$

$$\Rightarrow c^{(2)} \triangleq (c_{n-3}, \dots, c_1, c_0, c_{n-1}, c_{n-2}) \in \mathcal{C}$$

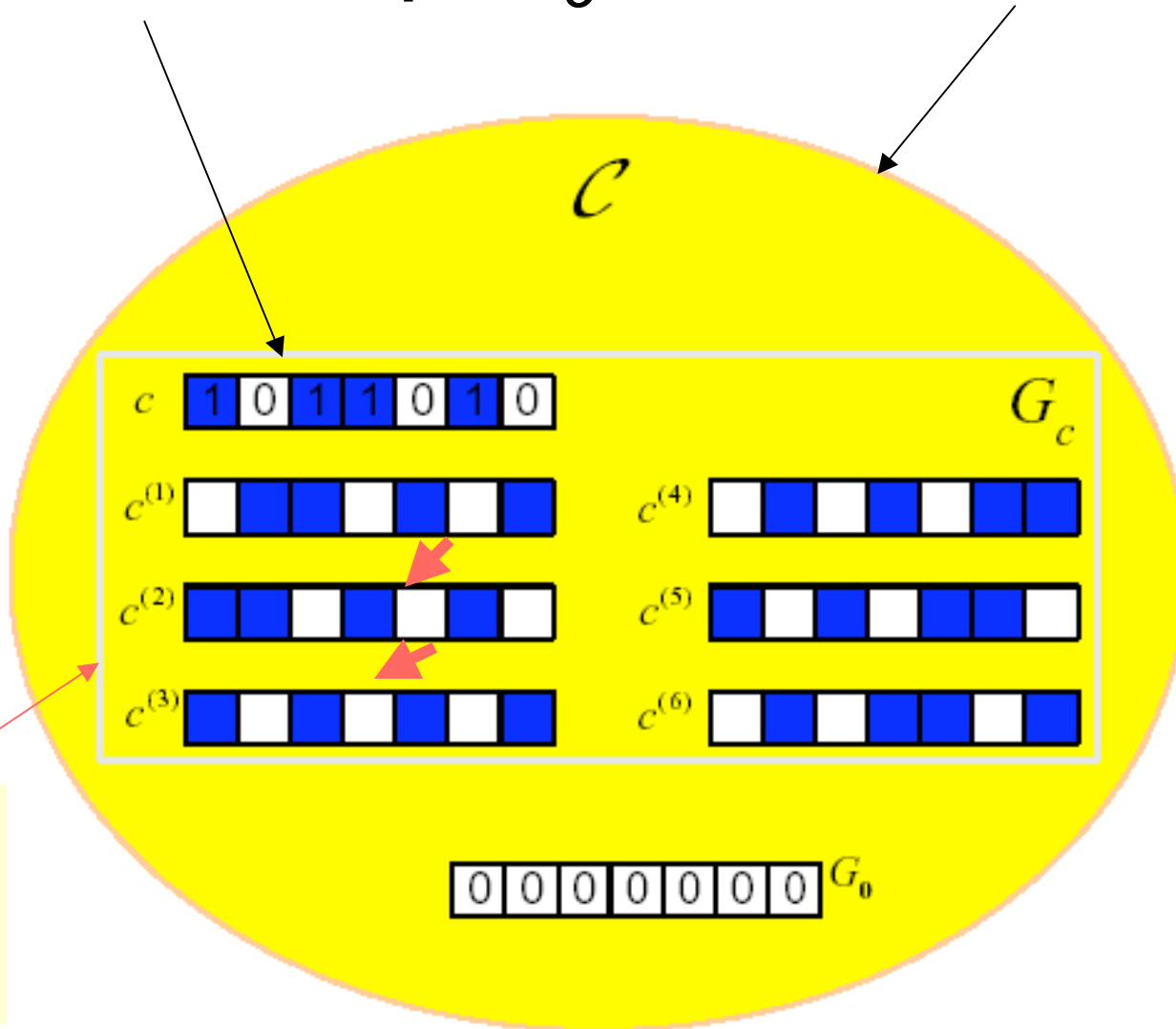
$$\Rightarrow c^{(j)} \in \mathcal{C}, \forall j$$

Thus we can talk about a group

- Cyclic group of a codeword  $c$

$$G_c = \{c^{(j)}, j = 0, 1, \dots, n-1\}, \quad |G_c| \leq n$$

# Cyclic Group $G_c$ in Code Subspace



# Quantum Error Correction

# Outline

- Sources and types of errors
- Differences between classical and quantum error correction
- Quantum error correcting codes



# Introduction: why quantum error correction?

- Quantum states of **superposition** (which stores quantum information) extremely **fragile**.
- **Quantum error correction** more tricky than classical error correction.
- In the field of quantum computation, what is possible in theory is very far off from what can be implemented.
- Complex quantum computation impossible without the ability to **recover from errors**

# What can go wrong?

- **Internal:**
  - Initial states on input qubits not prepared properly.
  - Quantum gates used may not be accurate
    - Quantum gates may introduce small errors which will accumulate.
- **External:**
  - Dissipation
    - A qubit loses energy to the environment.
  - Decoherence

# Decoherence


- Decoherence is the loss of quantum information of a quantum system due to its **interaction** with the environment.
- Almost impossible to **isolate** a quantum system from the environment.
- Over time, our quantum system will be **entangled with the environment**.

# Detrimental role of environment

- **Information** encoded in our quantum system will be encoded instead in the correlations between the quantum system and the environment.
- The **environment** can be seen as **measuring** the quantum system, **collapsing** its superposition state.
- Hence quantum information (encoded in the superposition) is irreversibly lost from the qubit.

# How to Deal With Decoherence?


## First method to deal with decoherence

 Design quantum algorithms to **finish before decoherence** ruins the quantum information.

- Can be difficult as
  - Decoherence occurs very **quickly**.
  - Quantum **algorithms** may be very complex and **long**.

# Dealing With Decoherence

## Second method to deal with decoherence

 Try to **lower the rate** at which decoherence occurs.

- Accomplished by using a **right combination** of:
  - Quantum particle type
  - Quantum computer size
  - Environment

# Decoherence times in practice

- **Decoherence time** refers to the time available before decoherence ruins quantum information.
- Decoherence time is affected by the **size** of the system, as well as the **environment**.

Approximate decoherence time (in seconds) for various system sizes and environment

System size (cm)	Cosmic Radiation	Room Temperature	Sunlight	Vacuum ( $10^6$ particles/cm <sup>3</sup> )	Air
$10^{-3}$	$10^{-7}$	$10^{-14}$	$10^{-16}$	$10^{-18}$	$10^{-35}$
$10^{-5}$	$10^{15}$	$10^{-3}$	$10^{-8}$	$10^{-10}$	$10^{-23}$
$10^{-6}$	$10^{25}$	$10^5$	$10^{-2}$	$10^{-6}$	$10^{-19}$

- Decoherence time affected by environmental factors like **temperature** and amount of **surrounding particles in the environment**

# Gate completion time

- Time needed for a quantum gate operation is as important as decoherence time.

$$\text{Max no of operations that can be performed before decoherence} \\ = \frac{\text{decoherence time}}{\text{time per quantum gate operation}}$$

- Different types of quantum systems have different decoherence time and per gate operation time.

In next time we will compare these coefficients for real technologies





# Maximum number of operations before decoherence for various quantum systems

Decoherence time versus time required for a quantum gate operation for various quantum systems

Quantum system	Decoherence time (sec)	Time per Gate Operation (sec)	Max number of operations before decoherence
Electrons from gold atom	$10^{-8}$	$10^{-14}$	$10^6$
Trapped indium atoms	$10^{-1}$	$10^{-14}$	$10^{13}$
Optical microcavity	$10^{-5}$	$10^{-14}$	$10^9$
Electron spin	$10^{-3}$	$10^{-7}$	$10^4$
Electron quantum dot	$10^{-3}$	$10^{-6}$	$10^3$
Nuclear spin	$10^4$	$10^{-3}$	$10^7$

- The better the decoherence time, the slower the quantum gate operations.

# Dealing With Decoherence and other sources of errors

## Third method to deal with decoherence

### Use Quantum Error correcting codes

- **Encode qubits** (together with extra ancillary qubits) in a state where subsequent errors can be corrected.
- Allows long algorithms requiring many operations to run, as errors can be **corrected after they occur**.

# History of **Quantum Error Correction** Codes (QECC)

- Dark age (before 1995) (No-cloning theorem)
- Calderbank-Shor-Steane (CSS) code
  - Shor (1995) (unitary quantum operators)
  - Steane (1996)
- Stabilizer code
- Quantum block code
  - Reed-Muller, Reed-Solomon, algebraic-geometric, ...
- Quantum convolutional code

# Quantum Error Correcting Codes

- CSS (Calderbank-Shor-Steane) code
  - special case of stabilizer codes
- Stabilizer codes
  - codewords are eigenvectors with eigenvalue=1
- Quantum linear block codes
- Quantum linear convolutional codes

# Quantum Errors

- $E: H_2^{\otimes v} \rightarrow H_2^{\otimes v}$  can be any (not necessarily injective) map
- Usual model simplification: Tensor products of single qubit errors:

$$\begin{aligned} E &= A_1 \otimes A_1 \otimes A_1 \otimes A_1 \\ V &= |0\rangle \otimes |1\rangle \otimes |1\rangle \otimes |0\rangle \end{aligned}$$

- Single qubit error operators have a finite basis:

$$|0\rangle \equiv \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad |1\rangle \equiv \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\begin{aligned} E &= \frac{1}{2} \left[ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \alpha_1 \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} + \alpha_2 \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix} + \alpha_3 \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \right] \\ &= \frac{1}{2} [I + \alpha_1 \sigma_x + \alpha_2 \sigma_y + \alpha_3 \sigma_z] \\ &= \frac{1}{2} [I + \boldsymbol{\alpha} \cdot \boldsymbol{\sigma}] \end{aligned}$$

# Quantum Errors

## General representation of single qubit

$$\begin{aligned} E &= \frac{1}{2} \left[ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \alpha_1 \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} + \alpha_2 \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix} + \alpha_3 \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \right] \\ &= \frac{1}{2} [I + \alpha_1 \sigma_x + \alpha_2 \sigma_y + \alpha_3 \sigma_z] \\ &= \frac{1}{2} [I + \boldsymbol{\alpha} \cdot \boldsymbol{\sigma}] \end{aligned}$$

- Note that if we take  $X = \sigma_x$ ,  $Y = -i\sigma_y$ , and  $Z = \sigma_z$  that the error basis  $\{I, X, Y, Z\}$  forms a representation of the quaternion group

$\therefore$  If we can correct  $\sigma_x$ ,  $\sigma_y$ ,  $\sigma_z$  we can correct any error! (more about this later)

# Quantum Error Correction: Naive Encoding

First approach: Let's try classical codes

$0 \mapsto 000$   
 $1 \mapsto 111$       "Repetition"  $[3, 1, 3]$  code

$$|\psi\rangle \mapsto |\psi\rangle \otimes |\psi\rangle \otimes |\psi\rangle \quad ?$$

But wait! The quantum world is a



# Cloning (copying) operator $U$ does not exist

Why?

Assume that such  $U$  exists

$$\begin{aligned}U(|\alpha\rangle|0\rangle) &= |\alpha\rangle|\alpha\rangle \\ \Rightarrow U((|\alpha\rangle + |\beta\rangle)|0\rangle) &= |\alpha\rangle|\alpha\rangle + |\beta\rangle|\beta\rangle \\ &\neq (|\alpha\rangle + |\beta\rangle)(|\alpha\rangle + |\beta\rangle)\end{aligned}$$

So we apply it to general superposed state

And we obtain this

*Which is not what we wanted*

But this is still useful. Although not copying, this is a redundancy introducing operator so it may be used for error correcting codes. This was one of main ideas



# Commuting and Anti-Commuting Quantum Operators

Definitions :  $[A, B] = AB - BA$

Commutator of A and B



$\{A, B\} = AB + BA$

Anti-commutator of A and B



$A$  and  $B$  commute :  $[A, B] = 0$

$A$  and  $B$  anti-commute:  $\{A, B\} = 0$

# (1-qubit) Pauli Operators

Bit flip:  $X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$

Phase flip:  $Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$

Bit & phase flip:

$$Y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} = \underbrace{-i}_{\text{global phase}} \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} = -i XZ$$

We express Y in terms of X and Z




# Properties of Pauli Operators

$$X^2 = Z^2 = Y^2 = I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$X = X^H = X^{-1}, \quad Y = Y^H = Y^{-1}, \quad Z = Z^H = Z^{-1}$$

Adjoint  
operator



$$XZ = -ZX = iY, \quad \{X, Z\} = 0$$

$$XY = -YX = iZ, \quad \{X, Y\} = 0$$

$$YZ = -ZY = iX, \quad \{Y, Z\} = 0$$

anticommutative

Pauli operators are self-inverses and anti-commute

# 1-qubit Pauli Group $G_1$

A (non-abelian) group of cardinality 8:

$$G_1 = \{\pm I, \pm X, \pm Y, \pm Z\}$$

4 \* 2 = 8 elements  
in this group

Any two operators in  $G_1$  either **commute** or **anti-commute**:

$$[A, B] = 0 \quad \text{or} \quad \{A, B\} = 0, \quad \forall A, B \in G_1$$

Please remember, this is important



**Pauli operators are a group**

Now we extend to group  $G_n$

Group  $G_n$ :  $n$ -qubit Pauli group containing all the  $n$ -fold tensor products of one-bit Pauli operators.

$$G_n = \pm \{I, X, Y, Z\}^{\otimes n}$$

We model faults in channels by  $G_n$

$n$ -qubit Depolarizing Channels:  
error operators  $\in G_n$

# Example: error operator in $G_5$

Tensor product

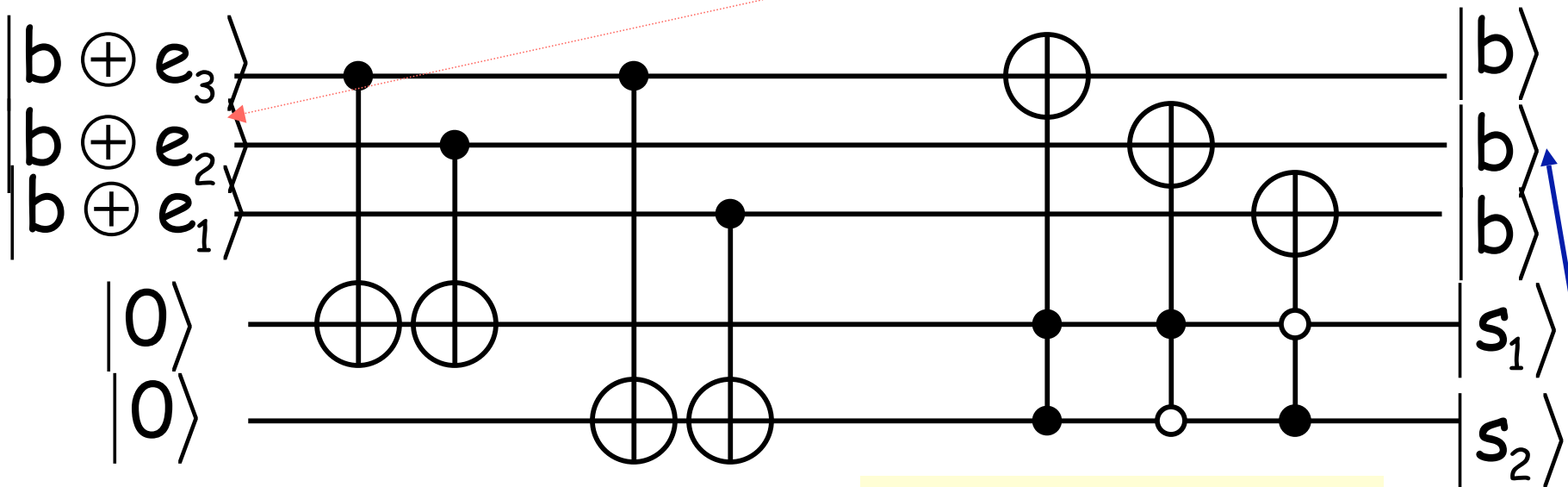
$$E = X \otimes I \otimes Z \otimes X \otimes Y = X_1 Z_3 X_4 Y_5$$

qubit	operation
1	Bit flip
2	No error
3	Phase flip
4	Bit flip
5	Bit and phase flip

This will be our error model from now

# Quantum network for correcting errors

- Assume that  $e_3 + e_2 + e_1 \leq 1$   $e_i \in \{0,1\}$



Decoder and corrector

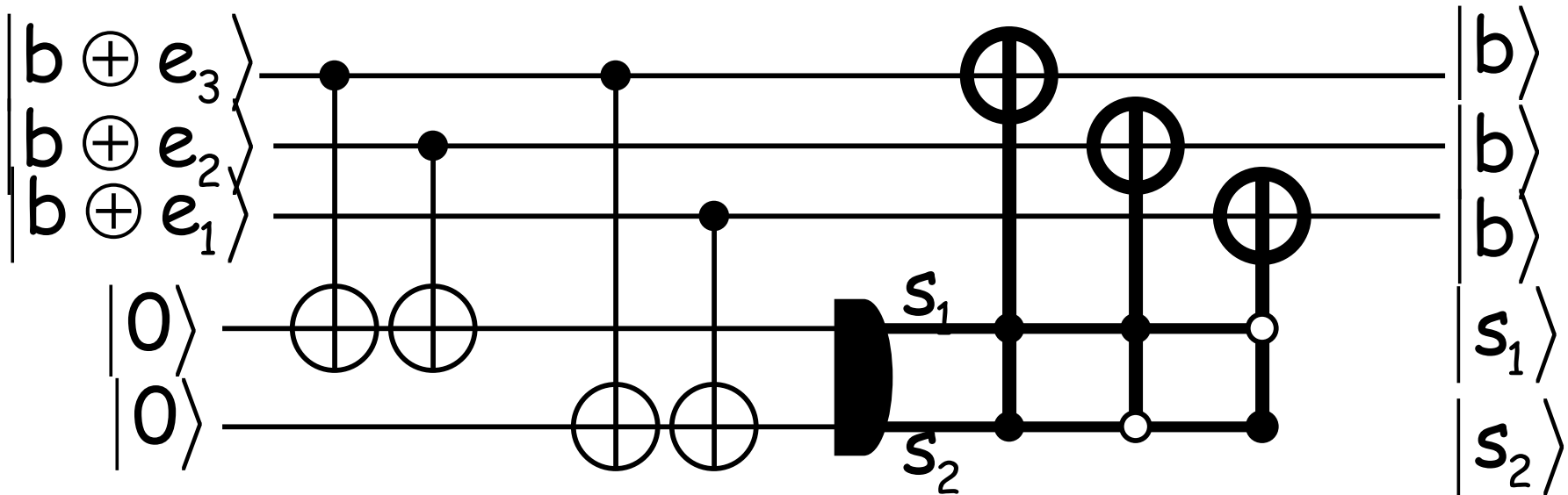
Input signal with error

$$\alpha |e_3\rangle |e_2\rangle |e_1\rangle + \beta |1 \oplus e_3\rangle |1 \oplus e_2\rangle |1 \oplus e_1\rangle \rightarrow$$

$$\alpha |0\rangle |0\rangle |0\rangle + \beta |1\rangle |1\rangle |1\rangle$$

Input signal after error correcting

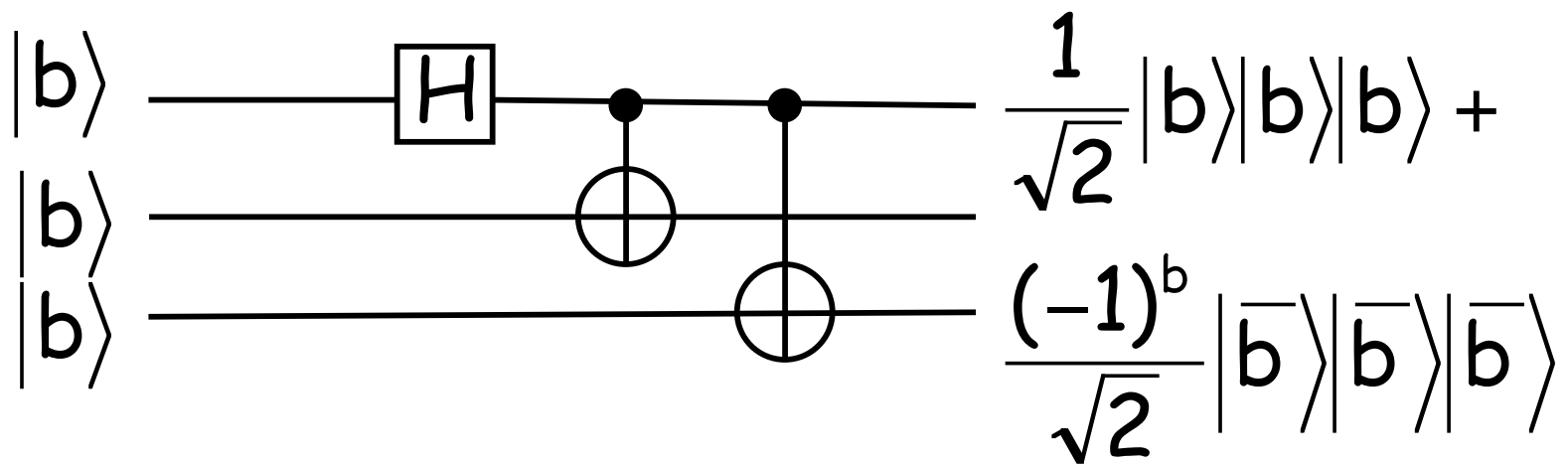
# Equivalently





# Perform operations on logical bits

- e.g. Hadamard gate



# *Quantum Error Correcting* *by Peter Shor*

- In 1995, Peter Shor developed an improved procedure using **9 qubits** to encode a single qubit of information
- His algorithm was a **majority vote type** of system that allowed **all single qubit errors to be detected and corrected**

This was a starting point to great research area, although his paper had many bugs