## Introduction to Quantum Information Processing

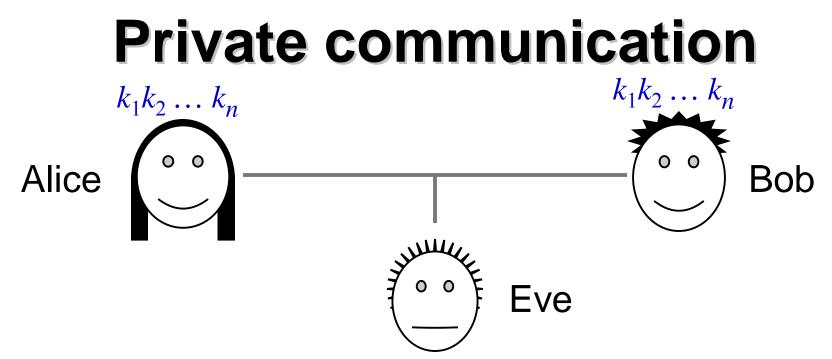
#### Lecture 20

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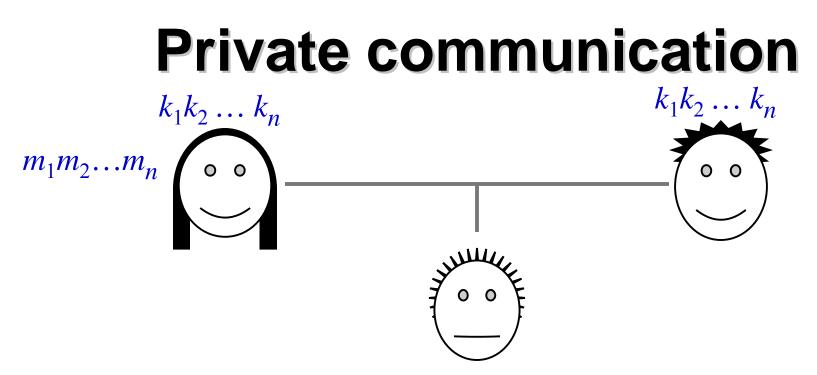
## **Overview of Lecture 20**

- Cryptography: the key distribution problem
- The BB84 quantum key distribution protocol
- The bit commitment problem

## quantum key distribution



- Suppose Alice and Bob would like to communicate privately in the presence of an eavesdropper Eve
- A provably secure (classical) scheme exists for this, called the *one-time pad*
- The one-time pad requires Alice & Bob to share a secret
   key: k ∈ {0,1}<sup>n</sup>, uniformly distributed (secret from Eve)



#### **One-time pad protocol:**

- Alice sends  $c = m \oplus k$  to Bob
- Bob receives computes  $c \oplus k$ , which is  $(m \oplus k) \oplus k = m$

This is secure because, what Eve sees is c, and c is uniformly distributed, regardless of what m is

## Key distribution scenario

- For security, Alice and Bob must never reuse the key bits
  - E.g., if Alice encrypts both m and m' using the same key k then Eve can deduce  $m \oplus m' = c \oplus c'$
- Problem: how do they distribute the secret key bits in the first place?
  - Presumably, there is some trusted preprocessing stage where this is set up (say, where Alice and Bob get together, or where they use a trusted third party)
- Key distribution problem: set up a large number of secret key bits

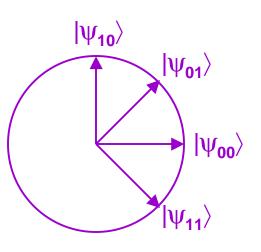
#### Key distribution based on computational hardness

- The **RSA** protocol can be used for key distribution:
  - Alice chooses a random key, encrypts it using Bob's *public key*, and sends it to Bob
  - Bob decrypts Alice's message using his secret (private) key
- The security of **RSA** is based on the presumed computational difficulty of factoring integers
- More abstractly, a key distribution protocol can be based on any *trapdoor one-way function*
- Most such schemes are breakable by quantum computers

## Quantum key distribution (QKD)

- A protocol that enables Alice and Bob to set up a secure\* secret key, provided that they have:
  - A *quantum channel*, where Eve can read and modify messages
  - An *authenticated classical channel*, where Eve can read messages, but cannot tamper with them (the authenticated classical channel can be simulated by Alice and Bob having a *very short* classical secret key)
- There are several protocols for QKD, and the first one proposed is called "BB84" [Bennett & Brassard, 1984]:
  - BB84 is "easy to implement" physically, but "difficult" to prove secure
  - [Mayers, 1996]: first true security proof (quite complicated)
  - [Shor & Preskill, 2000]: "simple" proof of security
- \* Information-theoretic security

• First, define:  $|\Psi_{00}\rangle = |0\rangle$  $|\Psi_{10}\rangle = |1\rangle$  $|\Psi_{11}\rangle = |-\rangle = |0\rangle - |1\rangle$  $|\Psi_{01}\rangle = |+\rangle = |0\rangle + |1\rangle$ 



- Alice begins with two random *n*-bit strings  $a, b \in \{0,1\}^n$
- Alice sends the state  $|\psi\rangle = |\psi_{a_1b_1}\rangle |\psi_{a_2b_2}\rangle \dots |\psi_{a_nb_n}\rangle$  to Bob
- **Note:** Eve may see these qubits (and tamper wth them)
- After receiving |ψ⟩, Bob randomly chooses b' ∈ {0,1}<sup>n</sup> and measures each qubit as follows:
  - If  $b'_i = 0$  then measure qubit in basis  $\{|0\rangle, |1\rangle\}$ , yielding outcome  $a'_i$
  - If  $b'_i = 1$  then measure qubit in basis  $\{|+\rangle, |-\rangle\}$ , yielding outcome  $a'_i$

#### • Note:

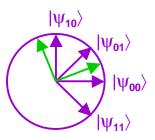
- If  $b'_i = b_i$  then  $a'_i = a_i$
- If  $b'_i \neq b_i$  then  $\Pr[a'_i = a_i] = \frac{1}{2}$
- Bob informs Alice when he has performed his measurements (using the public channel)
- Next, Alice reveals b and Bob reveals b' over the public channel
- They discard the cases where b'<sub>i</sub>≠b<sub>i</sub> and they will use the remaining bits of a and a' to produce the key
- Note:
  - If Eve did not disturb the qubits then the key can be just a (= a')
  - The *interesting* case is where Eve may tamper with  $|\psi\rangle$  while it is sent from Alice to Bob

 $|\psi_{10}\rangle$ 

 $|\psi_{01}\rangle$ 

 $|\psi_{11}\rangle$ 

 $|\psi_{00}\rangle$ 



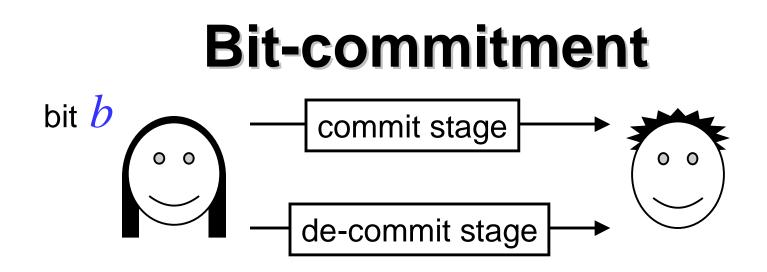
#### • Intuition:

- Eve cannot acquire information about  $|\psi\rangle$  without disturbing it, which will cause **some** of the bits of *a* and *a'* to disagree
- It can be proven\* that: the more information Eve acquires about *a*,
   the more bit positions of *a* and *a*' will be different
- From Alice and Bob's remaining bits, a and a' (where the positions where  $b'_i \neq b_i$  have already been discarded):
  - They take a random subset and reveal them in order to estimate the fraction of bits where a and a' disagree
  - If this fraction is not too high then they proceed to distill a key from the bits of a and a' that are left over (around n/4 bits)

#### \* To prove this rigorously is nontrivial

- If the error rate between a and a' is below some threshold (around 11%) then Alice and Bob can produce a good key using techniques from classical cryptography:
  - Information reconciliation ("distributed error correction"): to produce shorter a and a' such that (i) a = a', and (ii) Eve doesn't acquire much information about a and a' in the process
  - **Privacy amplification:** to produce shorter a and a' such that Eve's information about a and a' is very small
- There are already commercially available implementations of BB84, though assessing their true security is a subtle matter (since their physical mechanisms are not ideal)

# the story of bit-commitment



- Alice has a bit *b* that she wants to *commit* to Bob:
- After the *commit* stage, Bob should know nothing about *b*, but Alice should not be able to change her mind
- After the *de-commit* stage, either:
  - Bob should learn b and accept its value, or
  - Bob should reject Alice's de-commitment messages, if she deviates from the protocol

#### Simple physical implementation

- **Commit:** Alice writes *b* down on a piece of paper, locks it in a safe, sends the safe to Bob, but keeps the key
- **De-commit:** Alice sends the key to Bob, who then opens the safe
- Desireable properties:
  - Binding: Alice cannot change b after commit
  - Concealing: Bob learns nothing about b until de-commit

**Question:** why should anyone care about bit-commitment?

**Answer:** it is a useful primitive operation for other protocols, such as zero-knowledge proofs of language-membership

#### **Complexity-theoretic implementation**

Based on a *one-way function*  $f: \{0,1\}^n \rightarrow \{0,1\}^n$  and a *hard-predicate*  $h: \{0,1\}^n \rightarrow \{0,1\}$  for f

**Commit:** Alice picks a random  $x \in \{0,1\}^n$ , sets y = f(x) and  $c = b \oplus h(x)$  and then sends y and c to Bob

**De-commit:** Alice sends *x* to Bob, who verifies that y = f(x) and then sets  $b = c \oplus h(x)$ 

This is (i) perfectly binding and (ii) computationally concealing, based on the hardness of predicate h

## **Quantum implementation**

- Inspired by the success of QKD, one can try to use the properties of quantum mechanical systems to design an information-theoretically secure bit-commitment scheme
- One simple idea:
  - To **commit** to **0**, Alice sends a random sequence from  $\{|0\rangle, |1\rangle\}$
  - To **commit** to **1**, Alice sends a random sequence from  $\{|+\rangle, |-\rangle\}$
  - Bob measures each qubit received in a random basis
  - To de-commit, Alice tells Bob exactly which states she sent in the commitment stage (by sending its index 00, 01, 10, or 11), and Bob checks for consistency with his measurement results
- A paper appeared in 1993 proposing a quantum bitcommitment scheme and a proof of security

## **Quantum implementation**

- Not only was the 1993 scheme shown to be insecure, but it was later shown that no such scheme can exist
- To understand the impossibility proof, recall the Schmidt decomposition:

Let 
$$|\psi\rangle$$
 be *any* bipartite quantum state:  
 $|\psi\rangle = \sum_{x \in X \ y \in Y} \alpha_{x,y} |x\rangle |y\rangle$   
Then there exist orthonormal states  
 $|\mu_1\rangle, |\mu_2\rangle, ..., |\mu_m\rangle$  and  $|\phi_1\rangle, |\phi_2\rangle, ..., |\phi_m\rangle$  such that  
 $|\psi\rangle = \sum_{z \in Z} \beta_z |\mu_z\rangle |\phi_z\rangle$ 

[Mayers '96][Lo & Chau '96] Eigenvectors of  $Tr_1 |\psi\rangle \langle \psi |$ 

#### **Quantum implementation**

- **Corollary:** if  $|\psi_0\rangle$ ,  $|\psi_1\rangle$  are such that  $\mathrm{Tr}_1 |\psi_0\rangle \langle \psi_0| = \mathrm{Tr}_1 |\psi_1\rangle \langle \psi_1|$ then there exists a unitary U (acting on the first register) such that  $(U \otimes I) |\psi_0\rangle = |\psi_1\rangle$
- Proof:

$$|\Psi_{0}\rangle = \sum_{z \in Z} \beta_{z} |\mu_{z}\rangle |\phi_{z}\rangle \quad \text{and} \quad |\Psi_{1}\rangle = \sum_{z \in Z} \beta_{z} |\mu'_{z}\rangle |\phi_{z}\rangle$$
  
Let  $U|\mu_{z}\rangle = |\mu'_{z}\rangle$ 

- Protocol can be "purified" so that Alice's commit states are  $|\psi_0\rangle \& |\psi_1\rangle$  (where she sends the second register to Bob)
- By applying U to her register, Alice can change her commitment from b = 0 to b = 1 (by changing  $|\psi_0\rangle$  to  $|\psi_1\rangle$ )

