# Introduction to Quantum Information Processing 

## Lecture 20

Richard Cleve

## Overview of Lecture 20

- Cryptography: the key distribution problem
- The BB84 quantum key distribution protocol
- The bit commitment problem


# quantum key distribution 

## Private communication



- Suppose Alice and Bob would like to communicate privately in the presence of an eavesdropper Eve
- A provably secure (classical) scheme exists for this, called the one-time pad
- The one-time pad requires Alice \& Bob to share a secret key: $k \in\{0,1\}^{n}$, uniformly distributed (secret from Eve)


## Private communication



## One-time pad protocol:

- Alice sends $c=m \oplus k$ to Bob
- Bob receives computes $c \oplus k$, which is $(m \oplus k) \oplus k=m$

This is secure because, what Eve sees is $c$, and $c$ is uniformly distributed, regardless of what $m$ is

## Key distribution scenario

- For security, Alice and Bob must never reuse the key bits
- E.g., if Alice encrypts both $m$ and $m^{\prime}$ using the same key $k$ then Eve can deduce $m \oplus m^{\prime}=c \oplus c^{\prime}$
- Problem: how do they distribute the secret key bits in the first place?
- Presumably, there is some trusted preprocessing stage where this is set up (say, where Alice and Bob get together, or where they use a trusted third party)
- Key distribution problem: set up a large number of secret key bits


## Key distribution based on computational hardness

- The RSA protocol can be used for key distribution:
- Alice chooses a random key, encrypts it using Bob's public key, and sends it to Bob
- Bob decrypts Alice's message using his secret (private) key
- The security of RSA is based on the presumed computational difficulty of factoring integers
- More abstractly, a key distribution protocol can be based on any trapdoor one-way function
- Most such schemes are breakable by quantum computers


## Quantum key distribution (QKD)

- A protocol that enables Alice and Bob to set up a secure* secret key, provided that they have:
- A quantum channel, where Eve can read and modify messages
- An authenticated classical channel, where Eve can read messages, but cannot tamper with them (the authenticated classical channel can be simulated by Alice and Bob having a very short classical secret key)
- There are several protocols for QKD, and the first one proposed is called "BB84" [Bennett \& Brassard, 1984]:
- BB84 is "easy to implement" physically, but "difficult" to prove secure
- [Mayers, 1996]: first true security proof (quite complicated)
- [Shor \& Preskill, 2000]: "simple" proof of security
* Information-theoretic security


## BB84

- First, define: $\left|\psi_{00}\right\rangle=|0\rangle$

$$
\begin{aligned}
& \left|\psi_{10}\right\rangle=|1\rangle \\
& \left|\psi_{11}\right\rangle=|-\rangle=|0\rangle-|1\rangle \\
& \left|\psi_{01}\right\rangle=|+\rangle=|0\rangle+|1\rangle
\end{aligned}
$$



- Alice begins with two random $n$-bit strings $a, b \in\{0,1\}^{n}$
- Alice sends the state $|\psi\rangle=\left|\psi_{a_{1} b_{1}}\right\rangle\left|\psi_{a_{2} b_{2}}\right\rangle \ldots\left|\psi_{a_{n} b_{n}}\right\rangle$ to Bob
- Note: Eve may see these qubits (and tamper wth them)
- After receiving $|\psi\rangle$, Bob randomly chooses $b^{\prime} \in\{0,1\}^{n}$ and measures each qubit as follows:
- If $b_{i}^{\prime}=0$ then measure qubit in basis $\{|0\rangle,|1\rangle\}$, yielding outcome $a_{i}^{\prime}$
- If $b_{i}^{\prime}=1$ then measure qubit in basis $\{|+\rangle,|-\rangle\}$, yielding outcome $a_{i}^{\prime}$


## BB84

- Note:
- If $b_{i}^{\prime}=b_{i}$ then $a_{i}^{\prime}=a_{i}$
- If $b_{i}^{\prime} \neq b_{i}$ then $\operatorname{Pr}\left[a_{i}^{\prime}=a_{i}\right]=1 / 2$
- Bob informs Alice when he has performed
 his measurements (using the public channel)
- Next, Alice reveals $b$ and Bob reveals $b^{\prime}$ over the public channel
- They discard the cases where $b_{i}^{\prime} \neq b_{i}$ and they will use the remaining bits of $a$ and $a^{\prime}$ to produce the key
- Note:
- If Eve did not disturb the qubits then the key can be just $a$ (= $a^{\prime}$ )
- The interesting case is where Eve may tamper with $|\psi\rangle$ while it is sent from Alice to Bob


## BB84

- Intuition:
- Eve cannot acquire information about $|\psi\rangle$ without disturbing it, which will cause some of the bits of $a$ and $a^{\prime}$ to disagree
- It can be proven* that: the more information Eve acquires about $a$, the more bit positions of $a$ and $a^{\prime}$ will be different
- From Alice and Bob's remaining bits, $a$ and $a^{\prime}$ (where the positions where $b_{i}^{\prime} \neq b_{i}$ have already been discarded):
- They take a random subset and reveal them in order to estimate the fraction of bits where $a$ and $a^{\prime}$ disagree
- If this fraction is not too high then they proceed to distill a key from the bits of $a$ and $a^{\prime}$ that are left over (around $n / 4$ bits)


## BB84

- If the error rate between $a$ and $a^{\prime}$ is below some threshold (around 11\%) then Alice and Bob can produce a good key using techniques from classical cryptography:
- Information reconciliation ("distributed error correction"): to produce shorter $a$ and $a^{\prime}$ such that (i) $a=a^{\prime}$, and (ii) Eve doesn't acquire much information about $a$ and $a^{\prime}$ in the process
- Privacy amplification: to produce shorter $a$ and $a^{\prime}$ such that Eve's information about $a$ and $a^{\prime}$ is very small
- There are already commercially available implementations of BB84, though assessing their true security is a subtle matter (since their physical mechanisms are not ideal)


## the story of bit-commitment

## Bit-commitment

bit $b$


- Alice has a bit $b$ that she wants to commit to Bob:
- After the commit stage, Bob should know nothing about $b$, but Alice should not be able to change her mind
- After the de-commit stage, either:
- Bob should learn $b$ and accept its value, or
- Bob should reject Alice's de-commitment messages, if she deviates from the protocol


## Simple physical implementation

- Commit: Alice writes $b$ down on a piece of paper, locks it in a safe, sends the safe to Bob, but keeps the key
- De-commit: Alice sends the key to Bob, who then opens the safe
- Desireable properties:
- Binding: Alice cannot change $b$ after commit
- Concealing: Bob learns nothing about $b$ until de-commit

Question: why should anyone care about bit-commitment?
Answer: it is a useful primitive operation for other protocols, such as zero-knowledge proofs of language-membership

## Complexity-theoretic implementation

Based on a one-way function $f:\{0,1\}^{n} \rightarrow\{0,1\}^{n}$ and a hard-predicate $h:\{0,1\}^{n} \rightarrow\{0,1\}$ for $f$

Commit: Alice picks a random $x \in\{0,1\}^{n}$, sets $y=f(x)$ and $c=b \oplus h(x)$ and then sends $y$ and $c$ to Bob

De-commit: Alice sends $x$ to Bob, who verifies that $y=f(x)$ and then sets $b=c \oplus h(x)$

This is (i) perfectly binding and (ii) computationally concealing, based on the hardness of predicate $h$

## Quantum implementation

- Inspired by the success of QKD, one can try to use the properties of quantum mechanical systems to design an information-theoretically secure bit-commitment scheme
- One simple idea:
- To commit to 0 , Alice sends a random sequence from $\{|0\rangle,|1\rangle\}$
- To commit to 1, Alice sends a random sequence from $\{|+\rangle,|-\rangle\}$
- Bob measures each qubit received in a random basis
- To de-commit, Alice tells Bob exactly which states she sent in the commitment stage (by sending its index $00,01,10$, or 11 ), and Bob checks for consistency with his measurement results
- A paper appeared in 1993 proposing a quantum bitcommitment scheme and a proof of security


## Quantum implementation

- Not only was the 1993 scheme shown to be insecure, but it was later shown that no such scheme can exist
- To understand the impossibility proof, recall the Schmidt decomposition:
Let $|\psi\rangle$ be any bipartite quantum state:
$|\psi\rangle=\sum_{\substack{x \in X \\ y \in \gamma}} \alpha_{x, y}|x\rangle|y\rangle$
Then there exist orthonormal states
$\left|\mu_{1}\right\rangle,\left|\mu_{2}\right\rangle, \ldots,\left|\mu_{m}\right\rangle$ and $\left|\varphi_{1}\right\rangle,\left|\varphi_{2}\right\rangle, \ldots,\left|\varphi_{m}\right\rangle$ such that
$|\psi\rangle=\sum_{z \in Z} \beta_{z}\left|\mu_{z}\right\rangle\left|\varphi_{z}\right\rangle$
[Mayers '96][Lo \& Chau '96]
Eigenvectors of $\mathrm{Tr}_{1}|\psi\rangle\langle\psi|$


## Quantum implementation

- Corollary: if $\left|\psi_{0}\right\rangle,\left|\psi_{1}\right\rangle$ are such that $\operatorname{Tr}_{1}\left|\psi_{0}\right\rangle\left\langle\psi_{0}\right|=\operatorname{Tr}_{1}\left|\psi_{1}\right\rangle\left\langle\psi_{1}\right|$ then there exists a unitary $U$ (acting on the first register) such that $(U \otimes I)\left|\psi_{0}\right\rangle=\left|\psi_{1}\right\rangle$
- Proof:

$$
\begin{aligned}
& \left|\psi_{0}\right\rangle=\sum_{z \in Z} \beta_{z}\left|\mu_{z}\right\rangle\left|\varphi_{z}\right\rangle \quad \text { and } \quad\left|\psi_{1}\right\rangle=\sum_{z \in Z} \beta_{z}\left|\mu_{z}^{\prime}\right\rangle\left|\varphi_{z}\right\rangle \\
& \text { Let } U\left|\mu_{z}\right\rangle=\left|\mu_{z}^{\prime}\right\rangle
\end{aligned}
$$

- Protocol can be "purified" so that Alice's commit states are $\left|\psi_{0}\right\rangle \&\left|\psi_{1}\right\rangle$ (where she sends the second register to Bob)
- By applying $U$ to her register, Alice can change her commitment from $b=0$ to $b=1$ (by changing $\left|\psi_{0}\right\rangle$ to $\left|\psi_{1}\right\rangle$ )


