Announcements

- Homework #1 graded
  - Pick up graded homework from my office
  - Verify your grades on Blackboard
- Discussion session 4-4:40pm this Friday (Sep. 23) in D106
- Homework #2 due in 5 days
- Project milestone #1 due in 7 days

Motivation

- Pros and cons of SQL
  - Very high-level, possible to optimize
  - Not intended for general-purpose computation
- Solutions
  - Augment SQL with constructs from general-purpose programming languages (SQL/PSM)
  - Use SQL together with general-purpose programming languages (JDBC, embedded SQL, etc.)

Impedance mismatch and a solution

- SQL operates on a set of records at a time
- Typical low-level general-purpose programming languages operates on one record at a time
- Solution: cursor
  - Open (a table or a result table): position the cursor just before the first row
  - Get next: move the cursor to the next row and return that row; raise a flag if there is no such row
  - Close: clean up and release DBMS resources
- Found in virtually every database language/API (with slightly different syntaxes)
- Some support more cursor positioning and movement options, modification at the current cursor position (analogous to the view update problem), etc.

Augmenting SQL: SQL/PSM

- PSM = Persistent Stored Modules
- CREATE PROCEDURE proc_name ( parameter_declarations )
  local_declarations
  procedure_body;
- CREATE FUNCTION func_name ( parameter_declarations )
  RETURNS return_type
  local_declarations
  procedure_body;
- CALL proc_name ( parameters );
- Inside procedure body:
  SET variable = CALL func_name ( parameters );

SQL/PSM example

CREATE FUNCTION SetMaxGPA(IN newMaxGPA FLOAT)
RETURNS INT
BEGIN
  DECLARE rowsUpdated INT DEFAULT 0;
  DECLARE thisGPA FLOAT;
  -- A cursor to range over all students:
  DECLARE studentCursor CURSOR FOR
    SELECT GPA FROM Student
    FOR UPDATE;
  -- Set a flag whenever there is a "not found" exception:
  DECLARE noMoreRows INT DEFAULT 0;
  DECLARE CONTINUE HANDLER FOR NOT FOUND
    SET noMoreRows = 1;
  … (see next slide) …
  RETURN rowsUpdated;
END
SQL/PSM example continued

```sql
OPEN studentCursor;
FETCH FROM studentCursor INTO thisGPA;
WHILE noMoreRows <> 1 DO
  IF thisGPA > newMaxGPA THEN
    UPDATE Student SET Student.GPA = newMaxGPA
    WHERE CURRENT OF studentCursor;
    SET rowsUpdated = rowsUpdated + 1;
  END IF;
  FETCH FROM studentCursor INTO thisGPA;
END WHILE;
CLOSE studentCursor;
```

Other SQL/PSM features

- Assignment using scalar query results
  - SELECT INTO
- Other loop constructs
  - FOR, REPEAT UNTIL, LOOP
- Flow control
  - GOTO
- Exceptions
  - SIGNAL, RESIGNAL

Interfacing SQL with another language

- **API approach**
  - SQL commands are sent to the DBMS at runtime
  - Examples: JDBC, ODBC (for C/C++/VB), Perl DBI
  - These API's are all based on the SQL/CLI (Call-Level Interface) standard
- **Embedded SQL approach**
  - SQL commands are embedded in application code
  - A precompiler checks these commands at compile-time and converts them into DBMS-specific API calls
  - Examples: embedded SQL for C/C++, SQLJ for Java

Example API: JDBC

- JDBC (Java DataBase Connectivity) is an API that allows a Java program to access databases
  ```java
  import java.sql.*;
  public class … {
    static {
      // Load the JDBC driver:
      Class.forName("COM.ibm.db2.jdbc.net.DB2Driver");
    }
    …
  }
  
  // Connection URL is a DBMS-specific string:
  String url = "jdbc:db2://rack40.cs.duke.edu/dbcourse";
  // Making a connection:
  Connection con = DriverManager.getConnection(url);
  …
  // Closing a connection:
  con.close();
  …
  
  // Create an object for sending SQL statements:
  Statement stmt = con.createStatement();
  // Execute a query and get its results:
  ResultSet rs = stmt.executeQuery("SELECT SID, name FROM Student");
  // Work on the results:
  …
  // Execute a modification (returns the number of rows affected):
  int rowsUpdated = stmt.executeUpdate("UPDATE Student SET name = 'Barney' WHERE SID = 142");
  // Close the statement:
  stmt.close();
  ...
  ```

Connections

```java
// Connection URL is a DBMS-specific string:
String url = "jdbc:db2://rack40.cs.duke.edu/dbcourse";
// Making a connection:
Connection con = DriverManager.getConnection(url);
…
// Closing a connection:
con.close();
…
```

Statements

```java
// Create an object for sending SQL statements:
Statement stmt = con.createStatement();
// Execute a query and get its results:
ResultSet rs = stmt.executeQuery("SELECT SID, name FROM Student");
// Work on the results:
…
// Execute a modification (returns the number of rows affected):
int rowsUpdated = stmt.executeUpdate("UPDATE Student SET name = 'Barney' WHERE SID = 142");
// Close the statement:
stmt.close();
…
```
Query results

```java
// Execute a query and get its results:
ResultSet rs =
    stmt.executeQuery("SELECT SID, name FROM Student");
// Loop through all result rows:
while (rs.next()) {
    // Get column values:
    int sid = rs.getInt(1);
    String name = rs.getString(2);
    // Work on sid and name:
}
// Close the ResultSet:
rs.close();
```

Other ResultSet features

- Move the cursor (pointing to the current row) backwards and forwards, or position it anywhere within the ResultSet
- Update/delete the database row corresponding to the current result row
  - Analogous to the view update problem
- Insert a row into the database
  - Analogous to the view update problem

Prepared statements: motivation

```java
Statement stmt = con.createStatement();
for (int age=0; age<100; age+=10) {
    ResultSet rs = stmt.executeQuery
        ("SELECT AVG(GPA) FROM Student" +
         " WHERE age >= " + age + " AND age < " + (age+10));
    // Work on the results:
}
```

- Every time an SQL string is sent to the DBMS, the DBMS must perform parsing, semantic analysis, optimization, compilation, and then finally execution
- These costs are incurred 10 times in the above example, even though all strings are essentially the same query (with different parameter values)

Prepared statements: syntax

```java
// Prepare the statement, using ? as placeholders for actual parameters:
PreparedStatement stmt = con.prepareStatement
    ("SELECT AVG(GPA) FROM Student WHERE age >= ? AND age < ?");
for (int age=0; age<100; age+=10) {
    // Set actual parameter values:
    stmt.setInt(1, age);
    stmt.setInt(2, age+10);
    ResultSet rs = stmt.executeQuery();
    // Work on the results:
}
```

- The DBMS performs parsing, semantic analysis, optimization, and compilation only once, when it prepares the statement
- At execution time, the DBMS only needs to check parameter types and validate the compiled execution plan

Transaction processing

- Set isolation level for the current transaction
  - `con.setTransactionIsolationLevel();`
  - Where `l` is one of `TRANSACTION_SERIALIZABLE` (default), `TRANSACTION_REPEATABLE_READ`, `TRANSACTION_READ_COMMITTED`, and `TRANSACTION_READ_UNCOMMITTED`
- Set the transaction to be read-only or read/write (default)
  - `con.setReadOnly(true|false);`
- Turn on/off AUTOCOMMIT (commits every single statement)
  - `con.setAutoCommit(true|false);`
- Commit/rollback the current transaction (when AUTOCOMMIT is off)
  - `con.commit();`
  - `con.rollback();`

Odds and ends of JDBC

- Most methods can throw `SQLException`
  - Make sure your code catches them
  - `getSQLState()` returns the standard SQL error code
  - `getMessage()` returns the error message
- Methods for examining metadata in databases
- Methods to retrieve the value of a column for all result rows into an array without calling ResultSet.next() in a loop
- Methods to construct and execute a batch of SQL statements together
  - ...
JDBC drivers – Types I, II

- Type I (bridge): translate JDBC calls to a standard API not native to the DBMS (e.g., JDBC-ODBC bridge)
  - Driver is easy to build using existing standard API's
  - Extra layer of API adds overhead
- Type II (native API, partly Java): translates JDBC calls to DBMS-specific client API calls
  - DBMS-specific client library needs to be installed on each client
  - Good performance

JDBC drivers – Types III, IV

- Type III (network bridge): sends JDBC requests to a middleware server which in turn communicates with a database
  - Client JDBC driver is completely Java, easy to build, and does not need to be DBMS-specific
  - Middleware adds translation overhead
- Type IV (native protocol, full Java): converts JDBC requests directly to native network protocol of the DBMS
  - Client JDBC driver is completely Java but is also DBMS-specific
  - Good performance

Embedded C example

```c
/* Declare variables to be "shared" between the application
   and the DBMS: */
EXEC SQL BEGIN DECLARE SECTION;
int thisSID; float thisGPA;
EXEC SQL END DECLARE SECTION;

/* Declare a cursor: */
EXEC SQL DECLARE CPS116Student CURSOR FOR
    SELECT SID, GPA FROM Student
    WHERE SID IN
        (SELECT SID FROM Enroll WHERE CID = 'CPS116')
    FOR UPDATE;

/* Open the cursor: */
EXEC SQL OPEN CPS116Student;

/* Specify exit condition: */
EXEC SQL WHENEVER NOT FOUND DO break;

/* Loop through result rows: */
while (1) {
    /* Get column values for the current row: */
    EXEC SQL FETCH CPS116Student INTO :thisSID, :thisGPA;
    printf("SID %d: current GPA is %f\n", thisSID, thisGPA);
    /* Update GPA: */
    printf("Enter new GPA: ");
    scanf("%f", &thisGPA);
    EXEC SQL UPDATE Student SET GPA = :thisGPA
        WHERE CURRENT OF CPS116Student;
}

/* Close the cursor: */
EXEC SQL CLOSE CPS116Student;
```

Pros and cons of embedded SQL

- Pros
  - More compile-time checking (syntax, type, schema, …)
  - Code could be more efficient (if the embedded SQL statements do not need to checked and recompiled at run-time)
- Cons
  - DBMS-specific
    - Vendors have different precompilers which translate code into different native API's
    - Application executable is not portable (although code is)
    - Application cannot talk to different DBMS at the same time

Pros and cons of augmenting SQL

- Cons
  - Already too many programming languages
  - SQL is already too big
  - General-purpose programming constructs complicate optimization make it difficult to tell if code running inside the DBMS is safe
  - At some point, one must recognize that SQL and the DBMS engine are not for everything!
- Pros
  - More sophisticated stored procedures and triggers
  - More application logic can be pushed closer to data