SQL: Transactions

CPS 116
Introduction to Database Systems

Announcements (October 2)
- Project milestone #1 due in 1½ weeks
- Midterm in class this Thursday
- Open book, open notes
- Format similar to the sample midterm
- Covers everything up to today’s lecture
- Emphasizes materials exercised in homeworks
- Sample solutions to sample midterm and Homework #2 available

Transactions
- A transaction is a sequence of database operations with the following properties (ACID):
  - Atomic: Operations of a transaction are executed all-or-nothing, and are never left “half-done”
  - Consistency: Assume all database constraints are satisfied at the start of a transaction, they should remain satisfied at the end of the transaction
  - Isolation: Transactions must behave as if they were executed in complete isolation from each other
  - Durability: If the DBMS crashes after a transaction commits, all effects of the transaction must remain in the database when DBMS comes back up

SQL transactions
- A transaction is automatically started when a user executes an SQL statement
- Subsequent statements in the same session are executed as part of this transaction
  - Statements see changes made by earlier ones in the same transaction
  - Statements in other concurrently running transactions do not see these changes
- COMMIT command commits the transaction
  - Its effects are made final and visible to subsequent transactions
- ROLLBACK command aborts the transaction
  - Its effects are undone

Fine prints
- Schema operations (e.g., CREATE TABLE) implicitly commit the current transaction
  - Because it is often difficult to undo a schema operation
- Many DBMS support an AUTOCOMMIT feature, which automatically commits every single statement
  - For DB2:
    - db2 command-line processor turns it on by default
    - You can turn it off with option +c
  - More examples to come when we cover database API’s

Atomicity
- Partial effects of a transaction must be undone when
  - User explicitly aborts the transaction using ROLLBACK
    - E.g., application asks for user confirmation in the last step and issues COMMIT or ROLLBACK depending on the response
  - The DBMS crashes before a transaction commits
- Partial effects of a modification statement must be undone when any constraint is violated
  - However, only this statement is rolled back; the transaction continues
- How is atomicity achieved?
  - Logging (to support undo)
Durability

- Effects of committed transactions must survive DBMS crashes
- How is durability achieved?
  - Forcing all changes to disk at the end of every transaction?
    - Too expensive: DBMS manipulates data in memory
  - Logging (to support redo)

Consistency

- Consistency of the database is guaranteed by constraints and triggers declared in the database and/or transactions themselves
  - Whenever inconsistency arises, abort the statement or transaction, or (with deferred constraint checking or application-enforced constraints) fix the inconsistency within the transaction

Isolation

- Transactions must appear to be executed in a serial schedule (with no interleaving operations)
- For performance, DBMS executes transactions using a serializable schedule
  - In this schedule, operations from different transactions can interleave and execute concurrently
  - But the schedule is guaranteed to produce the same effects as a serial schedule
- How is isolation achieved?
  - Locking, multi-version concurrency control, etc.

SQL isolation levels

- Strongest isolation level: SERIALIZABLE
  - Complete isolation
  - SQL default
- Weaker isolation levels: REPEATABLE READ, READ COMMITTED, READ UNCOMMITTED
  - Increase performance by eliminating overhead and allowing higher degrees of concurrency
  - Trade-off: sometimes you get the “wrong” answer

READ UNCOMMITTED

- Can read “dirty” data
  - A data item is dirty if it is written by an uncommitted transaction
- Problem: What if the transaction that wrote the dirty data eventually aborts?
- Example: wrong average
  - -- T1: -- T2:
    UPDATE Student
    SET GPA = 3.0
    WHERE SID = 142;
    SELECT AVG(GPA)
    FROM Student;
    ROLLBACK;
    COMMIT;

READ COMMITTED

- No dirty reads, but non-repeatable reads possible
  - Reading the same data item twice can produce different results
- Example: different averages
  - -- T1: -- T2:
    SELECT AVG(GPA)
    FROM Student;
    UPDATE Student
    SET GPA = 3.0
    WHERE SID = 142;
    COMMIT;
    SELECT AVG(GPA)
    FROM Student;
    COMMIT;
**REPEATABLE READ**

- Reads are repeatable, but may see phantoms
- Example: different average (still!)
  - -- T1:
    - SELECT AVG(GPA) FROM Student;
  - INSERT INTO Student VALUES(789, 'Nelson', 10, 1.0);
  - COMMIT;
  - SELECT AVG(GPA) FROM Student;
  - COMMIT;

**Summary of SQL isolation levels**

<table>
<thead>
<tr>
<th>Isolation Level</th>
<th>Dirty reads</th>
<th>Non-repeatable reads</th>
<th>Phantoms</th>
</tr>
</thead>
<tbody>
<tr>
<td>READ UNCOMMITTED</td>
<td>Possible</td>
<td>Possible</td>
<td>Possible</td>
</tr>
<tr>
<td>READ COMMITTED</td>
<td>Impossible</td>
<td>Possible</td>
<td>Possible</td>
</tr>
<tr>
<td>REPEATABLE READ</td>
<td>Impossible</td>
<td>Impossible</td>
<td>Possible</td>
</tr>
<tr>
<td>SERIALIZABLE</td>
<td>Impossible</td>
<td>Impossible</td>
<td>Impossible</td>
</tr>
</tbody>
</table>

- Syntax: At the beginning of a transaction, `SET TRANSACTION ISOLATION LEVEL isolation_level {READ ONLY|READ WRITE};`
- `READ UNCOMMITTED` can only be `READ ONLY`

**Transactions in programming (JDBC)**

- Set isolation level for the current transaction
  - `con.setTransactionIsolationLevel(READ ONLY|READ WRITE);`
  - `TRANSACTION_SERIALIZABLE` (default),`TRANSACTION_REPEATABLE_READ`,`TRANSACTION_READ_COMMITTED`, and `TRANSACTION_READ_UNCOMMITTED`.
- Set the transaction to be read-only or read/write (default)
  - `con.setReadOnly(true|false);`
- Turn on/off `AUTOCOMMIT` (commits every single statement)
  - `con.setAutoCommit(true|false);`
- Commit/rollback the current transaction (when `AUTOCOMMIT` is off)
  - `con.commit();`
  - `con.rollback();`

**ANSI isolation levels are lock-based**

- `READ UNCOMMITTED`
  - Short-duration locks: lock, access, release immediately
- `READ COMMITTED`
  - Long-duration write lock: do not release write locks until commit
- `REPEATABLE READ`
  - Long-duration locks on all data items accessed
- `SERIALIZABLE`
  - Lock ranges to prevent insertion as well

**An isolation level not based on locks**

- Snapshot isolation
  - Based on multiversion concurrency control
  - Available in Oracle, PostgreSQL, MS SQL Server, etc.
  - How it works
    - Transaction X performs its operations on a private snapshot of the database taken at the start of X
    - X can commit only if it does not write any data that has been also written by a transaction committed after the start of X
  - Avoids all ANSI anomalies
  - But is NOT equivalent to `SERIALIZABLE` because of write skew anomaly

**Write skew example**

- Constraint: combined balance $A + B \geq 0$
- $A = 100$, $B = 100$
- $T_1$ checks $A + B - 200 \geq 0$, and then proceeds to withdraw 200 from $A$
- $T_2$ checks $A + B - 200 \geq 0$, and then proceeds to withdraw 200 from $B$
- Possible under snapshot isolation because the writes (to $A$ and to $B$) do not conflict
- But $A + B = -200 < 0$ afterwards!
Bottom line

- Group reads and dependant writes into a transaction in your applications
  - E.g., enrolling a class, booking a ticket

- Anything less than SERIALABLE is potentially very dangerous
  - Use only when performance is critical
  - READ ONLY makes weaker isolation levels a bit safer