A few words about myself (and databases)

- Have been doing (and enjoying) research in databases ever since grad school (1995)
  - Didn’t take any database course as an undergrad
- Now, why would you want to take 316?
  - It’s not really about databases per se—it’s about principles of data management
- E.g., Google might not care if you know SQL, but…
  - They still ask you “big data” questions in interviews
  - Brin was a grad student in the Stanford Database Group

Trend: Moore’s Law reversed

- Moore’s Law: Processing power doubles every 18 months
- Amount of data doubles every 9 months
  - Disk sales (# of bits) doubles every 9 months
  - Parkinson’s Law: Data expands to fill the space available for storage
  - As of 2009, Facebook ingests 15 terabytes of data per day and maintains a 2.5-petabyte data warehouse
  - CERN’s Large Hadron Collider will produce 15 petabytes per year
- Moore’s Law reversed: Time to process all data doubles every 18 months!
- Does your attention span double every 18 months?
  - No, so we need smarter data management techniques
Democratizing data (and analysis)

- And it’s not just about money and science
- Democratization of data: more data—relevant to you and the society—are becoming available
  - “Government in the sunshine”: spending reports, school performance, crime reports, corporate filings, campaign contributions, …
  - “Smart planet”: sensors for phones and cars, roads and bridges, buildings and forests, …
- But few people know how to analyze them
- You will learn how to help bridge this divide

Misc. course information

- Website: http://www.cs.duke.edu/courses/fall13/compsci316/
  - Course information; tentative syllabus and reference sections in the book, lecture slides, assignments, programming notes
- Gradiance: see course website for sign-up information
- Programming: free, personal virtual machines in the cloud, courtesy of Microsoft Azure
- Sakai: for grades only
- Mailing list: compsci316@cs.duke.edu
  - Messages of general interest only
- No “official” recitation sessions; help sessions for assignments, project, and exams to be scheduled
- TA: Risi Thonangi

Grading

<table>
<thead>
<tr>
<th>Percentage Range</th>
<th>Grade</th>
</tr>
</thead>
<tbody>
<tr>
<td>90%, 100%</td>
<td>A- / A / A+</td>
</tr>
<tr>
<td>80%, 90%</td>
<td>B- / B / B+</td>
</tr>
<tr>
<td>70%, 80%</td>
<td>C- / C / C+</td>
</tr>
<tr>
<td>60%, 70%</td>
<td>D</td>
</tr>
<tr>
<td>0%, 60%</td>
<td>F</td>
</tr>
</tbody>
</table>

- No “curves”
- Scale may be adjusted downwards (i.e., grades upwards) if, for example, an exam is too difficult
- Scale will not go upwards—mistake would be mine alone if I made an exam too easy
Course load
- Four homework assignments (35%)
  - Including Gradiance as well as additional written and programming problems
- Course project (25%)
  - Details to be given in the third week of class
- Midterm and final (20% each)
  - Open book, open notes
  - Final is comprehensive, but emphasizes the second half of the course

Duke Community Standard
- See course website for link
- Group discussion for assignments is okay (and encouraged), but
  - Acknowledge any help you receive from others
  - Make sure you “own” your solution
- All suspected cases of violation will be aggressively pursued

Example past projects from last year
- Pickup Coordinator: an iPhone app that lets you coordinate carpool/pickups with others
  - Adam Cue, Kevin Esoda, Kate Yang
- Mobile Pay: quick way to make a transaction between two people on their phones
  - Michael Deng, Kevin Gao, Derek Zhou
- NoteFlote: a website to share notes for classes
  - William Chen, Jane Li, Andrew Shim, Antares Yee
More past examples

- Chumchi: a social website with relevant feeds
  - Kirill Klimuk (also PickyU), 2011
- FriendsTracker app: where are my friends?
  - Anthony Lin, Jimmy Mu, Austin Benesh, Nic Dinkins, 2011
- ePrint iPhone app
  - Ben Gerson and Lucas Best, 2009
- Making iTunes social
  - Nick Patrick, 2006; Peter Williams and Nikhil Arun, 2009
- Duke Schedulator: ditch ACES—plan schedules visually!
  - Alex Beutel, 2008
- SensorDB: manage/clean/visualize sensor data from Duke Forest
- Facebook+
  - Tyler Brock and Beth Trushkowsky, 2005
- Web-based K-ville tenting management
  - Zach Marshall, 2005

So, what is a database system?

From Oxford Dictionary:

- Database: an organized body of related information
- Database system, DataBase Management System (DBMS): a software system that facilitates the creation and maintenance and use of an electronic database

What do you want from a DBMS?

- Keep data around (persistent)
- Answer questions (queries) about data
- Update data

Example: a traditional banking application

- Data: Each account belongs to a branch, has a number, an owner, a balance, …; each branch has a location, a manager, …
- Persistency: Balance can’t disappear after a power outage
- Query: What’s the balance in Homer Simpson’s account? What’s the difference in average balance between Springfield and Capitol City accounts?
- Modification: Homer withdraws $100; charge accounts with lower than $500 balance a $5 fee
Sounds simple!

- ASCII file
- Accounts/branches separated by newlines
- Fields separated by #'s

Query

- What’s the balance in Homer Simpson’s account?
  - A simple script
    - Scan through the accounts file
    - Look for the line containing “Homer Simpson”
    - Print out the balance

Query processing tricks

- Tens of thousands of accounts are not Homer’s

- What happens when the query changes to: What’s the balance in account 00142-00857?
Observations

- Tons of tricks (not only in storage and query processing, but also in concurrency control, recovery, etc.)
- Different tricks may work better in different usage scenarios (example?)
- Same tricks get used over and over again in different applications

The birth of DBMS – 1

(Figure from Hans-J. Schek’s VLDB 2000 slides)

The birth of DBMS – 2

(Figure from Hans-J. Schek’s VLDB 2000 slides)
The birth of DBMS – 3

(Figure from Hans-J. Schek’s VLDB 2000 slides)

Early efforts

- "Factoring out" data management functionalities from applications and standardizing these functionalities is an important first step
  - CODASYL standard (circa 1960’s)
  - Bachman got a Turing award for this in 1973
- But getting the abstraction right (the API between applications and the DBMS) is still tricky

CODASYL

- Query: Who have accounts with 0 balance managed by a branch in Springfield?
- Pseudo-code of a CODASYL application:
  
  Use index on account(balance) to get accounts with 0 balance;
  For each account record:
  Get the branch id of this account;
  Use index on branch(id) to get the branch record;
  If the branch record's location field reads "Springfield":
  Output the owner field of the account record.

- Programmer controls “navigation”: accounts → branches
  - How about branches → accounts?
What's wrong?

- The best navigation strategy & the best way of organizing the data depend on data/workload characteristics
- With the CODASYL approach
  - To write correct code, programmers need to know how data is organized physically (e.g., which indexes exist)
  - To write efficient code, programmers also need to worry about data/workload characteristics
  - Can’t cope with changes in data/workload characteristics

The relational revolution (1970’s)

- A simple data model: data is stored in relations (tables)
- A declarative query language: SQL
  ```sql
  SELECT Account.owner
  FROM Account, Branch
  WHERE Account.balance = 0
  AND Branch.location = 'Springfield'
  AND Account.branch_id = Branch.branch_id;
  ```
- Programmer specifies what answers a query should return, but not how the query is executed
- DBMS picks the best execution strategy based on availability of indexes, data/workload characteristics, etc.
- Provides physical data independence

Physical data independence

- Applications should not need to worry about how data is physically structured and stored
- Applications should work with a logical data model and declarative query language
- Leave the implementation details and optimization to DBMS
- The single most important reason behind the success of DBMS today
  - And a Turing Award for E. F. Codd in 1981
Standard DBMS features

- Persistent storage of data
- Logical data model; declarative queries and updates → physical data independence
  - Relational model is the dominating technology today
  - XML has been a hot wanna-be
- What else?

DBMS is multi-user

- Example
  ```plaintext
  get account balance from database;
  if balance > amount of withdrawal then
    balance = balance - amount of withdrawal;
    dispense cash;
    store new balance into database;
  Homer at ATM1 withdraws $100
  Marge at ATM2 withdraws $50
  Initial balance = $400, final balance = ?
  - Should be $250 no matter who goes first
  ```

Final balance = $300

Homer withdraws $100:  Marge withdraws $50:
```plaintext
read balance; $400
read balance; $400
if balance > amount then
if balance > amount then
  balance = balance - amount; $350
  balance = balance - amount; $350
write balance; $350
write balance; $350
if balance = amount then
if balance = amount then
  balance = balance - amount; $300
  balance = balance - amount; $300
write balance; $300
write balance; $300
```
Final balance = $350

Homer withdraws $100: Marge withdraws $50:
read balance; $400
if balance > amount then
    balance = balance - amount; $300
write balance; $300
if balance > amount then
    balance = balance - amount; $350
write balance; $350

Concurrency control in DBMS

- Similar to concurrent programming problems?
  - But data not main-memory variables
- Similar to file system concurrent access?
  - Approach taken by MySQL in the old days
    (Fun reading: http://openacs.org/philosophy/why-not-mysql.html)
  - Still used by SQLite (as of Version 3)
  - But want to control at much finer granularity
    - Or else one withdrawal would lock up all accounts!

Recovery in DBMS

- Example: balance transfer
decrement the balance of account X by $100;
increment the balance of account Y by $100;
- Scenario 1: Power goes out after the first instruction
- Scenario 2: DBMS buffers and updates data in memory (for efficiency); before they are written back to disk, power goes out
- How can DBMS deal with these failures?
Summary of standard DBMS features

- Persistent storage of data
- Logical data model; declarative queries and updates → physical data independence
- Multi-user concurrent access
- Safety from system failures
- Performance, performance, performance
  - Massive amounts of data (terabytes ~ petabytes)
  - High throughput (thousands ~ millions transactions per minute)
  - High availability (≥ 99.999% uptime)

Major DBMS today

- Oracle
- IBM DB2 (from System R, System R*, Starburst)
- Microsoft SQL Server
- SAP HANA
- Teradata
- Sybase (acquired by SAP)
- Informix (acquired by IBM)
- PostgreSQL (from UC Berkeley’s Ingres, Postgres)
- Tandem NonStop (acquired by Compaq, now HP)
- MySQL (acquired by Sun, then Oracle)
  - SQLite
  - Microsoft Access
  - BerkeleyDB (acquired by Oracle)

DBMS architecture today

- Much of the OS is bypassed for performance and safety
- We will be filling in many details for the DBMS box
AYBABTU?

“Us” = relational databases

- Most data is not in them!
  - Personal data, web, scientific data, system data, …

- “NoSQL” movement
  - Less structure, less consistency
  - More flexibility, more availability, more scalability
  - E.g., MongoDB, Cassandra, BigTable, HBase, …

- This course will look beyond relational databases

Course components

- Relational databases
  - Relational algebra, database design, SQL, app programming

- XML
  - Data model and query languages, app programming, interplay between XML and relational databases

- Database internals
  - Storage, indexing, query processing and optimization, concurrency control and recovery

- Topics beyond databases (TBD)
  - Data warehousing and data mining, Web search and indexing, MapReduce, etc.

Announcements (Tue. Aug. 27)

- No class this Thursday
  - TA and I are still at a database research conference
  - Little to no email access until Sunday

- On Tuesday (Sep. 3) we will start with relational model and algebra