Announcements (November 14)

- Homework #3 sample solution ready
- Project milestone #2 feedbacks by this weekend

A query’s trip through the DBMS

<table>
<thead>
<tr>
<th>SQL query</th>
<th>SELECT title, SID FROM Enroll, Course WHERE Enroll.CID = Course.CID;</th>
</tr>
</thead>
<tbody>
<tr>
<td>Parser</td>
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<td>Validator</td>
<td>Logical plan</td>
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<td>Executor</td>
<td>Result</td>
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</table>
Parsing and validation

- Parser: SQL → parse tree
  - Good old lex & yacc
  - Detect and reject syntax errors
- Validator: parse tree → logical plan
  - Detect and reject semantic errors
    - Nonexistent tables/views/columns?
    - Insufficient access privileges?
    - Type mismatches?
      - Examples: AVG(name), name + GPA, Student UNIOEnroll
    - Also
      - Expand *
      - Expand view definitions
  - Information required for semantic checking is found in system catalog (contains all schema information)

Logical plan

- Nodes are logical operators (often relational algebra operators)
- There are many equivalent logical plans

\[
\begin{align*}
\pi_{\text{title}} \\
\sigma_{\text{name}=\text{"Bart"}} \land \text{Student.SID} = \text{Enroll.SID} \land \text{Enroll.CID} = \text{Course.CID}
\end{align*}
\]

An equivalent plan:

\[
\begin{align*}
\pi_{\text{title}} \\
\sigma_{\text{name}=\text{"Bart"}} \land \text{Student.SID} = \text{Enroll.SID} \\
\text{Enroll.CID} = \text{Course.CID}
\end{align*}
\]

Physical (execution) plan

- A complex query may involve multiple tables and various query processing algorithms
  - E.g., table scan, index nested-loop join, sort-merge join, hash-based duplicate elimination…
- A physical plan for a query tells the DBMS query processor how to execute the query
  - A tree of physical plan operators
  - Each operator implements a query processing algorithm
  - Each operator accepts a number of input tables/streams and produces a single output table/stream
Examples of physical plans

```sql
SELECT Course.title
FROM Student, Enroll, Course
WHERE Student.name = 'Bart'
AND Student.SID = Enroll.SID AND Enroll.CID = Course.CID;
```

- Many physical plans for a single query
  - Equivalent results, but different costs and assumptions!
  - DBMS query optimizer picks the “best” possible physical plan

Physical plan execution

- How are intermediate results passed from child operators to parent operators?
  - Temporary files
    - Compute the tree bottom-up
    - Children write intermediate results to temporary files
    - Parents read temporary files
  - Iterators
    - Do not materialize intermediate results
    - Children pipeline their results to parents

Iterator interface

- Every physical operator maintains its own execution state and implements the following methods:
  - `open()`: Initialize state and get ready for processing
  - `getNext()`: Return the next tuple in the result (or a null pointer if there are no more tuples); adjust state to allow subsequent tuples to be obtained
  - `close()`: Clean up
An iterator for table scan

- **State:** a block of memory for buffering input $R$; a pointer to a tuple within the block
- **open():** allocate a block of memory
- **getNext():**
  - If no block of $R$ has been read yet, read the first block from the disk and return the first tuple in the block
  - On the null pointer if $R$ is empty
  - If there is no more tuple left in the current block, read the next block of $R$ from the disk and return the first tuple in the block
  - On the null pointer if there are no more blocks in $R$
  - Otherwise, return the next tuple in the memory block
- **close():** deallocate the block of memory

An iterator for nested-loop join

$R$: An iterator for the left subtree
$S$: An iterator for the right subtree

- **open()**
  - $R$.open(); $S$.open(); $r = R$.getNext();
- **getNext()**
  - do {
    - $s = S$.getNext();
    - if ($s == null$) {
      - $S$.close(); $S$.open(); $s = S$.getNext(); if ($s == null$) return null;
      - $r = R$.getNext(); if ($r == null$) return null;
    }
  } while ($r$ joins with $s$); return $rs$;
- **close()**
  - $R$.close(); $S$.close(); Is this tuple-based or block-based nested-loop join?

An iterator for 2-pass merge sort

- **open()**
  - Allocate a number of memory blocks for sorting
  - Call open() on child iterator
- **getNext()**
  - If called for the first time:
    - Call getNext() on child to fill all blocks, sort the tuples, and output a run
    - Repeat until getNext() on child returns null
    - Read one block from each run into memory, and initialize pointers to point to the beginning tuple of each block
  - Return the smallest tuple and advance the corresponding pointer; if a block is exhausted bring in the next block in the same run
- **close()**
  - Call close() on child
  - Deallocate sorting memory and delete temporary runs
Blocking vs. non-blocking iterators

- A blocking iterator must call `getNext()` exhaustively (or nearly exhaustively) on its children before returning its first output tuple
  - Examples:
- A non-blocking iterator expects to make only a few `getNext()` calls on its children before returning its first (or next) output tuple
  - Examples:

Execution of an iterator tree

- Call `root.open()`
- Call `root.getNext()` repeatedly until it returns null
- Call `root.close()`

  - Requests go down the tree
  - Intermediate result tuples go up the tree
  - No intermediate files are needed