Problem 1.

Consider an XML document (from a project called XMark) modeling the data maintained by an Internet auction site in /home/dbcourse/examples/xml-xmark/auction.xml. The main entities modeled are: items, persons, open auctions, closed auctions, and categories.

- **iteminfo** elements describe items that are for sale or that already have been sold. Each item carries a unique identifier and bears properties like payment method (credit card, money order, etc.), a reference to the seller, a description, etc., all encoded as subelements. Each item belongs to a world region represented by the iteminfo's parent.

- Open auctions are auctions in progress. Their properties include the bid history (i.e., increases over time) along with references to the bidders, a reference to the seller, a reference to the iteminfo being sold, etc.

- Closed auctions are auctions that are finished. Their properties include references to the seller and the buyer, a reference to the respective iteminfo, the price, the quantity of items sold, etc.

- Persons are characterized by name, email address, phone number, mail address, profile of their interests, a set of open auctions they watch, etc.

- Categories feature a name and a description; they are used to implement classification of items. A category graph links categories into a network.

The figure below illustrates the part of the document structure that is relevant to the problem:

```
<result>{doc("/home/dbcourse/examples/xml-xmark/auction.xml")}</result>
```

On rack40, use the following query with saxonnxq

```
<result>{doc("/home/dbcourse/examples/xml-xmark/auction.xml")}</result>
```

to get an overview of the document. Please refer to the document "XML Programming Notes" on the course Web site for instructions on running saxonnxq, the Saxon XQuery processor.
Write queries in XQuery to answer the following questions. Because Saxon does not have a sophisticated optimizer, query performance may be influenced by the way you write your queries. If a particular query takes forever to run, consider reordering loops and evaluating selections (filters) as early as possible.

For each question below, say (a), write your XQuery in a file named hw3-1-a.xquery, and generate the output file hw3-1-a.xml by running saxonxq hw3-1-a.xquery > hw3-1-a.xml. Turn in printout of all your .xquery and output .xml files. If the output file is too long, print out only the first page of the output.

(a) Find names of all items in “namerica” region.
(b) Find names of all items that belong to “category0.”
(c) Find names of all persons whose address is in “United States.”
(d) Find all buyers who paid for more than $50 in a closed auction.
(e) Find names of all person who has bidden in an open auction for an item whose name contains the string “cow.”
(f) Find names of all persons with address in “United States” who never bought anything in closed auctions.
(g) For each open auction whose seller’s name is “Venkatavasu Takano,” print out the following information:

```
<open_auction id="...">
   <bidders total_number="...">
      <bidder_name>...</bidder_name>
      <bidder_name>...</bidder_name>
      ...
   </bidders>
</open_auction>
```

Problem 2.

Consider an XML document describing a thesaurus (from http://zthes.z3950.org/) in /home/dbcourse/examples/xml-zthes/thatt.xml. The XML document contains a list of terms. Each term has a list of relations with other terms. For example, the following excerpt from thatt.xml means that the term INFORMATION ECONOMICS is related to terms INFORMATION, INFORMATION INDUSTRY, INFORMATION SYSTEMS, and INFORMATION THEORY:

```
<term>
   <termId>663</termId>
   <termName>INFORMATION ECONOMICS</termName>
   <termType>NT</termType>
   <relation>
      <relationType>BT</relationType>
      <termId>662</termId>
      <termName>INFORMATION</termName>
   </relation>
   <relation>
      <relationType>RT</relationType>
      <termId>664</termId>
   </relation>
</term>
```
The DTD for `thatt.xml` is `thatt.dtd`, in the same directory. The DTD contains more detailed information on the structure and meaning of `thatt.xml`.

(a) Notice that `thatt.xml` contains lots of redundancy. Within a `relation` element, the `termId` subelement specifies the id of the related `term` and `termName` specifies the name of the related `term`. However, using the value of `termId`, we can find the `term` element for the related `term` and look up its `termName`. Therefore, it is redundant to store `termName` under `relation`.

To remove this redundancy, and to capture the constraint that a `relation`'s `termId` must be a valid reference to some `term`'s `termId`, we have designed a new DTD in `thatt-new.dtd`. The idea is to make the `termId` of a `term` an attribute of type `ID`, and the `termId` of a `relation` an attribute of type `IDREF`. For example, the term element for `INFORMATION ECONOMICS` would be reformatted as:

```xml
<term id="term-663" name="INFORMATION ECONOMICS" type="NT">
  <relation type="BT" term="term-662"/>
  <relation type="RT" term="term-664"/>
  <relation type="RT" term="term-666"/>
  <relation type="RT" term="term-667"/>
</term>
```

Your job is to write a program to convert `thatt.xml` into the new format. Implement both of the following options:

1. Write an XQuery to perform the conversion.
2. Write an XSLT program to perform the conversion.

Please refer to the document "XML Programming Notes" on the course Web site for instructions on how to run XQuery and XSLT programs. You can test your code first on a smaller input (`thatt-short.xml`), and compare it with the sample output (`thatt-new-short.xml`). After you are done testing on the small document, test on `thatt.xml`, and validate the output with `thatt-new.dtd`. Instructions on how to validate an XML document can be found in "XML Programming Notes." Validation will automatically check the consistency of all IDREF's.

You must implement both options. For each option, turn in a printout of the source code and the first twenty term elements in the result of transforming `thatt.xml`. 
(b) Design and create a relation schema to store the thesaurus data using the schema-aware approach discussed in lecture. Your design should not have the redundancy described in (a). Populate the tables with the data from thett.xml. You should write a program or script to convert the data into flat files and import them into DB2. Do not use individual INSERT statements. The choice of programming/scripting language and XML API is up to you. Instructions on how to load a DB2 database can be found in the document “DB2 SQL Programming Notes” on the course Web site; examples can be found in /home/dbcourse/examples/db-/* directories.

Turn in the following:

- CREATE TABLE statements you used in creating the relational schema, with keys and foreign keys properly declared.
- Printout of the program/script you used to generate DB2 data files for loading.
- Printout of the script you used to import data files into DB2.
- Printout of the result of running the following query for each table you have loaded: SELECT * FROM table FETCH FIRST 10 ROWS ONLY;