Chapter 7.2

**Theorem** Given NPDA $M$ that accepts by final state, $\exists$ NPDA $M'$ that accepts by empty stack s.t. $L(M)=L(M')$.

- **Proof** (sketch)
  
  $M=(Q, \Sigma, \Gamma, \delta, q_0, z, F)$
  
  Construct $M'=(Q', \Sigma, \Gamma', \delta', q_s, z', F')$
Theorem For any CFL $L$ not containing $\lambda$, $\exists$ an NPDA $M$ s.t. $L = L(M)$.

- **Proof** (sketch)
  
  Given ($\lambda$-free) CFL $L$.
  
  $\Rightarrow \exists$ CFG $G$ such that $L = L(G)$.
  
  $\Rightarrow \exists G'$ in GNF, s.t. $L(G) = L(G')$.
  
  $G' = (V, T, S, P)$. All productions in $P$ are of the form:

  **Example:** Let $G' = (V, T, S, P)$, $P =$

  $S \rightarrow aSA \ | \ aAA \ | \ b$
  $A \rightarrow bBBB$
  $B \rightarrow b$
Theorem Given a NPDA M, ∃ a NPDA M’ s.t. all transitions have the form $\delta(q_i,a,A) = \{c_1, c_2, \ldots, c_n\}$ where

$$c_i = (q_j, \lambda)$$

or

$$c_i = (q_j, BC)$$

Each move either increases or decreases stack contents by a single symbol.

• Proof (sketch)
**Theorem** If \( L = L(M) \) for some NPDA \( M \), then \( L \) is a CFL.

- **Proof:** Given NPDA \( M \).

  First, construct an equivalent NPDA \( M' \) that will be easier to work with. Construct \( M' \) such that

  1. accepts if stack is empty
  2. each move increases or decreases stack content by a single symbol. (can only push 2 variables or no variables with each transition)

\[ M' = (Q, \Sigma, \Gamma, \delta, q_0, z, F) \]

Construct \( G = (V, \Sigma, S, P) \) where

- \( V = \{ (q, c)q_j | q_i, q_j \in Q, c \in \Gamma \} \)

\((q, c)q_j)\) represents “starting at state \( q_i \) the stack contents are \( cw, w \in \Gamma^* \), some path is followed to state \( q_j \) and the contents of the stack are now \( w\)”.

- Goal: \((q_0, z)q_f)\) which will be the start symbol in the grammar.

- Meaning: We start in state \( q_0 \) with \( z \) on the stack and process the input tape. Eventually we will reach the final state \( q_f \) and the stack will be empty. (Along the way we may push symbols on the stack, but these symbols will be popped from the stack).
Example:

$L(M)=\{aa^*b\}, \ M=(Q,\Sigma,\Gamma,\delta,q_0,z,F), \ Q=\{q_0,q_1,q_2,q_3\}, \ \Sigma=\{a,b\},\Gamma=\{A,z\},F=\{\}$. $M$ accepts by empty stack.

Construct the grammar $G=(V,T,S,P),$

$V=\{(q_0Aq_0), (q_0zq_0), (q_0Aq_1), (q_0zq_1), \ldots\}$

$T=\Sigma$

$S=(q_0zq_2)$
P=

From transition 1 \((q_0 A q_1) \rightarrow b\)

From transition 2 \((q_1 z q_2) \rightarrow \lambda\)

From transition 3 \((q_0 A q_3) \rightarrow a\)

From transition 4 \((q_0 z q_0) \rightarrow a(q_0 A q_0)(q_0 z q_0)|\)
\quad \quad \quad \quad a(q_0 A q_1)(q_1 z q_0)|\)
\quad \quad \quad \quad a(q_0 A q_2)(q_2 z q_0)|\)
\quad \quad \quad \quad a(q_0 A q_3)(q_3 z q_0)|\)
\quad \quad \quad \quad (q_0 z q_1) \rightarrow a(q_0 A q_0)(q_0 z q_1)|\)
\quad \quad \quad \quad a(q_0 A q_1)(q_1 z q_1)|\)
\quad \quad \quad \quad a(q_0 A q_2)(q_2 z q_1)|\)
\quad \quad \quad \quad a(q_0 A q_3)(q_3 z q_1)|\)
\quad \quad \quad \quad (q_0 z q_2) \rightarrow a(q_0 A q_0)(q_0 z q_2)|\)
\quad \quad \quad \quad a(q_0 A q_1)(q_1 z q_2)|\)
\quad \quad \quad \quad a(q_0 A q_2)(q_2 z q_2)|\)
\quad \quad \quad \quad a(q_0 A q_3)(q_3 z q_2)|\)
\quad \quad \quad \quad (q_0 z q_3) \rightarrow a(q_0 A q_0)(q_0 z q_3)|\)
\quad \quad \quad \quad a(q_0 A q_1)(q_1 z q_3)|\)
\quad \quad \quad \quad a(q_0 A q_2)(q_2 z q_3)|\)
\quad \quad \quad \quad a(q_0 A q_3)(q_3 z q_3)|\)

From transition 5 \((q_3 z q_0) \rightarrow (q_0 A q_0)(q_0 z q_0)|\)
\quad \quad \quad \quad (q_0 A q_1)(q_1 z q_0)|\)
\quad \quad \quad \quad (q_0 A q_2)(q_2 z q_0)|\)

Recognizing aaab in M:

\((q_0, aaab, z) \vdash (q_0, aab, Az)\)
\((q_0, aaab, z) \vdash (q_3, ab, z)\)
\((q_0, aaab, z) \vdash (q_0, ab, Az)\)
\((q_0, aaab, z) \vdash (q_3, b, z)\)
\((q_0, aaab, z) \vdash (q_0, b, Az)\)
\((q_0, aaab, z) \vdash (q_1, \lambda, z)\)
\((q_0, aaab, z) \vdash (q_2, \lambda, \lambda)\)

Derivation of string aaab in G:

\((q_0 z q_2) \Rightarrow a(q_0 A q_3)(q_3 z q_2)\)
\((q_0 z q_2) \Rightarrow a(q_3 z q_2)\)
\((q_0 z q_2) \Rightarrow a(q_3 z q_2)\)
\((q_0 z q_2) \Rightarrow a(q_3 z q_2)\)
\((q_0 z q_2) \Rightarrow a(q_3 z q_2)\)
\((q_0 z q_2) \Rightarrow a(q_3 z q_2)\)
\((q_0 z q_2) \Rightarrow aaab(q_1 z q_2)\)
\((q_0 z q_2) \Rightarrow aaab\)
Chapter 7.3

**Definition:** A PDA \( M = (Q, \Sigma, \Gamma, \delta, q_0, z, F) \) is deterministic if for every \( q \in Q, \ a \in \Sigma \cup \{ \lambda \}, \ b \in \Gamma \):

1. \( \delta(q, a, b) \) contains at most 1 element
2. if \( \delta(q, \lambda, b) \neq \emptyset \) then \( \delta(q, c, b) = \emptyset \) for all \( c \in \Sigma \)

**Definition:** \( L \) is DCFL iff \( \exists \) DPDA \( M \) s.t. \( L = L(M) \).

**Examples:**

1. Previous pda for \( \{ a^n b^n | n \geq 0 \} \) is deterministic.
2. Previous pda for \( \{ a^n b^n c^{n+m} | n, m > 0 \} \) is deterministic.
3. Previous pda for \( \{ w w^R | w \in \Sigma^+ \}, \Sigma = \{ a, b \} \) is nondeterministic.

**Note:** There are CFL's that are not deterministic.

\( L = \{ a^n b^n | n \geq 1 \} \cup \{ a^n b^{2n} | n \geq 1 \} \) is a CFL and not a DCFL.

**Proof:** \( L = \{ a^n b^n : n \geq 1 \} \cup \{ a^n b^{2n} : n \geq 1 \} \)

It is easy to construct a NPDA for \( \{ a^n b^n : n \geq 1 \} \) and a NPDA for \( \{ a^n b^{2n} : n \geq 1 \} \). These two can be joined together by a new start state and \( \lambda \)-transitions to create a NPDA for \( L \). Thus, \( L \) is CFL.

Now show \( L \) is not a DCFL. Assume that there is a deterministic PDA \( M \) such that \( L = L(M) \). We will construct a PDA that recognizes a language that is not a CFL and derive a contradiction.

Construct a PDA \( M' \) as follows:

1. Create two copies of \( M \): \( M_1 \) and \( M_2 \). The same state in \( M_1 \) and \( M_2 \) are called cousins.
2. Remove accept status from accept states in \( M_1 \), remove initial status from initial state in \( M_2 \). In our new PDA, we will start in \( M_1 \) and accept in \( M_2 \).
3. Outgoing arcs from old accept states in \( M_1 \), change to end up in the cousin of its destination in \( M_2 \). This joins \( M_1 \) and \( M_2 \) into one PDA. There must be an outgoing arc since you must recognize both \( a^n b^n \) and \( a^n b^{2n} \). After reading \( n \) \( b \)'s, must accept if no more \( b \)'s and continue if there are more \( b \)'s.
4. Modify all transitions that read a \( b \) and have their destinations in \( M_2 \) to read a \( c \).

This is the construction of our new PDA.

When we read \( a^n b^n \) and end up in an old accept state in \( M_1 \), then we will transfer to \( M_2 \) and read the rest of \( a^n b^{2n} \). Only the \( b \)'s in \( M_2 \) have been replaced by \( c \)'s, so the new machine accepts \( a^n b^n c^n \).

The language accepted by our new PDA is \( a^n b^n c^n \). But this is not a CFL. Contradiction! Thus there is no deterministic PDA \( M \) such that \( L(M) = L \). Q.E.D.