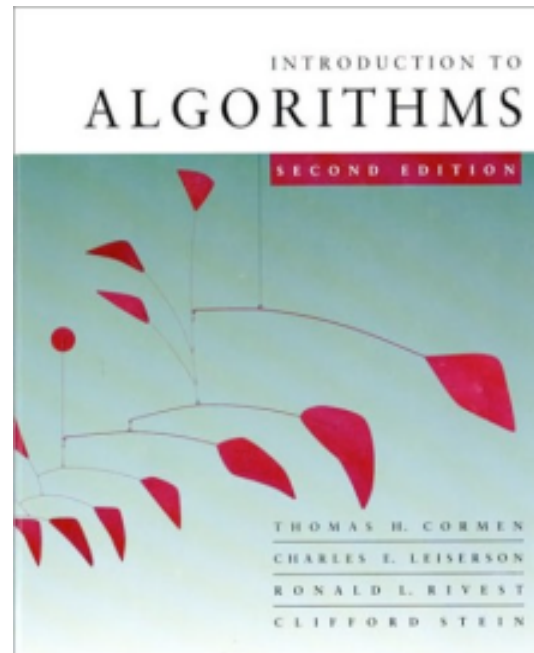
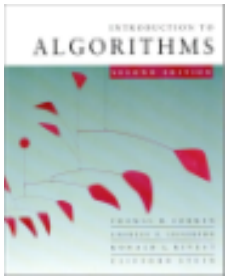


Introduction to Algorithms



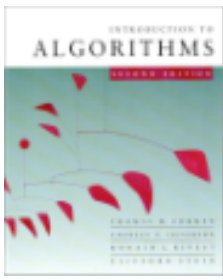
Prof. Piotr Indyk



P vs NP

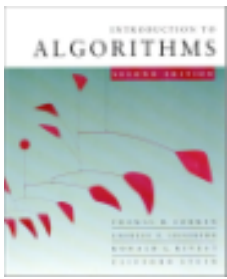
(interconnectedness of all things)

- A whole course by itself



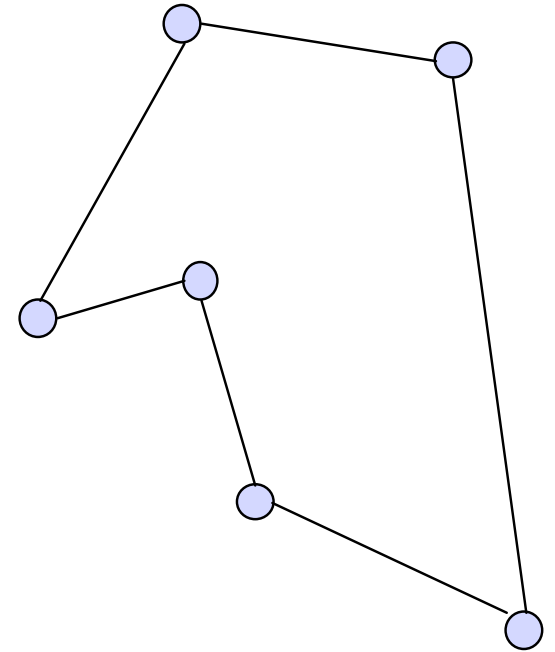
Have seen so far

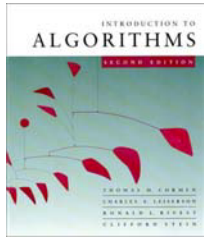
- Algorithms for many interesting problems:
 - Running times $O(nm^2)$, $O(n^2)$, $O(n \log n)$, $O(n)$, ...
 - I.e., polynomial in the input size
- Can we solve all (or most of) interesting problems in polynomial time ?
- Not really...



Example difficult problem

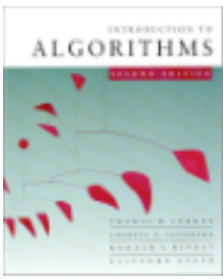
- Traveling Salesperson Problem (TSP)
 - Input: undirected graph with lengths on edges
 - Output: shortest tour that visits each vertex exactly once
- Best known algorithm:
 $O(n 2^n)$ time.





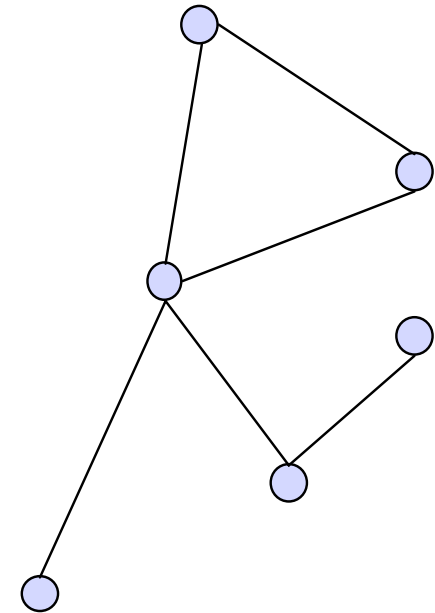
Set Covering

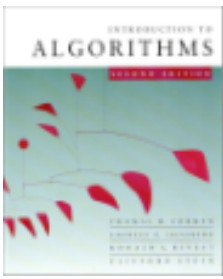
- Set Cover:
 - Input: subsets $S_1 \dots S_n$ of X , $\cup_i S_i = X$, $|X|=m$
 - Output: $C \subseteq \{1 \dots n\}$, such that $\cup_{i \in C} S_i = X$, and $|C|$ minimal
 - Best known algorithm:
 $O(2^n m)$ time(?)
- Bank robbery problem:
- $X = \{\text{plan, shoot, safe, drive, scary}\}$
 - Sets:
 - $S_{\text{Joe}} = \{\text{plan, safe}\}$
 - $S_{\text{Jim}} = \{\text{shoot, scary, drive}\}$
 -



Another difficult problem

- Clique:
 - Input: undirected graph $G=(V,E)$
 - Output: largest subset C of V such that every pair of vertices in C has an edge between them
- Best known algorithm:
 $O(n 2^n)$ time





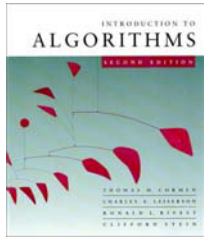
Another difficult problem

Boolean Formula Satisfiability Problem (SAT):

Given a Boolean formula $F(X_1, X_2, \dots, X_n)$
with n Boolean variables X_1, X_2, \dots, X_n .

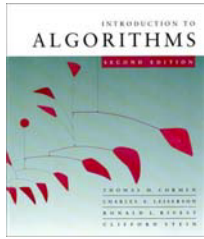
SAT Problem: Determine if there is an truth assignment
of the n Boolean variables to 0(false) or 1(true)
that makes the formula $F = 1$ (true).

Example $F = (X_1 \vee \neg X_2 \vee X_3) \wedge (X_2 \vee \neg X_3 \vee \neg X_5)$



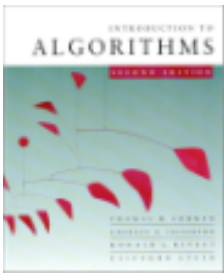
Dealing with Hard Problems

- What to do if:
 - Divide and conquer
 - Dynamic programming
 - Greedy
 - Linear Programming/Network Flows
 - ...
- does not give a polynomial time algorithm?



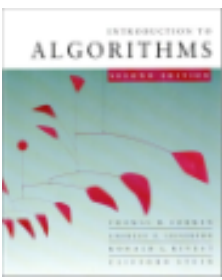
Dealing with Hard Problems

- Exponential time algorithms for **small** inputs. E.g., $(100/99)^n$ time is not bad for $n < 1000$.
- Polynomial time algorithms for **some** (e.g., average-case) inputs
- Polynomial time algorithms for **all** inputs, but which return **approximate** solutions



What can we do ?

- Spend more time and money designing efficient algorithms for those problems
 - People tried for a few decades, no luck
 - Outstanding \$1000,000 prize for finding one
 - It seems very likely that such algorithms do not exist
- Prove there is **no** polynomial time algorithm for those problems
 - Would be great
 - Seems *really* difficult
 - Best lower bounds for “natural” problems:
 - $\Omega(n^2)$ for restricted computational models
 - $\Omega(n)$ for unrestricted computational models

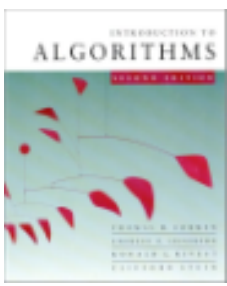


What else can we do ?

- Show that those hard problems are essentially equivalent.

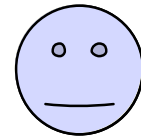
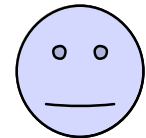
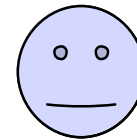
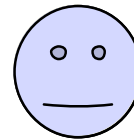
I.e., if we can solve one of them in poly time, then all others can be solved in poly time as well.

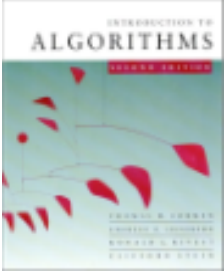
- Works for at least **few thousand** hard problems



The benefits of equivalence

- Combines research efforts
- If one problem has polytime solution, then all of them do



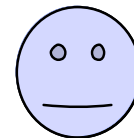
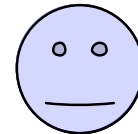
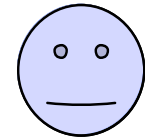
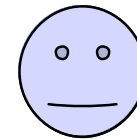
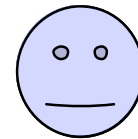


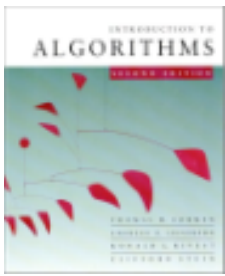
A more realistic scenario

- Once an exponential **lower bound** is shown for one problem, it holds for all of them
- But someone *is* happy...



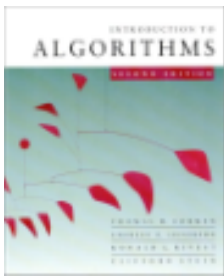
Ron Rivest





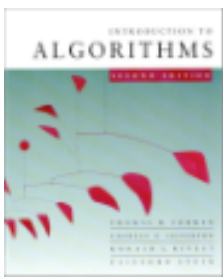
Summing up

- If we show that a problem Π is equivalent to a few thousand other well studied problems without efficient algorithms, then we get a very strong evidence that Π is hard.
- We need to:
 1. Identify the class of problems of interest
 2. Define the notion of equivalence
 3. Prove the equivalence(s)



1. Class of problems (informally)

- Decision problems: answer YES or NO. E.g., "is there a tour of length $\leq K$ " ?
- Solvable in *non-deterministic polynomial* time:
 - Intuitively: the solution can be **verified** in polynomial time
 - E.g., if someone gives as a tour T , we can verify if T is a tour of length $\leq K$.
- Therefore, TSP is in NP.



1. Class of problems: NP

Deterministic Time (P):

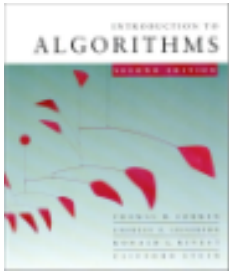
- A problem Π is solvable in poly time (or $\Pi \in P$), if there is a poly time algorithm $V(\cdot)$ such that for any input x :

$$\Pi(x) = \text{YES} \text{ iff } V(x) = \text{YES}$$

Nondeterministic Time (NP):

- A problem Π is solvable in **non-deterministic** poly time (or $\Pi \in NP$), if there is a poly time algorithm $V(\cdot, \cdot)$ such that for any input x :

$$\Pi(x) = \text{YES} \text{ iff there exists a certificate } y \text{ of size } \text{poly}(|x|) \text{ such that } V(x, y) = \text{YES}$$



Examples of problems in NP

- Is “Does there exist a clique in G of size $\geq K$ ” in NP ?

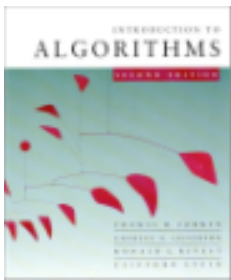
Yes: $V(x,y)$ interprets x as a graph G , y as a set C , and checks if all vertices in C are adjacent and if $|C| \geq K$

- Is **Sorting** in NP ?

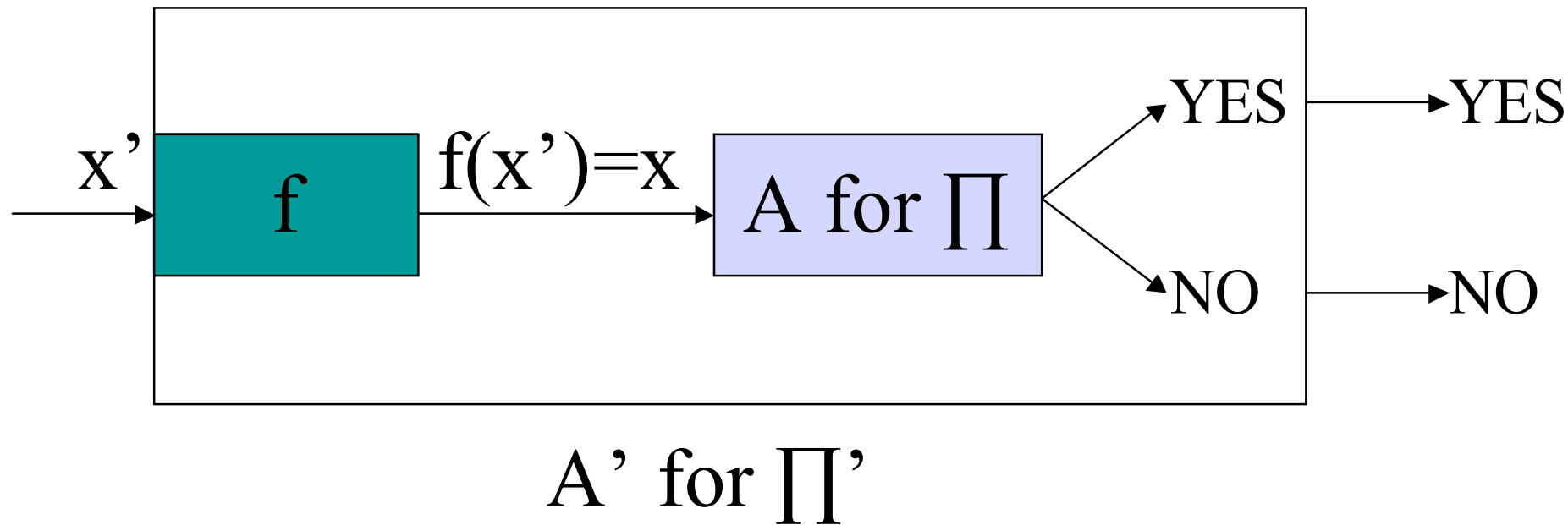
No, not a decision problem.

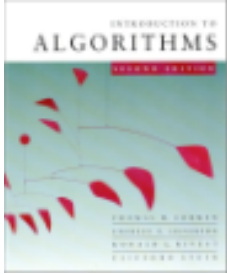
- Is “**Sortedness**” in NP ?

Yes: ignore y , and check if the input x is sorted.



2. Reductions: Π' to Π





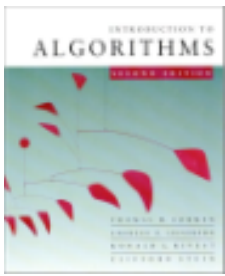
2. Reductions (formally)

Polynomial Time Reductions
between Problem Classes:

- Π' is poly time reducible to Π ($\Pi' \leq \Pi$) iff there is a poly time function f that maps inputs x' to Π' into inputs x of Π , such that for any x'

$$\Pi'(x') = \Pi(f(x'))$$

- Fact 1: if $\Pi \in P$ and $\Pi' \leq \Pi$ then $\Pi' \in P$
- Fact 2: if $\Pi \in NP$ and $\Pi' \leq \Pi$ then $\Pi' \in NP$
- Fact 3: if $\Pi' \leq \Pi$ and $\Pi'' \leq \Pi'$ then $\Pi'' \leq \Pi$

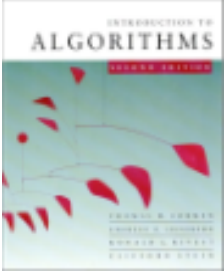


Summing up

Two Problems are Polynomial Time Equivalent:

if there Polynomial Time Reductions between the two problems

- If we show that a problem Π is equivalent to a few thousand other well studied problems without efficient algorithms, then we get a very strong evidence that Π is hard.



- Once an exponential **lower bound** is shown for one problem, it holds for all of them
- But someone *is* happy...



Ron Rivest

