

# MINIMUM $s$ - $t$ CUT OF A PLANAR UNDIRECTED NETWORK IN $O(n \log^2(n))$ TIME

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**Abstract.** Let  $N$  be a planar undirected network with distinguished vertices  $s, t$ , a total of  $n$  vertices, and each edge labeled with a positive real (the edge's cost) from a set  $L$ . This paper presents an algorithm for computing a minimum (cost)  $s$ - $t$  cut of  $N$ . For general  $L$ , this algorithm runs in time  $O(n \log^2(n))$ . For the case when  $L$  contains only integers  $\leq n^{O(1)}$ , the algorithm runs in time  $O(n \log(n) \log \log(n))$ . Our algorithm also constructs a minimum  $s$ - $t$  cut of a planar graph (i.e., for the case  $L = \{1\}$ ) in time  $O(n \log(n))$ . Our algorithm can also be used to compute a minimum cut for a general undirected planar network.

The fastest previous algorithm for computing a minimum  $s$ - $t$  cut of a planar undirected network (Itai and Shiloach [SIAM J. Comput., 8 (1979), pp. 135–150]) has time  $O(n^2 \log(n))$ ; the  $s$ - $t$  cut is a byproduct of the maximum flow computed by their algorithm. The best previous time bound for minimum  $s$ - $t$  cut of a planar graph (Cheston, Probert and Saxton [report, Dept. Computer Science, Univ. Saskatchewan, 1977]) was  $O(n^2)$ .

**Key words.** planar, network, minimum  $s$ - $t$  cut, graph algorithm

**1. Introduction.** The importance of computing a minimum  $s$ - $t$  cut of a network is illustrated by Ford and Fulkerson's [6], [7] theorem which states that the value of the minimum  $s$ - $t$  flow of a network is precisely the minimum  $s$ - $t$  cut. The best known algorithm (Sleator [12] and Sleator and Tarjan [13]) for computing the maximum  $s$ - $t$  flow or minimum  $s$ - $t$  cut of a *sparse directed or undirected network* (with  $n$  vertices and  $O(n)$  edges) has time<sup>1</sup>  $O(n^2 \log(n))$ . This paper is concerned with a *planar undirected network*  $N$ , which occurs in many practical applications.

Ford and Fulkerson [6], [7] have an elegant maximum  $s$ - $t$  flow algorithm for the case  $N$  is  $(s, t)$ -planar (both  $s$  and  $t$  are on the same face) which when efficiently implemented by priority queues as described in Itai and Shiloach [9] has time  $O(n \log(n))$ . Moreover,  $O(n)$  executions of their algorithm suffice to compute the maximum flow of a general *planar network* in total time  $O(n^2 \log(n))$ . Also, Cheston, Probert and Saxton [3] have an  $O(n^2)$  algorithm for the minimum  $s$ - $t$  cut of a planar graph and Shiloach [9] gives an  $O(n \log(n))^2$  algorithm for the minimum cut of a planar graph.

Let  $Q_L(n)$  be the asymptotic time complexity to maintain a priority queue of  $O(n)$  elements with costs from a set  $L$  of nonnegative reals, and with  $O(n)$  insertions and deletions. For the general case,  $Q_L(n) = O(n \log(n))$  as described in Aho, Hopcroft and Ullman [1]. For the special case when  $L$  is a set of positive integers  $\leq n^{O(1)}$ , Boas, Kaas and Zijlstra [2] show  $Q_L(n) = O(n \log \log(n))$ . It is obvious that if  $L$  is of constant cardinality then  $Q_L(n) = O(n)$ .

A key element of the Ford and Fulkerson [6], [7] algorithm for  $(s, t)$ -planar networks was an efficient reduction to finding a minimum cost path between two vertices in a sparse network. Dijkstra [4] gives an algorithm for a generalization of this problem (to find a minimum cost path from a fixed "source" vertex  $s$  to each other vertex). Dijkstra's algorithm may be implemented (see Aho, Hopcroft and

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<sup>1</sup> We assume throughout this paper that our machine model is a unit cost criteria RAM (see Aho, Hopcroft and Ullman [1]).

Ullman [1]) in time  $O(Q_L(n))$  for a sparse network with  $n$  vertices, and  $L$  is the set of nonnegative reals labeling the edges.

Our algorithm for computing the minimum  $s$ - $t$  cut of a planar undirected network has time  $O(Q_L(n) \log(n))$ . This algorithm also utilizes an efficient reduction to minimum cost path problems. Our fundamental innovation is a “divide and conquer” approach for cuts on the plane.

The paper is organized as follows: The next section gives preliminary definitions of graphs, networks, minimum cuts, maximum flows, and duals of planar networks. Section 3 gives the Ford–Fulkerson algorithm for  $(s, t)$ -planar graphs. Section 4 describes briefly an efficient algorithm due to Itai and Shiloach [9] for finding a minimum cut intersecting a given face of the primal network. Our divide and conquer approach is described and proved in § 5. Section 6 presents our algorithm for minimum  $s$ - $t$  cuts of planar networks. Finally, § 7 concludes the paper.

## 2. Preliminary definitions

**2.1. Graphs.** Let a graph  $G = (V, E)$  consist of a vertex set  $V$  and a collection of edges  $E$ . Each edge  $e \in E$  connects two vertices  $u, v \in V$  (edge  $e$  is a *loop* if it connects identical vertices). We let  $e = \{u, v\}$  denote edge  $e$  connects  $u$  and  $v$ . Edges  $e, e'$  are *multiple* if they have the same endpoints. Let a *path* be a sequence of edges  $p = e_1, \dots, e_k$  such that  $e_i = \{v_{i-1}, v_i\}$  for  $i = 1, \dots, k$  (we say  $p$  *traverses* vertices  $v_0, \dots, v_k$ ). Let  $p$  be a *cycle* if  $v_0 = v_k$  (cycles containing the same edges are considered identical). A path  $p'$  is a *subpath* of  $p$  if  $p'$  is a subsequence of  $p$ . Let  $G$  be a *standard graph* if  $G$  has neither multiple edges nor loops and is triconnected. Generally we let  $n = |V|$  be the number of vertices of graph  $G$ . If  $G$  is planar, then by Euler’s formula  $G$  contains at most  $6n - 12$  edges.

**2.2. Networks.** Let an *undirected network*  $N = (G, c)$  consist of an undirected graph  $G = (V, E)$  and a mapping  $c$  from  $E$  to the positive reals. For each edge  $e \in V$ ,  $c(e)$  is the *cost* of  $e$ . For any edge set  $E' \subseteq E$ , let  $c(E') = \sum_{e \in E'} c(e)$ . Let the *cost* of path  $p = e_1, \dots, e_k$  be  $c(p) = \sum_{i=1}^k c(e_i)$ . Let a path  $p$  from vertex  $u$  to vertex  $v$  be *minimum* if  $c(p) \leq c(p')$  for all paths  $p'$  from  $u$  to  $v$ . Let  $N = (G, c, s, t)$  be a *standard network* if  $(G, c)$  is an undirected network, with  $G = (V, E)$  a standard graph, and  $s, t$  are distinguished vertices of  $V$  (the *source*, *sink*, respectively). Note that triconnectivity can easily be achieved by adding  $O(n)$  edges with cost 0.

**2.3. Minimum cuts and maximum flows in networks.** Let  $N = (G, c, s, t)$  be a standard network with  $G = (V, E)$ . An edge set  $X \subseteq E$  is an  $s$ - $t$  *cut* if  $(V, E - X)$  has no paths from  $s$  to  $t$ . Let  $s$ - $t$  cut  $X$  be *minimum* if  $c(X) \leq c(X')$  for each  $s$ - $t$  cut  $X'$ . See Fig. 1.

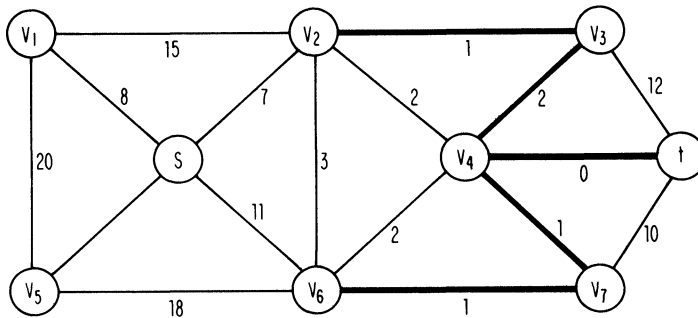


FIG. 1. A network  $N$  with source  $s$  and sink  $t$ . The heavily drawn edges indicate a minimum  $s$ - $t$  cut  $\{\{v_2, v_3\}, \{v_3, v_4\}, \{v_4, t\}, \{v_4, v_7\}, \{v_6, v_7\}\}$  with cost 5.

Let  $A$  be the set of directed edges  $\{(u, v) | \{u, v\} \in E\}$ . A function  $f$  mapping  $A$  to the nonnegative reals is a *flow* if

- (i) For all  $e \in A$ ,  $f(e) \leq c(e)$ , and
- (ii) For all  $v \in V$ , if  $v \notin \{s, t\}$  then  $\text{IN}(f, v) = \text{OUT}(f, v)$ , where

$$\text{IN}(f, v) = \sum_{(u,v) \in A} f(u, v) \quad \text{and} \quad \text{OUT}(f, v) = \sum_{(v,u) \in A} f(v, u).$$

The *value* of the flow  $f$  is  $\text{OUT}(f, s) - \text{IN}(f, t)$ . The following motivates our work on minimum  $s$ - $t$  cuts:

**THEOREM 1** (Ford and Fulkerson [7]). *The maximum value of any flow is the cost of a minimum  $s$ - $t$  cut.*

**2.4. Planar networks and duals.** Let  $G = (V, E)$  be a planar standard graph, with a fixed embedding on the plane.  $G$  partitions the plane into connected regions. Each connected region is called a *face* and has a corresponding cycle of edges which it borders. For each edge  $e \in E$ , let  $D(e)$  be the corresponding *dual edge* connecting the two faces bordering  $e$ . Let  $D(G) = (\mathcal{F}, D(E))$  be the *dual graph* of  $G$ , with vertex set  $\mathcal{F}$  = the faces of  $G$ , and with edge set  $D(E) = \bigcup_{e \in E} D(e)$ . Note that the dual graph is not necessarily standard (i.e., it may contain multiple edges and loops), but is planar. Let a cycle  $q$  of  $D(G)$  be a *cut-cycle* if the region bounded by  $q$  contains exactly one of  $s$  or  $t$ . Note that a cycle is a cut-cycle independent of the way in which the dual graph is embedded in the plane, although a particular embedding may change which of  $s$  or  $t$  the cycle contains. See Figs. 1 and 2. The following proposition is trivial to derive:

**PROPOSITION 1.**  *$D$  induces a 1-1 correspondence between the  $s$ - $t$  cuts of  $G$  and the cut-cycles of  $D(G)$ .*

Let  $N = (G, c, s, t)$  be a *planar standard network*, with  $G = (V, E)$  planar. Let the *dual network*  $D(N) = (D(G), D(c))$  have edge costs  $D(c)$ , where the edge cost of each dual edge  $D(e)$  is the cost of the original edge  $e \in E$ . (Generally we will use just  $c$  in place of  $D(c)$  where no confusion will result.) See Fig. 3. For each face  $F_i \in \mathcal{F}$ , let a cut-cycle  $q$  in  $D(N)$  be  $F_i$ -*minimum* if  $q$  contains  $F_i$  on (rather than inside) the cycle  $q$  and  $c(q) \leq c(q')$  for all cut-cycles  $q'$  containing  $F_i$ . The next proposition is easy but tedious to prove.

**PROPOSITION 2.** *A minimum  $s$ - $t$  cut has the same cost as a minimum cost cut-cycle of  $D(G)$ .*

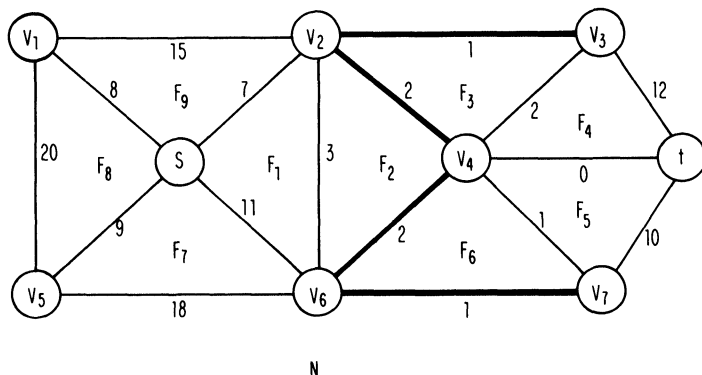


FIG. 2. The same planar network  $N$  as in Fig. 1, with faces  $F_1, \dots, F_{10}$ , and with a nonminimal  $s$ - $t$  cut  $X = \{\{v_2, v_3\}, \{v_2, v_4\}, \{v_4, v_6\}, \{v_6, v_7\}\}$  of cost 6, indicated by heavily drawn edges.

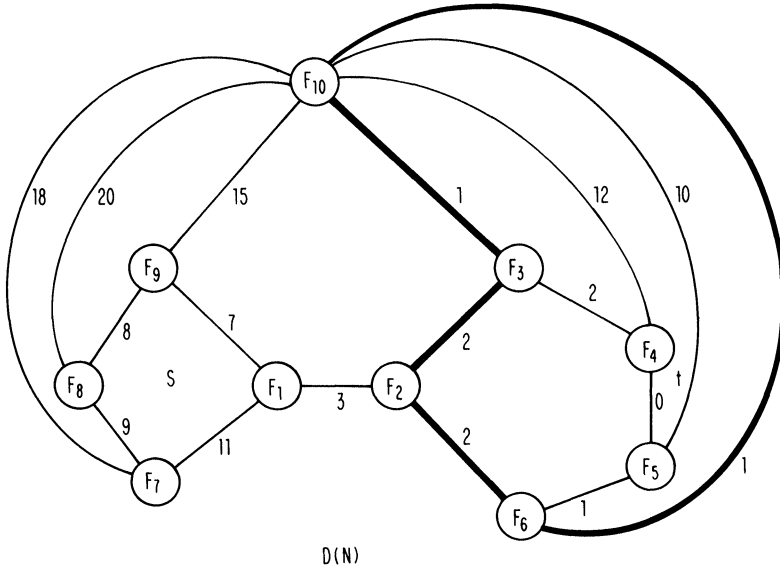


FIG. 3. The dual network  $D(N)$  derived from the planar network  $N$  of Figs. 1 and 2. The heavily drawn edges give an  $F_2$ -minimum cut cycle  $D(X) = \{F_{10}, F_3\}, \{F_3, F_2\}, \{F_2, F_6\}, \{F_6, F_{10}\}$  which is the dual of the  $s$ - $t$  cut  $X$  given in Fig. 2.

**3. Ford and Fulkerson's minimum  $s$ - $t$  cut algorithm for  $(s, t)$ -planar networks.** Let  $N = (G, c, s, t)$  be a planar standard network.  $G$  (as well as  $N$ ) is  $(s, t)$ -planar if there exists a face  $F_0$  containing both  $s$  and  $t$ . Let planar network  $N'$  be derived from  $N$  by adding on edge  $e_0$  connecting  $s$  and  $t$  with cost  $\infty$ . Let  $e_0$  be embedded onto a line segment from  $s$  to  $t$  in  $F_0$ , which separates  $F_0$  into two new faces  $F_1$  and  $F_2$ . Ford and Fulkerson [6] have the following elegant characterization of the minimum  $s$ - $t$  cut of  $(s, t)$ -planar network  $N$ .

**THEOREM 2.** *There is a 1-1 correspondence between the  $s$ - $t$  cuts of  $N$  and the paths of  $D(N')$  from  $F_2$  to  $F_1$  and avoiding  $D(e_0)$ . Furthermore, this correspondence preserves edge costs. Therefore, the minimum  $s$ - $t$  cuts of  $N$  correspond to the minimum cost paths in  $D(N')$  from  $F_2$  to  $F_1$ .*

By use of Dijkstra's [4] shortest path algorithm, we have:

**COROLLARY 2.** *A minimum cut of  $(s, t)$ -planar network  $N$  with  $n$  vertices may be computed in time  $O(Q_L(n))$ , where  $L = \text{range}(c)$ .*

Note that applications of this corollary include the  $O(n \log(n))$  time minimum  $s$ - $t$  cut algorithm of Itai and Shiloach [9] for  $(s, t)$ -planar undirected networks, and the  $O(n)$  time minimum  $s$ - $t$  cut algorithm of Cheston, Probert and Saxton [3] for  $(s, t)$ -planar graphs.

**4. An efficient algorithm for  $F$ -minimum cut cycles.** Let  $N = (G, c, s, t)$  be a planar standard network, with  $G = (V, E)$  and  $L = \text{range}(c)$ . Our algorithm for minimum  $s$ - $t$  cuts will require efficient construction of an  $F$ -minimum cut-cycle for a given face  $F$ . For completeness, we very briefly describe here an algorithm for this, due to Itai and Shiloach [9].

Let  $\mathcal{F}_s$  be the set of faces bordering  $s$  and let  $\mathcal{F}_t$  be the faces bordering  $t$ . Let a  $\mu(s, t)$  path be a minimum cost path in  $D(N)$  from a face of  $\mathcal{F}_s$  to a face of  $\mathcal{F}_t$ .

**PROPOSITION 3** (Itai and Shiloach [9]). *Let  $\mu$  be a  $\mu(s, t)$  path traversing faces  $F_1, \dots, F_d$ . Let  $D(X_i)$  be a  $F_i$ -minimum cut-cycle of  $D(N)$  for  $i = 1, \dots, d$ . Then  $X_{i_0}$  is a minimum  $s$ - $t$  cut of  $N$ , where  $c(X_{i_0}) = \min \{c(X_i) | i = 1, \dots, d\}$ .*

To compute a  $\mu(s, t)$  path in time  $O(Q_L(n))$ , let  $M$  be the planar network derived from  $D(N)$  by adding new vertices  $v_s, v_t$  and an edge connecting  $v_s$  to each face in  $\mathcal{F}_s$  and an edge connecting each face in  $\mathcal{F}_t$  to  $v_t$ . Let the cost of each of these edges be 1. Let  $p$  be a minimum cost path in  $M$  from  $v_s$  to  $v_t$ . Then  $p$ , less its first and last edges, is a  $\mu(s, t)$  path. See Fig. 4.

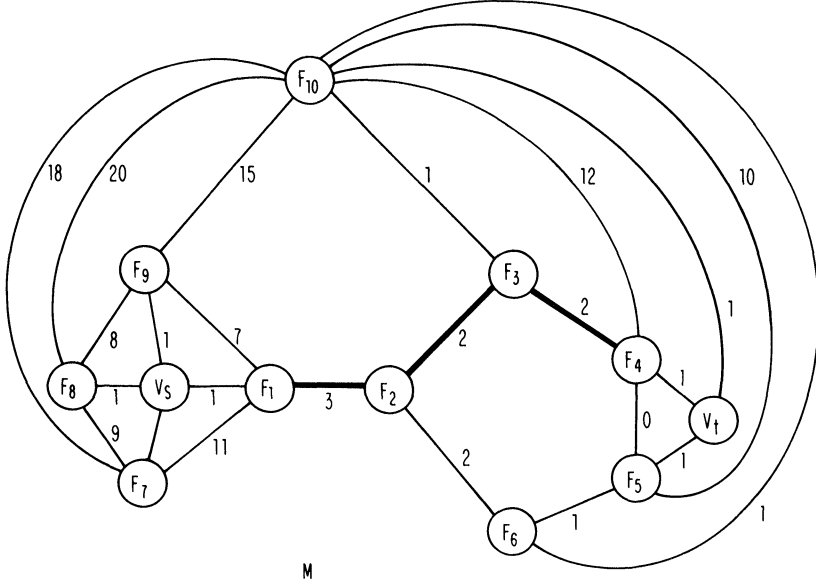


FIG. 4. Network  $M$  derived from the dual network  $D(N)$  given in Fig. 3. The heavily drawn edges are the  $\mu(s, t)$ -paths.

Let  $\mu$  be a  $\mu(s, t)$  path in  $D(N)$  traversing faces  $F_1, \dots, F_d$ . By viewing  $\mu$  as a horizontal line segment with  $s$  on the left and  $t$  on the right for each edge  $D(e)$  of  $D(N)$  which is not in  $\mu(s, t)$  but is connected to a face  $F_i$ ,  $D(e)$  may be considered to be connected to  $F_i$  from *below* or *above* (or both). Let  $\mu'$  be a copy of  $\mu$  traversing new vertices  $x_1, \dots, x_d$ . Let  $D'$  be the network derived from  $D(N)$  by reconnecting to  $x_i$  each edge entering  $F_i$  from above. See Fig. 5. If  $p$  is a path of  $D'$ , then a corresponding path  $\hat{p}$  in  $D(N)$  is constructed by replacing each edge and face appearing in  $\mu'$  with the corresponding edge or face of  $\mu$ . Clearly,  $c(p) = c(\hat{p})$ .

**THEOREM 3** (Itai and Shiloach [9]). *If  $p$  is a minimum cost path connecting  $F_i$  and  $x_i$  in  $D'$ , then  $\hat{p}$  is an  $F_i$ -minimum cut-cycle of  $D(N)$ .*

By applying Corollary 2 to Theorem 3 we have:

**COROLLARY 3.** *This is an  $O(Q_L(n))$  time algorithm to compute an  $F_i$ -minimum cut-cycle for any face  $F_i$  of a  $\mu(s, t)$  path in  $D(N)$ .*

Note that for restricted  $L$  this may be more efficient than the  $O(n \log n)$  upper bound given by Itai and Shiloach [9]; for example this gives an  $O(n)$  time algorithm for an  $F_i$ -minimum cut-cycle of a planar graph.

**5. A divide and conquer approach.** Let  $\mu$  be a  $\mu(s, t)$  path of  $D(N)$  traversing faces  $F_1, \dots, F_d$  as in § 4. Note that any  $s$ - $t$  cut of planar network  $N$  must contain an edge bounding on a face in  $\{F_1, \dots, F_d\}$ . The algorithm of Itai and Shiloach [9] for computing a minimum  $s$ - $t$  cut of  $N$  is to construct an  $F_i$ -minimum cut-cycle  $D(X_i)$  in  $D(N)$  for each  $i = 1, \dots, d$ . This may be done by  $d = O(n)$  executions of the  $O(Q_L(n))$  time algorithm of Corollary 3. Then by Proposition 3,  $X_{i_0}$  is a minimum  $s$ - $t$

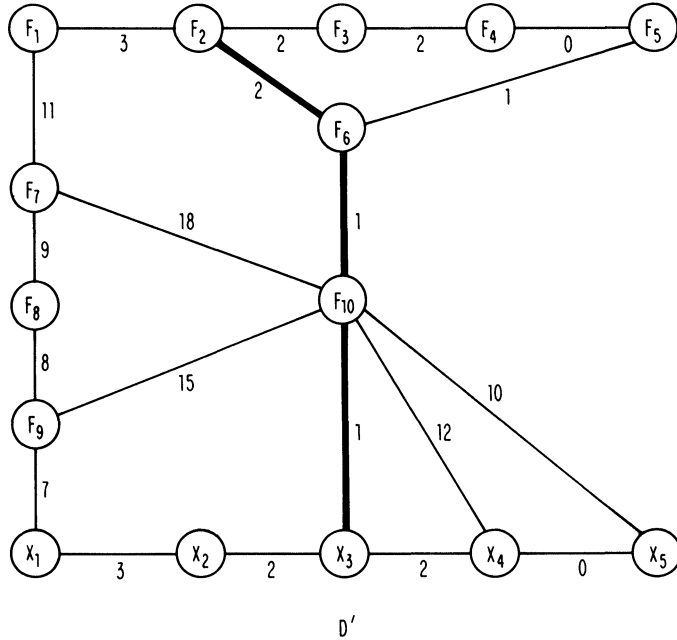


FIG. 5. Network  $D'$  derived from dual network  $D(N)$  of Fig. 3 using the  $\mu(s, t)$ -path of Fig. 4. The heavily drawn edges give the  $F_2$ -minimum cut-cycle  $D(X)$  of Fig. 3.

cut where  $c(X_{1_0}) = \min \{c(X_1), \dots, c(X_d)\}$ . In the worst case, this requires  $O(Q_L(n) \cdot n)$  total time. This section presents a divide and conquer approach which utilizes recursive executions of an  $F_i$ -minimum cut algorithm.

LEMMA 1. Let  $F_i, F_j$  be distinct faces of  $\mu$ , with  $i < j$ . Let  $p$  be any  $F_i$ -minimum cut-cycle of  $D(N)$  such that the closed region  $R$  bounded by  $p$  contains  $s$ . Then there exists an  $F_i$ -minimum cut-cycle  $q$  contained entirely in  $R$ . (See Fig. 6.)

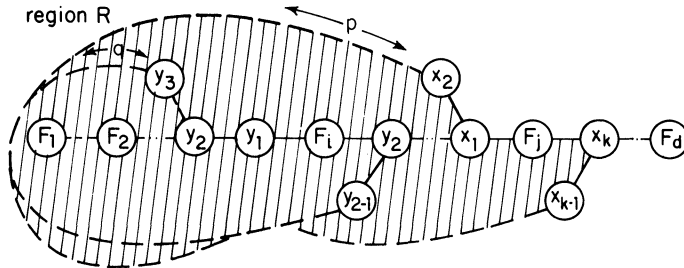


FIG. 6.  $F_1, F_2, \dots, F_d$  is a  $\mu(s, t)$  path in  $D(N)$ .  $p = (F_i, x_1, x_2, \dots, x_k)$  is a  $F_i$ -minimum cut-cycle enclosing region  $R$ . The  $F_i$ -minimum cut-cycle  $q = (F_i, y_1, y_2, \dots, y_i)$  is contained in  $R$ .

*Proof.* Let  $q$  be any  $F_i$ -minimum cut-cycle. Let  $q'$  be the cut-cycle derived from  $q$  by repeatedly replacing subpaths of  $q$  connecting faces traversed by  $\mu$  with the appropriate subpaths of  $\mu$  (only apply replacements for which the resulting  $q'$  is a cut-cycle). Observe  $c(q') \leq c(q)$  (else we can show  $\mu$  is not a  $\mu(s, t)$  path). Let  $R'$  be the closed region bounded by  $q'$ . Suppose  $R' \not\subset R$ . Then there must be a subpath  $q_1$  of  $q'$  connecting faces  $F^a, F^b$  of  $p$  such that  $q_1$  only intersects  $R'$  at  $F^a$  and  $F^b$ . Let  $p_1$  be the subpath of  $p$  connecting  $F^a$  and  $F^b$  in  $R'$ . We claim  $c(p_1) \leq c(q_1)$ . Suppose  $c(p_1) > c(q_1)$ . By our construction of  $q'$ , either  $q_1$  avoids  $F_j$ ,  $F_j = F^a$  or  $F_j = F^b$ . In any

case, we may derive a cut-cycle  $p'$  from  $p$  by substituting  $q_1$  for  $p_1$ . But this implies  $c(p') < c(p)$ , contradicting our assumption that  $p$  is an  $F_i$ -minimum cut-cycle. Now substitute  $p_1$  for  $q_1$  in  $q'$ . The resulting cut-cycle is no more costly than  $q'$ , since  $c(p_1) \leq c(q_1)$ . See Fig. 7. The lemma follows by repeated application of this process.  $\square$

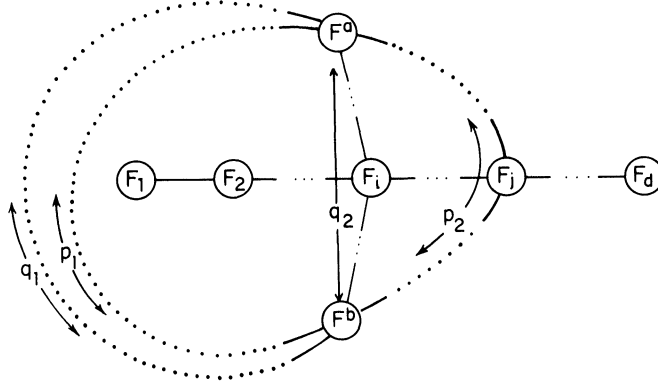


FIG. 7.  $F_1, F_2, \dots, F_d$  is a  $\mu(s, t)$ -path,  $p = p_1 \cdot p_2$  is a cut-cycle containing  $F_j$ .  $q = q_1 \cdot q_2$  is a cut-cycle containing  $F_i$ . If  $c(q_1) < c(p_1)$ , then  $p' = q_1 \cdot q_2$  is a cut-cycle containing  $F_i$  and with cost  $c(p') < c(p)$ .

The above lemma implies a method for dividing the planar standard network  $N$ , given an  $s$ - $t$  cut  $X$ . The network derived from  $N$  by deleting all edges of  $X$  can be partitioned into two networks  $N^s, N^t$ , where no vertex of  $N^s$  has a path to  $t$ , and no vertex of  $N^t$  has a path to  $s$ . Also, each edge  $e \in X$  must have connections to a vertex of  $N^s$  and a vertex of  $N^t$ .

Let  $N_0 = \text{DIVIDE}(N, X, s)$  be the standard planar network consisting of  $N^s$ ,

- (i) with a new vertex  $t_0$  and
- (ii) a new edge  $\{u, t_0\}$  with cost  $c(\{u, v\})$ , for each edge  $\{u, v\} \in X$  such that  $u$  is a vertex of  $N^s$  and  $v$  is a vertex of  $N^t$ ;
- (iii) finally (to insure  $N_0$  is standard) merging multiple edges and setting the cost of each resulting edge to be the sum of the costs of the multiple edges from which it was derived. See Figs. 8 and 9.

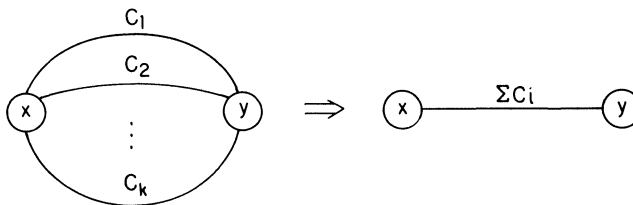


Fig. 8. The merging into a single edge of multiple edges connected to vertex  $x$  and vertex  $y$ .

Similarly, let  $N_1 = \text{DIVIDE}(N, X, t)$  be the standard planar network consisting of  $N^t$ ,

- (i) with a new vertex  $s_1$ , and
- (ii) for new edge  $\{s_1, v\}$  with cost  $c(\{u, v\})$ , for each edge  $\{u, v\} \in X$  such that  $u$  is a vertex of  $N^s$  and  $v$  is a vertex of  $N^t$ , and finally applying step (iii) above. See Fig. 9.

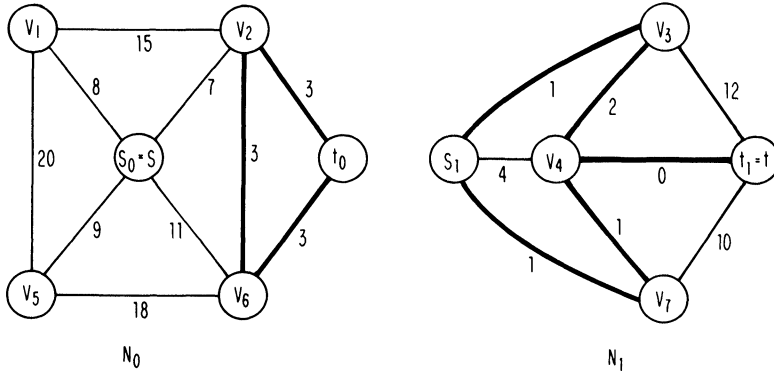


FIG. 9. The networks  $N_0 = \text{DIVIDE}(N, X, s)$  and  $N_1 = \text{DIVIDE}(N, X, t)$  derived from the network  $N$  and  $s$ - $t$  cut  $X$  given in Fig. 2.  $N_0$  and  $N_1$  will be further subdivided by the cuts  $X_0, X_1$  respectively, indicated by heavily drawn edges.

Let  $E$  be the set of edges of network  $N$  and let  $Y$  be a subset of the edges of  $N_0 = \text{DIVIDE}(N, X, s)$  or of  $N_1 = \text{DIVIDE}(N, X, t)$ . Then let  $E(Y)$  be the set of edges of  $E$  that were mapped into edges of  $Y$  when  $N_0$  or  $N_1$  was created. The next theorem follows immediately from the above Lemma 1 and Proposition 3.

**THEOREM 4.** *Let  $X$  be an  $s$ - $t$  cut of a planar standard network  $N$  such that  $D(X)$  is an  $F$ -minimum cut-cycle, for some face  $F$  in a  $\mu(s, t)$  path of  $D(N)$ . Let  $X_0$  be a minimum  $s$ - $t_0$  cut of  $N_0 = \text{DIVIDE}(N, X, s)$  and let  $X_1$  be a minimum  $s_1$ - $t$  cut of  $N_1 = \text{DIVIDE}(N, X, t)$ . Then  $E(X_0)$  or  $E(X_1)$  is a minimum  $s$ - $t$  cut of  $N$ .*

**6. The minimum  $s$ - $t$  cut algorithm for planar networks.** Theorem 4 yields a very simple but efficient divide and conquer algorithm for computing minimum  $s$ - $t$  cut of a planar standard network. We assume the Ford and Fulkerson [6] algorithm given in § 3:

- (i)  $(s, t)$ -PLANAR-MIN-CUT( $N$ ) which computes a minimum  $s$ - $t$  of  $(s, t)$ -planar standard network  $N$  in time  $O(Q_L(n))$ .

We also assume algorithms (given in § 4):

- (ii)  $\mu(s, t)$  PATH( $D(N)$ ) computes a  $\mu(s, t)$  path of  $D(N)$  in time  $O(Q_L(n))$ .
- (iii)  $F$ -MIN-CUT( $N, F_i, \mu$ ) computes  $q$ , where  $D(q)$  is an  $F_i$ -minimum cycle of  $N$  (for any  $F_i$  in  $\mu(s, t)$  path  $\mu$ ), in time  $O(Q_L(n))$ .

**RECURSIVE ALGORITHM PLANAR-MIN-CUT( $N, \mu$ ).**

**input** planar standard network  $N = (G, c, s, t)$ , where  $G = (V, E)$ , and  $\mu(s, t)$  path  $\mu$ .

**begin**

Let  $F_1, \dots, F_d$  be the faces traversed by  $\mu$ .

**if**  $d = 1$  **then return**  $(s, t)$ -PLANAR-MIN-CUT( $N$ );

**else begin**

$X \leftarrow F$ -MIN-CUT( $N, F_{\lfloor d/2 \rfloor}, \mu$ )

$N_0 \leftarrow \text{DIVIDE}(N, X, s)$ ;  $N_1 \leftarrow \text{DIVIDE}(N, X, t)$ ;

Let  $\mu_0$  and  $\mu_1$  be the subpaths of  $\mu$  contained in  $N_0$  and  $N_1$ , respectively

$X_1 \leftarrow \text{PLANAR-MIN-CUT}(N_1, \mu_1)$ ;  $X_0 \leftarrow \text{PLANAR-MIN-CUT}(N_0, \mu_0)$

**if**  $c(E(X_0)) \leq c(E(X_1))$  **then return**  $E(X_0)$  **else return**  $E(X_1)$ ;

**end;**

**end**



Associated with this recursive algorithm we define a *call tree*  $T$  whose root is  $N$  and whose descendants are the networks input to the algorithm on recursive calls. Let  $d$  be the number of faces traversed by  $\mu$ , the  $\mu(s, t)$  path of  $N$ . If  $d = 1$  then root  $N$  has no children. Otherwise,  $N$  has left child  $N_0$  and right child  $N_1$ , as computed in the algorithm, and so on.

For any  $\omega \in \{0, 1\}^*$  inductively let  $N_\omega = (G_\omega, c_\omega, s_\omega, t_\omega)$  be the planar standard network and let  $\mu_\omega$  be the  $\mu(s_\omega, t_\omega)$  path in  $N_\omega$  defined by some recursive calls to PLANAR-MIN-CUT. Suppose PLANAR-MIN-CUT( $N_\omega, \mu_\omega$ ) is called. If  $\mu_\omega$  contains only one face, then let  $N_{\omega 0}$  and  $N_{\omega 1}$  be empty networks, and let  $\mu_{\omega 0}$  and  $\mu_{\omega 1}$  be empty paths. Else let  $X_\omega$  be the set  $s_\omega$ - $t_\omega$  cut of  $N_\omega$  computed by the call to F-MIN-CUT( $\cdot$ ), let  $N_{\omega 0}, N_{\omega 1}$  be the planar standard networks constructed by the calls to DIVIDE, and let  $\mu_{\omega 0}, \mu_{\omega 1}$  be the subsets of  $\mu$  contained in  $N_{\omega 0}, N_{\omega 1}$ . Then it is easy to verify that  $\mu_{\omega 0}$  is a  $\mu(s_{\omega 0}, t_{\omega 0})$  path in  $N_{\omega 0}$  and  $\mu_{\omega 1}$  is a  $\mu(s_{\omega 1}, t_{\omega 1})$  path in  $N_{\omega 1}$ , and the length of  $\mu_{\omega 0}$  and the length of  $\mu_{\omega 1}$  are each  $\leq \lceil \frac{1}{2} d_\omega \rceil$ , where  $d_\omega$  is the length of  $\mu_\omega$ . Hence there can be no more than  $\lceil \log(d) \rceil$  mutually recursive calls, so the call tree  $T$  has depth at most  $\lceil \log(d) \rceil \leq \lceil \log(n) \rceil$ , where  $n$  is the number of nodes in  $N$ .

Let  $m$  be the number of edges of  $N$  and let  $m_\omega$  be the number of edges of  $N_\omega$ . The following theorem provides an upper bound of  $2m + 2^r$  on the number of edges of networks of depth  $r$  in the call tree  $T$ .

**THEOREM 5.** For each  $r \geq 0$ ,  $\sum_{\omega \in \{0, 1\}^r} m_\omega \leq 2m + 2^r$ .

*Proof.* Note that by definition of DIVIDE, the edges of  $N_{\omega 0}$  or  $N_{\omega 1}$  are derived from disjoint sets of edges of  $N_\omega$ . Fix an edge  $e$  of  $N$ . Let  $e_\omega$  be the edge (if it exists) of  $N_\omega$  derived from a set of edges of  $N$  containing  $e$ . Let edge  $e$  contribute to  $N_\omega$  if  $e \neq \{s_\omega, t_\omega\}$  and let  $e$  fully contribute to  $N_\omega$  if  $e_\omega$  contains neither  $s_\omega$  nor  $t_\omega$ . For each  $r \geq 0$ , let  $B_r(e) = \{e_\omega | e_\omega \neq \{s_\omega, t_\omega\} \text{ and } \omega \in \{0, 1\}^r\}$ . Thus  $|B_r(e)|$  is the number of networks of depth  $r$  in  $T$  to which edge  $e$  contributes.

Let the strings of  $\{0, 1\}^*$  be ordered lexicographically. We require a technical lemma.

**LEMMA 2.**  $|B_r(e)| \leq 2$ , and furthermore if  $B_r(e) = \{e_\omega, e_z\}$  for  $\omega < z$ ,  $z \in \{0, 1\}^r$ , then edge  $e_\omega$  is connected to  $t_\omega$  and edge  $e_z$  is connected to  $s_z$ .

This lemma states that  $e$  contributes to at most two networks of depth  $r$  in  $T$ , and  $e$  fully contributes to no two distinct networks of depth  $r$ . For example, consider edge  $e = \{v_2, v_3\}$  of network  $N$  given in Fig. 2. Edge  $e$  fully contributes to  $N$ . In Fig. 9, edge  $e$  contributes to  $N_0$  by edge  $e_0 = \{v_2, t_0\}$  and also contributes to  $N_1$  by edge  $e_1 = \{s_1, v_3\}$ . Furthermore, in Fig. 10 edge  $e$  contributes to  $N_{00}$  by edge  $e_{00} = \{v_2, t_{00}\}$  and in Fig. 11 edge  $e$  contributes to  $N_{11}$  by edge  $e_{11} = \{s_{11}, v_3\}$  but  $e$  contributes to neither  $N_{01}$  nor  $N_{10}$ .

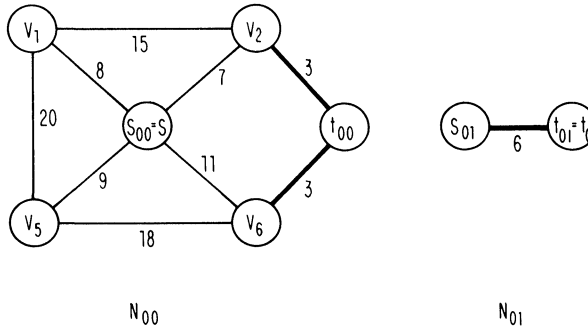


FIG. 10. Networks  $N_{00} = \text{DIVIDE}(N_0, X_0, s_0)$  and  $N_{01} = \text{DIVIDE}(N_0, X_0, t_0)$  derived from network  $N_0$  with  $s$ - $t_0$  cut  $X_0$  of Fig. 9.

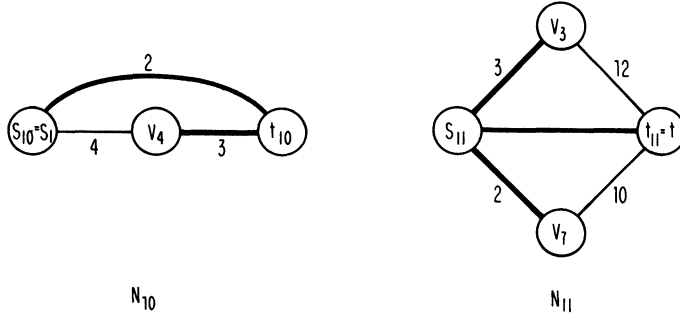


FIG. 11. Networks  $N_{10} = \text{DIVIDE}(N_1, X_1, s_1)$  and  $N_{11} = \text{DIVIDE}(N_1, X_1, t_1)$  derived from network  $N_1$  with  $s_1$ - $t$  cut  $X_1$  of Fig. 9.

*Proof of Lemma 2 by induction.* Suppose for some fixed  $r_0$ , this lemma holds for all  $r \leq r_0$ . If  $B_{r_0}(e) = \emptyset$  then clearly  $B_{r_0+1}(e) = \emptyset$ . Suppose  $1 \leq |B_{r_0}(e)| \leq 2$  and consider any  $e_\omega \in B_{r_0}(e)$ . If  $e_\omega \notin X_\omega$  then by definition of **DIVIDE**, either  $e_\omega = e_{\omega 0}$  appears in  $N_{\omega 0}$  or  $e_\omega = e_{\omega 1}$  appears in  $N_{\omega 1}$ , but not both. On the other hand, if  $e_\omega \in X_\omega$ , then  $e_{\omega 0}$  appears in  $N_{\omega 0}$  connected to  $t_{\omega 0}$  and also  $e_{\omega 1}$  appears in  $N_{\omega 1}$  connected to  $s_{\omega 1}$ . In either case, if  $|B_{r_0}(e)| = 1$ , then  $|B_{r_0+1}(e)| \leq 2$ . Otherwise suppose  $|B_{r_0}(e)| = 2$  so there exists some  $e_z \in B_{r_0}(e)$  with  $\omega < z$ . By the induction hypothesis,  $e_\omega$  is connected to  $t_\omega$  and  $e_z$  is connected to  $s_z$ . Thus for  $j = 0, 1$  edge  $e_{\omega j}$  (if it exists) is connected to  $t_{\omega j}$  and edge  $e_{zj}$  (if it exists) is connected to  $s_{zj}$ . Hence if  $e_\omega \in X_\omega$  then  $e_{z1} = \{s_{z1}, t_{z1}\}$ . In each case,  $|B_{r_0+1}(e)| \leq 2$ .  $\square$

To complete the proof of Theorem 5, observe that  $|\{\{s_\omega, t_\omega\} | \omega \in \{0, 1\}^r\}| = 2^r$ . Hence

$$\sum_{\omega \in \{0,1\}^r} m_\omega \leq \left( \sum_{e \in E} |B_r(e)| \right) + |\{\{s_\omega, t_\omega\} | \omega \in \{0, 1\}^r\}| \leq 2m + 2^r$$

by Lemma 2.  $\square$

**THEOREM 6.** *Given a planar standard network  $N = (G, c, s, t)$  with  $L = \text{range}(c)$ , and  $\mu$  is a  $\mu(s, t)$  path of  $N$  then **PLANAR-MIN-CUT**  $(N, \mu)$  computes a minimum  $s$ - $t$  cut of  $N$  in time  $O(Q_L(n) \log(n))$ .*

*Proof.* The total time cost is

$$\begin{aligned} \sum_{\substack{\omega \in \{0,1\}^r \\ 0 \leq r \leq \lceil \log(n) \rceil}} O(Q_L(m_\omega)) &= \sum_{0 \leq r \leq \lceil \log(n) \rceil} O(Q_L(2m + 2^r)) \quad \text{by Theorem 5,} \\ &= O(Q_L(n) \log(n)) \quad \text{since } 2m + 2^{\log(n)} = O(n). \quad \square \end{aligned}$$

By known upper bounds on the cost of maintaining queues (as discussed in the Introduction), we also have:

**COROLLARY 4.** *A minimum  $s$ - $t$  cut of  $N$  is computed in time  $O(n \log^2(n))$  for general  $L$  (i.e., a set of positive reals), in time  $O(n \log(n) \log \log(n))$  for the case where  $L$  is a set of positive integers bounded by a polynomial in  $n$  and in time  $O(n \log(n))$  for the case where  $N$  is a graph with identically weighted edges.*

**7. Conclusion.** We have presented a divide and conquer method for computing a minimum  $s$ - $t$  cut of a planar undirected network which improves on the running time of the algorithm of Itai and Shiloach [9] by a factor of  $n/\log n$ . An additional attractive feature of this algorithm is its *simplicity*, as compared to other algorithms for computing minimum  $s$ - $t$  cuts for sparse networks (Galil and Naamad [8], Shiloach [10] and Sleator and Tarjan [13]).

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